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EVALUATION OF CMM 2018-01 FOR TROPICAL TUNA

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SPC-OFP

Pacific Community (SPC), Noumea, New Caledonia

¹ **Update of WCPFC-SC15-2019/MI-WP-11:-** At the request of the 15th Scientific Committee, this paper contains updated information for WCPO skipjack tuna using the 2019 assessment agreed by SC15. It also contains Appendix 2, which presents the results of the additional analyses requested by CCMs at SC15 (SC15 summary report paras 474, 475 and 479).

1. EXECUTIVE SUMMARY

This paper evaluates the potential for CMM 2018-01 to achieve its objectives for each of the three WCPO tropical tuna stocks as specified in paragraphs 12 to 14. The evaluation is based upon the latest SC-agreed stock assessments. CMM 2018-01 notes ‘The Commission at its 2019 annual session shall review and revise the aims set out in paragraphs 12 to 14 in light of advice from the Scientific Committee’ (paragraph 15).

CMM 2018-01 contains minor adjustments to the CMM 2017-01 text. Key differences are:

- Removal of footnote 1 (Cook Islands charter): no impact on evaluation as overall purse seine effort is assumed to remain constant.
- Paragraph 18, exclusion of ‘small amounts of ... garbage without a tracking buoy attached’ from the definition of a FAD: analysis of available observer data described herein indicates minimal impact on the evaluation. However the current language of paragraph 18 requires interpretation which hinders our ability to evaluate its impact on CMM performance. Although small, any increase in the number of ‘FAD sets’ due to this paragraph will lead to ‘an increase in bigeye and small yellowfin tuna catch’.
- Paragraphs 19-20 (non-entangling FAD designs), no impact on the evaluation as non-entangling FADs and bio-degradable designs are expected to perform comparably to existing designs.
- Deletion of paragraph 29 (American Samoa clause): no impact on the evaluation as the overall purse seine effort for the fleet is assumed to remain constant, and the breakdown of set types to remain consistent with the scenarios being considered.
- Paragraph 40, ongoing transfer of 500mt of bigeye catch between Japan and China: for the purposes of this long-term evaluation, the transfer is assumed not to continue beyond February 2021. The consequence of this transfer for the ‘optimistic scenario’ longline scalar, which is the only scenario that would be affected, is calculated but not evaluated: this transfer would increase the longline catch scalar of the optimistic scenario only, from 0.98 to 0.99.

Overall, these changes do not materially affect the management conditions assumed under this evaluation. Therefore, this paper presents results comparable to those agreed by SC14 for bigeye tuna (SC14 Summary Report, paragraphs 40 to 51 and Section 4.1.1; Pilling et al., 2018) and as seen by WCPFC15 (SPC, 2018).

We use an approach similar to that within recent tropical tuna CMM evaluations to:

- Step 1. quantify provisions of each Option – i.e., translate each specified management Option into future potential levels of purse seine effort and longline catch;
- Step 2. evaluate potential consequences of each Option over the long-term for bigeye, yellowfin and skipjack tuna, against the aims specified in CMM 2018-01.

STEP 1: QUANTIFYING PROVISIONS OF THE OPTION

We repeat the detailed evaluation approach used within previous tropical tuna CMM evaluations. Assumptions are made regarding the impact that the FAD closure period and/or high seas effort limits will have on FAD-related effort, and the potential future catches of longline fleets. These assumptions are consistent with those made in previous CMM evaluations, and include whether effort and catch limits specified within the CMM are taken by a flag, particularly where those limits are higher than recent fishing levels. Under these assumptions, we define three scenarios of future purse seine effort and longline catch, based upon a baseline average period of 2013-15, the most recent period in the latest tropical tuna assessments. These scenarios are summarised as:

‘2013-2015 avg’: purse seine effort and longline catch levels are maintained at the average levels seen over the years 2013-2015, providing a ‘baseline’ for comparison.

‘Optimistic’: under a 3 month FAD closure, purse seine CCMs make an additional 1/8th FAD sets relative to the average number over 2013-15, when a 4 month closure was in place (i.e. 8 months FAD fishing in those years). The additional 2-month high seas FAD closure (5 months in total on the high seas) reduces the number of FAD sets by 1/8th of those made on the high seas in 2013-15. CCMs with longline limits take their 2019 catch limit or 2013-2015 average level if lower.

