



**COMMISSION
SIXTEENTH REGULAR SESSION**
Port Moresby, Papua New Guinea
5 – 11 December 2019

UPDATED STRATEGIC INVESTMENT PLAN

**WCPFC16-2019-08
19 November 2019**

Purpose

1. The purpose of this paper is to present the updated Strategic Investment Plan for consideration by the Commission. A brief report on implementation of the Plan is also provided.

Background

2. The Strategic Investment Plan was approved by the Commission in December 2018, and has a stated purpose “to match capacity and capability requirements of developing states and territories with appropriate investment strategies.” The Commission agreed that the Plan would be updated annually by the Secretariat for approval by the Commission and that the Secretariat would report to the Commission on implementation of the Strategic Investment Plan each year.

Overview of updates made to the Plan

3. In adopting the Strategic Investment Plan, the Commission agreed “to make any capacity assistance needs identified in the provisional Compliance Monitoring Report and in Part 2 reports (as may be agreed by TCC each year to be contained in the Executive Summary of the Provisional Compliance Monitoring Report), public domain data that will inform annual updates of the Strategic Investment Plan.” The Secretariat has incorporated updates that consider the outcomes from consideration of capacity assistance needs and as was identified in the provisional Compliance Monitoring Report adopted by TCC15 and in 2019 Part 2 reports (**Attachment 1**).

Report on Implementation

4. The Commission directed the Secretariat to develop a webpage dedicated to the Implementation of Article 30 of the Convention. The Commission considered that the publicly available website would include the following information:
 - Current and historical Strategic Investment Plans

- Funding options, eligibility and application processes
 - Special Requirements Fund proposals and project completion reports for projects >\$10,000
 - 2013-06 template and assessments to date
 - Reports by CCMs on implementation of 2013-07
5. The Secretariat confirms that the *Implementation of Article 30 of the Convention* webpage was established earlier in the year at this link:- <https://www.wcpfc.int/implementation-article-30-convention>. It presently contains most of the above listed information. A copy of the CMM 2013-07 reports from CCMs have been posted to this page, as well as to TCC15 meeting page as **WCPFC-TCC15-2019-11**.
6. The Plan has been in existence for almost twelve months. During this period, the Secretariat has approved 25 SRF requests and has undertaken the task of updating the available funding in the SRF on a quarterly basis on the Commissions Article 30 webpage. A list of activities that have been funded under the SRF, Japanese Trust Fund (JTF) and the Chinese Taipei Trust Funds (CTTF) can be found in the Finance and Administrative Committee (FAC13) paper Report on the Status of Other Funds (WCPFC16-2019-FAC13-06).

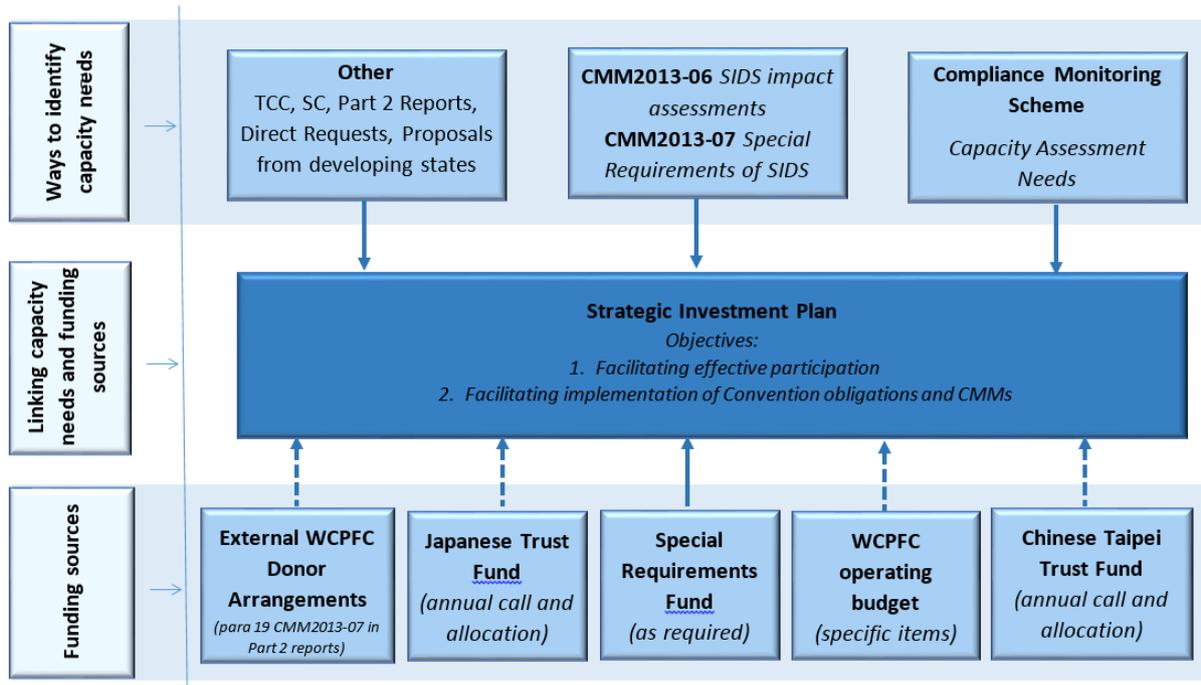
Recommendation

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| <p>7. WCPFC16 is invited to note the report and approve the updated Strategic Investment Plan (Attachment 1).</p> |
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2018-2019 Strategic Investment Plan

