
**TO ALL COMMISSION MEMBERS, COOPERATING NON-MEMBERS AND
PARTICIPATING TERRITORIES**

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Additional updates in online Compliance Case File System

Dear All,

Further to Circular 2017/28 the Secretariat is pleased to advise that two new compliance case file lists have now been published in the online Compliance Case File System. These two lists have also been populated with the latest ROP data backup received from SPC-OFP.

List of ROP Pre-Notification Issues

This new list provides notification to flag CCMs of those data elements (other than alleged observer obstruction incidents) that were answered in the affirmative by a ROP observer on the WCPFC Observer Trip Monitoring Summary, or which are included in SPC/FFA General Form 3 for trips that occurred in the 2016 calendar year.

This list complements the *Observer Obstruction Alleged Infringement* list and is intended to complete the Secretariat's implementation of the WCPFC12 decision "*Commission Adopted pre-notification process from observer providers to flag CCMs of possible alleged infringements by their vessels and to coastal State CCMs of possible alleged infringements in their waters*" (WCPFC12 Summary Report paragraph 569, Attachment U).

List of Cetacean and Whale Shark Interactions

This list contains cases where a ROP observer has reported one or more interaction/s occurred between a purse seine vessel and cetaceans or whale sharks during trips occurring in 2016. Relevant WCPFC requirements include: prohibiting purse seine vessels from setting if a whale shark or cetacean is sighted prior to the commencement of the set; required reporting of any incidents of unintentional encircling; and guidelines for safe release.

The relevant WCPFC decisions that should be referred to, in investigating these cases to determine whether they are alleged infringements are:

- Conservation and Management Measure for protection of whale sharks from purse seine fishing operations – CMM 2012-04

- Conservation and Management Measure for protection of cetaceans from purse seine fishing operations – CMM 2011-03
- Guidelines for the safe release of encircled animals including whale sharks – adopted 2015.

CCMs are asked to refer to Circular 2017-19 for the guide on managing compliance cases.

Flag CCMs are encouraged to continue to use the online Compliance Case file system to submit reports on cases and alleged infringements that have been notified, up until the conclusion of investigation/s.

Notifying CCMs and ROP observer programme providers, and where applicable coastal CCMs and chartering CCMs, may also view relevant compliance cases through the online system.

Should you require further assistance with this year's annual reporting and compliance monitoring reporting, please contact the Compliance Manager, Dr Lara Manarangi-Trott (at email Lara.Manarangi-Trott@wcpfc.int) or the Assistant Compliance Manager, 'Ana F. Taholo (at email ana.taholo@wcpfc.int). To assist with our record keeping, we ask that CCMs copied correspondence to contact.ar@wcpfc.int.

Yours sincerely,



Feleti Penitala Teo, OBE
EXECUTIVE DIRECTOR