‘Pessimistic’: every CCM fishes the maximum allowed under the Measure. Purse seine CCMs undertake an additional 1/8th FAD sets relative to the average number over the period 2013-15 when a 4 month closure was in operation. The additional 2-month high seas FAD closure reduces the number of sets by 1/8th of those set on the high seas in 2013-15, but where specified high seas effort limits allow additional fishing relative to 2013-15, additional FAD sets are assumed on a proportional basis. Limited longline non-SIDS CCMs and US Territories take their entire 2019 specified/2000 mt limits, 2013-2015 average level assumed for other SIDS.

The second and third scenarios assume the change in FAD closure periods under CMM 2018-01 equates to a proportional increase/decrease in FAD sets (see also Appendix 1). Other key assumptions across stocks were that total purse seine effort remained constant (increases in FAD sets led to a decrease in free school effort), while for yellowfin, longline catch changes were assumed to proportionally match those evaluated for bigeye tuna. ‘Other fisheries’, which have a notable impact on yellowfin stock status, were assumed to remain constant at 2013-15 average levels within the analysis.

STEP 2: EVALUATE THE POTENTIAL EFFECTIVENESS OF THE MEASURE ON STOCKS

We use stochastic stock projections to evaluate potential long-term consequences of resulting future fishing levels under each scenario, in comparison to 2013-2015 average conditions for each of the three tropical tuna stocks. For each, projections were run across the grid of models agreed by SC as the basis for advice.

The stated aims of CMM 2018-01 for bigeye and yellowfin were to maintain spawning biomass at or above the average $SB/SB_{F=0}$ for 2012-2015, while for skipjack tuna it was to maintain spawning biomass on average at a level consistent with the interim target reference point. The potential long-term performance of the CMM against those objectives varied between stocks.

For bigeye tuna, performance of CMM 2018-01 was strongly influenced by the assumed future recruitment levels (see Table 1). If recent positive recruitments continue into the future, all scenarios examined achieve the aims of the CMM, in that median spawning biomass is projected to remain stable or increase relative to recent levels, and median fishing mortality is projected to decline slightly (the exception to the latter being the pessimistic CMM scenario, although median fishing mortality remains below F_{MSY}). If less positive longer-term recruitments continue into the future, spawning biomass depletion worsens relative to recent levels under all scenarios, and the future risk of spawning biomass falling below the limit reference point (LRP) increases to between 17 and 32%, dependent on the scenario. In turn, all three future fishing scenarios imply increases in fishing mortality under those recruitment conditions, more than doubling to median levels well above F_{MSY} .

For yellowfin and skipjack, long-term recruitment patterns were assumed to hold into the future.

Results for skipjack (Table 2) were consistent across the different CMM 2018-01 scenarios, as overall purse seine effort was assumed to remain constant at 2013-15 average levels, and the impact of longline catch is negligible. Under 2013-15 average levels and ‘long term’ recruitment, the skipjack stock is projected to stabilise at 41% $SB/SB_{F=0}$, below the interim TRP, while F increases to around 52% F_{MSY} .

There was no risk of breaching the adopted limit reference point, but around a 13% risk that fishing mortality may increase above F_{MSY} .

For yellowfin tuna, results under the 2013-15 average and ‘optimistic’ scenarios are comparable (Table 2), with the stock stabilising at 33% $SB/SB_{F=0}$ (a 1% decrease from recent assessed levels) and F/F_{MSY} reducing to 0.68 (a 7-8% reduction). The pessimistic scenario, which implies a 35% increase in longline yellowfin catch, had a greater impact, with yellowfin biomass falling to 30% $SB/SB_{F=0}$ (an 8% reduction from recent levels), F/F_{MSY} remaining stable at 0.73, and the risk of breaching the adopted limit reference point increasing to 16%.

Additional analyses were requested by CCMs at the 15th Scientific Committee. These are presented in Appendix 2.