Introduction

1. The Western and Central Pacific Fisheries Commission (WCPFC), at its 14th meeting in Manila, Philippines, agreed to the development of a Strategic Investment Plan.
2. The purpose of the Strategic Investment Plan is to match capacity and capability requirements of developing states and territories with appropriate investment strategies as outlined in the following diagram:



Objectives

3. The objectives of the Strategic Investment Plan are to support:
 - effective input and participation of member developing states and territories in the meetings of the Commission; and
 - development of management and technical capability and capacity in developing states and territories to enable them to implement obligations under the WCPFC Convention and Conservation and Management Measures (CMMs).

Funding

4. Funding options are illustrated in the diagram above and the WCPFC Secretariat has a role in ensuring capacity needs identified in this Strategic Investment Plan are addressed over the coming year. This includes provision of information to developing state and territory members on how to access funds and notification to members when funds are needed. This will assist the Commission as a whole meet the requirements of Article 30 of the Convention¹.

¹ Convention on the Conservation and Management of Highly Migratory Fish Stocks in the Western and Central Pacific Ocean, 2000

Capacity needs recommended by the Technical and Compliance Committee (TCC)

5. The following Capacity Assistance Need areas were recommended by ~~TCC14~~TCC15 in the Compliance Monitoring Report:

Indonesia for Scientific data provision	<u>Capacity Development Plan (CDP) CDP</u> submitted to TCC13	Assistance and funding is being sought from SPC
<u>Philippines for 100% purse seine observer coverage for vessels fishing exclusively in areas under national jurisdiction</u>	<u>Capacity Development Plan (CDP) submitted to TCC15</u>	<u>Assistance and funding is being sought</u>

6. The following prior Capacity Assistance Need areas were noted to have been completed by TCC15 in the Compliance Monitoring Report:

<u>Kiribati for 5% ROP observer Coverage</u>	<u>Capacity Development Plan (CDP) submitted to TCC14</u>	<u>Assistance and funding is being sought from SPC</u>
<u>New Caledonia for VMS assistance</u>	<u>CDP submitted to TCC14</u>	<u>WCPFC technical assistance in country will be provided to New Caledonia in first quarter of 2019.</u> <u>New Caledonia has requested to attend a FFA VMS training, this has not been arranged as of yet.</u>

Capacity needs identified through WCPFC Annual Report Part 2

7. The following areas of capacity assistance were identified by CCMs in their Annual Report Part 2 RY2017-RY2018 as identified in paragraph 28-33 of the provisional Compliance Monitoring Report:

<u>CMM</u>	<u>Notes about types of assistance requested</u>	<u>CCM</u>
<u>2017-03 03-06, 11, 12 Observer Safety CMM</u>	<u>Assistance from FFA with this and other measures that require legislation changes</u>	<u>Cook Islands</u>
<u>2013-07 04-05 Capacity development for personnel</u>	<u>Additional training is needed in the following areas:</u> <u>1. Prosecution</u> <u>2. Data analysis</u> <u>3. MCS</u>	<u>Fiji</u>
<u>2013-07 10-11 Capacity development for MCS activities</u>	<u>Assistance from developed partners to assist in both aerial and surface surveillance coverage</u>	<u>Kiribati</u>

CMM	Notes about types of assistance requested	CCM
CMM 2006-08 – High Seas Boarding and Inspection CMM 2017-02 – Port Inspections	Some assistance has been received in work attachments, and supply of boarding gear. Additional assistance is needed in 1) information system (licensing and permitting database); 2) boarding and inspection database; 3) centralized database; 4) more staff for monitoring and data collection.	Fiji
CMM 2013-07-04-05 – Capacity development for personnel	Some assistance has been received in investigation training. Additional training is needed, including in work attachments, for database and data analysis. Assistance is needed in understanding CMMs. Need additional observer training and coordination Funding for international meetings	Fiji Solomon Islands Vanuatu
CMM 2013-07-10-11 – Capacity development for MCS activities	Some assistance has been received in investigation training and attachments. Additional resources needed for purchase of additional patrol assets (helicopters/aircraft and vessels). Assistance is needed to conduct international compliance inspections Assistance and support is needed to recognize the efforts that small islands with limited capacity make to comply with all CMMs and to investigate any alleged infringements by their vessels or occurring in their waters.	Fiji Kiribati
CMM 2017-06 – Seabird mitigation	Assistance in developing of seabird mitigation plan	Vanuatu

Capacity needs identified through the SRF Intersessional Working Group process

6.8. An analysis of conceptual capacity needs to meet the objectives of the Strategic Investment Plan (see paragraph 3 above) was conducted and WCPFC members were asked to rank these needs in terms of priority.