Table 1. Median values of reference point levels (adopted limit reference point (LRP) of 20% $SB_{F=0}$; F_{MSY}) and risk¹ of breaching reference points from the 2018 re-assessment of WCPO bigeye tuna incorporating ‘updated new growth’ models only, and in 2045 under the three future harvest scenarios (2013-2015 average fishing levels, optimistic, and pessimistic) and alternative recruitment hypotheses.

| Scenario | | Scalars relative to 2013-2015 | | Median $SB_{2045}/SB_{F=0}$ | Median $SB_{2045}/SB_{F=0}$ v $SB_{2012-15}/SB_{F=0}$ | Median $F_{2041-2044}/F_{MSY}$ | Median $F_{2041-2044}/F_{MSY}$ v $F_{2011-14}/F_{MSY}$ | Risk | |
|--|---------------|-------------------------------|----------|-----------------------------|---|--------------------------------|---|-------------------|---------------|
| Recruitment | Fishing level | Purse seine | Longline | | | | | $SB_{2045} < LRP$ | $F > F_{MSY}$ |
| <i>Bigeye assessment ('recent' levels)</i> | | | | 0.36 | - | 0.77 | - | 0% | 6% |
| Recent | 2013-2015 avg | 1 | 1 | 0.42 | 1.18 | 0.73 | 0.95 | 0% | 11% |
| | Optimistic | 1.11 | 0.98 | 0.41 | 1.15 | 0.75 | 0.98 | 0% | 13% |
| | Pessimistic | 1.12 | 1.35 | 0.36 | 1.00 | 0.89 | 1.15 | 5% | 30% |
| Long-term | 2013-15 avg | 1 | 1 | 0.30 | 0.84 | 1.60 | 2.09 | 17% | 93% |
| | Optimistic | 1.11 | 0.98 | 0.29 | 0.82 | 1.64 | 2.13 | 18% | 94% |
| | Pessimistic | 1.12 | 1.35 | 0.25 | 0.70 | 1.84 | 2.38 | 32% | 98% |

¹ note risk within the stock assessment is calculated as the number of models falling below the LRP ($X / 36$ models). Risk under a projection scenario is the number of projections across the grid that fall below the LRP ($X / 3600$ (36 models x 100 projections)).

Table 2. Median and relative values of reference points and risk of breaching reference points levels (adopted limit reference point (LRP) of 20% $SB_{F=0}$; F_{MSY}) in 2045 from the 2019 skipjack and 2017 yellowfin stock assessments, under the three future harvest scenarios (2013-2015 average fishing levels, optimistic, and pessimistic) and long-term recruitment patterns.

| Stock | Fishing level | Scalars relative to 2013-2015 | | Median $SB_{2045}/SB_{F=0}$ | Median $SB_{2045}/SB_{F=0}$ v $SB_{2012-15}/SB_{F=0}$ | Median $F_{2041-2044}/F_{MSY}$ | Median $F_{2041-2044}/F_{MSY}$ v $F_{2011-14}/F_{MSY}$ | Risk | |
|----------------|---------------|-------------------------------|----------|-----------------------------|---|--------------------------------|---|-------------------|---------------|
| | | Purse seine | Longline | | | | | $SB_{2045} < LRP$ | $F > F_{MSY}$ |
| Skipjack tuna | 2013-2015 avg | 1 | 1 | 0.41 | NA ¹ | 0.52 | 1.18 ² | 0% | 13% |
| | Optimistic | 1.11 | 0.98 | 0.41 | NA ¹ | 0.53 | 1.19 ² | 0% | 14% |
| | Pessimistic | 1.12 | 1.35 | 0.41 | NA ¹ | 0.53 | 1.19 ² | 0% | 14% |
| Yellowfin tuna | 2013-2015 avg | 1 | 1 | 0.33 | 0.99 | 0.68 | 0.92 | 7% | 2% |
| | Optimistic | 1.11 | 0.98 | 0.33 | 0.99 | 0.68 | 0.93 | 7% | 2% |
| | Pessimistic | 1.12 | 1.35 | 0.30 | 0.92 | 0.73 | 0.99 | 16% | 9% |

¹ Stated aim of CMM 2018-01 for skipjack was to maintain the stock on average around the TRP of 50% $SB_{F=0}$ (CMM para 13).

² For skipjack, comparison is Median $F_{2041-2044}/F_{MSY}$ v $F_{2014-2017}/F_{MSY}$

2. QUANTIFYING THE PROVISIONS OF THE MEASURE

This CMM 2018-01 evaluation is based upon the latest SC-agreed stock assessment models for the three tropical tuna species (Vincent et al., 2018; Tremblay-Boyer et al., 2017; Vincent et al. 2019), using those models SC selected as representing the best scientific information available. Abundance of each stock is projected into the future (30 years) under particular levels of either catch or effort within the different fisheries modelled in the assessment.

Therefore, the two parts of Step 1 are:

1. Estimate the levels of associated (FAD) and unassociated (free school) set purse seine effort and longline bigeye catch that would result from the provisions of the Measure. This estimation requires interpretation of the CMM text to estimate the most likely purse seine effort and longline catch levels that would result.
2. Express these levels of purse seine effort and longline catch as scalars relative to reported levels of these quantities for 2013-2015 (the last years of each assessment). This average period was selected to reduce the impact of FAD set fluctuations in individual years on evaluation results, while ensuring the FAD closure period (4 months) was consistent across those years.

We repeated the detailed approach used in the evaluation of CMM 2015-01 which was presented to WCPFC13 ([WCPFC13-2016-15](#)). Table 3 outlines the approach taken in relation to the relevant paragraphs of CMM 2018-01.

Table 3. Evaluation of the relevant paragraphs of CMM 2018-01.

| Relevant CMM 2018-01 paragraphs | Evaluation Approach |
|--|---|
| Principles | |
| 2 | F/F_{MSY} is included as a performance indicator. |
| Area of application | |
| 3 and 10 | The area of application does not include archipelagic waters (AW). The evaluation will necessarily be for the WCPO (west of 150°W) rather than the WCPFC Convention Area because of the structure of the assessment model, which does not include catch and effort data from the overlap area. This should not significantly impact the results of the evaluation. |
| 4 | No guidance is given regarding level of any AW changes; we assume 2013-2015 average levels of effort will continue. |
| Harvest strategies and interim objectives | |
| 11 | While the measure acts as a bridge to the adoption of a harvest strategy for tropical tuna stocks, for the purpose of this evaluation we have examined where the stock would end up under longer-term application of this measure. |
| 12-14 | We use the spawning biomass depletion ratio ($SB/SB_{F=0}$) as a performance indicator, consistent with the limit reference point (LRP) formally adopted by WCPFC ($0.2SB_{F=0}$) for all three tropical tuna stocks, and the interim TRP for skipjack tuna, and relate the longer-term outcome of CMM2018-01 measures (over 30 years) to the average $SB_{2012-2015}/SB_{F=0, 2005-2014}$. |
| FAD set management | |
| 16-17 | CCMs apply an in-zone/high seas FAD closure of 3 months in 2019 (Jul-Sept). This was modelled as $(1+1/8)$ x average FAD sets in 2013-2015. As a four month closure (or equivalent) was in operation over those years, a 3 month closure would allow on average $1/8^{\text{th}}$ more FAD sets than were seen in the remaining 8 months of the year in which FAD sets were allowed. We note this does not take into account the potentially different pattern of fishing by those CCMs that selected FAD set limits in those years, but have assumed that the impact on the number of FAD sets performed was roughly equivalent for those CCMs. In addition, the reduction in FAD set numbers due to the specified 2-month additional high seas FAD closure was estimated (5 months in total). The impact of CCMs choosing different two-month pairs for the closure was assumed to be negligible for this evaluation. We have assumed that high seas FAD sets were not transferred into EEZs, but were removed from the fishery . We based the number of high seas FAD sets on the recent average sets in the high seas by CCM over 2013-2015 (a 4 month closure), and calculated |