7.9. Current development assistance was identified from open source data and assessed against each capacity need area. A summary of the findings is provided at **Attachment A**. The broad conclusion was that nearly all capacity needs have a funding stream associated.

8.10. The main gap identified was an explicit mechanism to support effective participation. The following proposal is included in the Strategic Investment Plan to fill this void.

Title: Enabling effective participation in the WCPFC
Obligation: Article 30
Capacity Building Assistance Needed: Support to effectively input and participate in meetings of the WCPFC. This includes support for:

- travel to the Science Committee, the Technical and Compliance Committee and/or the main meeting of the Commission, and
- in-country capacity building prior to and post WCPFC meetings to help build capacity to engage and to institutionalise outcomes of the meetings (existing Secretariat support built into WCPFC budget).

It is noted that the level of assistance required will vary between members, so should remain flexible to the needs of the country. This will depend on the sovereign interests of the member, including the scale of WCPFC fishery interests, the capacity of the administration to engage in the program and the priority afforded to this over other interests.

Parameters around accessing the program will include:

- limit to one participant per country per meeting (or as funding allows) – this is in addition to the one participant already funded for each meeting from the WCPFC operational budget

Timeframe: Ongoing, annual calls by the Secretariat for participation in the funded program

Cost: up to USD300,000 annually

Attachment 1

ATTACHMENT A

Thematic capacity needs	Rank 1 = highest; 18 = lowest priority	Funding support available (see Attachment B for recipients)
17. Disproportionate burden & economic development	1	Australia, the EU, ABNJ project, FFA, OFMP2, NZ, PROP, US and the SRF
3. Capacity to understand, evaluate and implement harvest strategies	2	Australia, the EU, ABNJ project, FFA, OFMP2, NZ, PROP, US, the SRF and SPC
11. Capacity to collect data and meet reporting obligations	3	All donors
16. Capacity to establish and implement other MCS & enforcement measures	4	All donors
18. Additional capacity building needs	5	All donors – except meeting support
2. Capacity to implement legal and policy aspects of managing fishing authorisations/licensing & related issues	6	Australia, the EU, ABNJ project, FFA, OFMP2, Japan, NZ, PROP, US and the SRF
4. Capacity to regulate, implement, monitor and enforce tropical tuna measures	7	Australia, the EU, FFA, OFMP2, Japan, NZ, PROP, US and the SRF
15. Capacity to establish, implement and enforce port State measures	8	All donors
1. Capacity to understand and effectively implement technical & operational aspects of managing fishing authorisations/licensing and related requirements	9	Australia, the EU, ABNJ project, FFA, OFMP2, Japan, NZ, PROP, US and the SRF
5. Capacity to regulate, implement, monitor and enforce rules related to albacore and Pacific Bluefin tuna	10	Australia, the EU, FFA, OFMP2, NZ, PROP and the SRF
13. Capacity to regulate, monitor and enforce rules relating to transshipment	11	All donors
14. Capacity needs relating to the administration, training, provision and work of observers, including in relation to the Regional Observer Program (ROP).	12	All donors
9. Purse seine rules relating to non-target species	13	Australia, the EU, ABNJ project, FFA, OFMP2, NZ, PROP and the SRF
12. Capacity to implement and use vessel monitoring system	13	All donors
8. Capacity to implement rules relating to other non-target species	15	Australia, the EU, ABNJ project, FFA, OFMP2, NZ, PROP and the SRF
7. Capacity to regulate, implement, monitor and enforce rules relating to sharks	16	Australia, the EU, ABNJ project, FFA, OFMP2, NZ, PROP and the SRF
6. Capacity to implement rules relating to billfish species	17	Australia, the EU, FFA, OFMP2, NZ, PROP and the SRF
10. Capacity to regulate, implement, monitor and enforce fishing gear restrictions	18	Australia, CTTF, the EU, ABNJ project, FFA, OFMP2, NZ, PROP and the SRF

Attachment 1

ATTACHMENT B

Donor/program	Eligible Recipients
Australia: various programs	Pacific island countries and Pacific regional
WCPFC Chinese Taipei Trust Fund	Developing states party to the WCPFC Convention, in particular SIDS
European Union: Pacific-EU Marine Partnership (PEUMP)	PACP countries and Pacific regional
FAO GEF: Sustainable Management of Tuna Fisheries and Biodiversity Conservation of Areas Beyond National Jurisdiction (ABNJ project)	WCPFC, PNA, FFA
FFA: various programs	Pacific island FFA members
GEF/UNDP/FAO Pacific Islands Oceanic Fisheries Management Project II (OFMP 2)	FFA, SPC, MSG, Pacific SIDS, PITIA, WWF
WCPFC Japanese Trust Fund	Developing states party to the WCPFC Convention, in particular SIDS
New Zealand: various programs	Pacific SIDS, PICTs, FFA, SPC; Indonesia, Philippines, Vietnam through WCPFC
World Bank/GEF: Pacific Islands Regional Oceanscape Program (PROP)	FSM, RMI, SI, Tuvalu, FFA
US: various programs	All WCPFC members