| | |
|---|--|
| | <p>the impact of removing 1/8th of those FAD sets at the CCM level, noting the exemption for Kiribati, and for Philippines in HSP1.</p> <p>Two options for future conditions were examined:</p> <ul style="list-style-type: none"> • Optimistic: FAD sets were limited through the 3-month FAD closure and additional 2-month high seas closure as calculated above. High seas effort was maintained at 2013-2015 average levels. • Pessimistic: FAD sets were limited through the 3-month FAD closure and additional 2-month high seas closure as calculated above. Those CCMs with high seas effort limits were assumed to fish to their day limits, and corresponding additional high seas FAD sets were estimated (see 'purse seine effort control', below), incorporating the closure; 2013-2015 average levels were assumed for other fleets. |
| 18 | This paragraph 18 modifies the definition of a FAD in 2019 to exclude "small amounts of plastic or small garbage that do not have a tracking buoy attached". We evaluate the potential impacts of this paragraph on the CMM in a separate section in the main text below using observer records. This evaluation suggests the impact can be assumed to be negligible. |
| 19-24 | No impact on the evaluation is expected due to the use of reduced-entanglement risk FAD designs. In the absence of information, the practical impact on the number of FAD sets made under the CMM through active instrumented buoy limits (para 23) was assumed to be negligible. |
| Purse seine effort control | |
| 25-30 | <p>For simplicity, we did not assume that purse seine total effort in EEZs and high seas would increase as permitted under nominated EEZ effort levels (e.g. Pilling and Harley, 2015). We assumed overall effort (including within archipelagic waters) would remain at 2013-2015 effort levels (with the exception of the high seas effort limits, below). This assumption means that we do not expect EEZs where purse seine effort has been less than 1500 days annually over recent years to attract additional effort.</p> <p>Flag-based high seas effort limits are unchanged from CMM 2016-01. Many limited CCMs would be able to increase their high seas effort marginally under the CMM. This is incorporated within the 'pessimistic' scenario detailed above.</p> <p>Deletion of CMM 2017-01 paragraph 29 is assumed not to affect the overall level of fleet effort, and for the purposes of this analysis the impact was assumed to be negligible.</p> |
| Longline fishery – bigeye catch limits | |
| 39-44 | <p>Longline catch limits are not completely specified for all CCMs. Two options for future conditions were therefore examined:</p> <ul style="list-style-type: none"> • Optimistic: Limited CCMs took their specified catch limit/2,000 mt catch limit, or their 2013-2015 average catch level whichever was <u>lower</u>, other CCMs took their 2013-2015 average catch level. • Pessimistic: Limited CCMs took their specified catch limit/2,000 mt catch limit, other CCMs took their 2013-2015 average catch level. <p>A 2,000 mt limit is currently applied to US Territories in US domestic legislation, although there have been recent recommendations for this limit to be removed. Here the 2,000 mt limits have been applied under the pessimistic scenario, consistent with the approach taken for other CCMs with a 2,000 mt limit. We have assumed that non-limited fleets (those without limits specified in CMM Attachment 1, or the upper limit of 2,000 mt) will continue to operate at 2013-2015 levels, although those fleets could legitimately increase to any level under the CMM. If this occurs, then the extent of any reduction of longline catch will be over-estimated, or any increase under-estimated.</p> <p>While the one-off transfer of 500 mt of bigeye from Japan to China (Table 3 of CMM 2018-01) will continue for the life of the existing CMM, for the purposes of this long-term evaluation the transfer is not assumed to continue beyond February 2021. For information, this transfer would increase the longline catch scalar of the optimistic scenario only, from 0.98 to 0.99.</p> |
| Capacity management | |
| 45-49 | Not relevant to the evaluation, assuming that total effort and catch measures are adhered to. |
| Other commercial fisheries | |
| 50-51 | There are neither estimates of capacity nor effort for the majority of fisheries in this category; therefore, we assume continuation of 2013-2015 average catch levels. |

EVALUATION OF CMM 2018-01 PARAGRAPH 18

Paragraph 18 of CMM 2018-01 specifies that “any set where small amounts of plastic or small garbage that do not have a tracking buoy attached are detected shall not be considered to be a FAD set for the purposes of the FAD closure”. As noted in the table above, we evaluated the potential implications of this paragraph for the CMM evaluation, using observer data. While the conclusion is that the paragraph did not have impacts of sufficient magnitude to include within the CMM scenarios, the analysis raised issues that require SC consideration. We therefore detail the approach, results and issues raised here.

We examined historical observer records over the period March 2010 to June 2019 where fishing activities related specifically to associated sets (which under paragraph 18 would then be considered ‘unassociated’ if it met the specified criteria). The aim was to identify the frequency of those events, so that an estimate of the potential occurrence over a 3 month FAD closure period could be calculated.

Using the observer comment section of reports, we searched for activities leading up to a set (activity ID #8 – investigate free school; #9 – investigate floating object/log) where specific keywords were included within the observer comment section: ‘garbage’; ‘flotsam’; ‘debris’; ‘detritus’; ‘branch’; ‘rubbish’; ‘paper’; ‘pollution’; ‘bag’; ‘litter’; ‘chopstick’; ‘plastic’; ‘net’; ‘wrapper’ and ‘waste’. Where these activities were followed by an associated set by that vessel (on log or drifting FAD) within 90 minutes of the investigation activity, those activities were assumed to relate to that subsequent set. Under this analysis, those sets would be considered non-FAD sets under paragraph 18². Natural logs did not fall under the paragraph 18 definition and were excluded. All objects where an observer noted an attached buoy were also excluded.

The analysis requires interpretation of the wording of observer notes. For example ‘debris’ was noted frequently by observers, but could be related to logs/natural objects rather than man-made waste. We therefore present the evaluation for two sets of results:

1. where only those records that specified ‘plastic’, ‘rubbish’, ‘bag’, ‘net’, ‘food wrappers’ and ‘garbage’ were included (these were the specific keywords used by observers over this period that were identified within the evaluation – ‘chopstick’ and other keywords investigated were not present in the observer comments); and
2. where ‘debris’ was assumed to relate to objects that would fall within the paragraph 18 definition, in addition to the records specified in (1).

For (1), there were 24 records across the 112-month period over which the observer records were evaluated. This equates to 0.2 sets per month, or 0.6 sets within a 3 month FAD closure that would no longer be counted as a FAD set (i.e. an increase in the purse seine scalar of < 0.001).

For (2), there were 250 records when ‘debris’ was included within the keywords, equating to 2.2 sets per month or 6.7 sets within a 3 month FAD closure (i.e. an increase in scalar of < 0.001).

It is challenging to evaluate the potential impact of paragraph 18 on the performance of CMM 2018-01. While the current calculations imply a negligible impact resulting from this paragraph, we do not know how consistently observers have noted various keywords over the historical period. We have also had to interpret keywords that the observers have used primarily in relation to ‘plastic or garbage’. We are unable to identify whether these records relate to ‘small amounts’. In turn, there may have been times when the observer may not have seen ‘small amounts of garbage’, or seen it and not reported it, and continued to record the set type as an unassociated set. Finally, while we have mainly been considering isolated occurrences of ‘garbage’, the potential for tuna associations with large aggregations of garbage to become more frequent in future, particularly in convergence zones, is a concern.

² Where notes on investigation activities contained the keywords but the subsequent set was considered unassociated by the observer, it was not included within the current analysis as it would not be subject to the FAD closure given that unassociated set designation.

There is a need to consider how the impacts of this paragraph will ultimately be evaluated following 2019. If the intent of paragraph 18 remains, its evaluation would be aided by a more precise and quantifiable definition. The current description is open to interpretation of:

- what constitutes ‘garbage’, and
- what is the definition of ‘small’, given it is a relative term used in the CMM without any defined baseline.

Improved precision of the definition is needed to help observers collect consistent and appropriate information to allow the impact of paragraph 18 to be judged.

However, while the impact on this CMM evaluation is assumed to be negligible, any increase in the number of ‘FAD sets’ due to this paragraph will ‘result in increased catches of bigeye and small yellowfin tuna’ (paragraph 18).

ESTIMATION OF SCALARS FOR PURSE SEINE ASSOCIATED EFFORT AND LONGLINE CATCH

The interpretation of the CMM provisions detailed within Table 3 define future levels of purse seine associated effort and longline catch for each scenario (‘optimistic’ and ‘pessimistic’). Resulting scalars (Table 4) are calculated relative to 2013-2015 average fishing levels³, and represent aggregate scalars across all CCMs.

Table 4. Scalars for purse seine effort and longline bigeye catch under alternative CMM 2018-01 scenarios, relative to 2013-2015 average conditions.

| | Purse Seine | Longline ⁴ |
|-------------|-------------|-----------------------|
| Optimistic | 1.11 | 0.98 |
| Pessimistic | 1.12 | 1.35 |

For purse seine, as noted, overall effort was assumed to remain constant at 2013-15 average levels. Therefore, where future scenarios assumed that purse seine FAD (associated) set effort increased, purse seine free school set effort was reduced to maintain constant overall effort. This assumption was applied for all three stocks.

While longline skipjack catch is negligible, assumptions must be made on the impact of longline bigeye catch multipliers on resulting yellowfin catch levels for the evaluation. The assumption was made that changes in bigeye catch estimated under each scenario also applied to future yellowfin tuna catch levels (i.e. a 1:1 relationship was assumed between changes in bigeye catch and yellowfin catch). For example, under the ‘pessimistic’ scenario, yellowfin longline catches were increased by 35%.

3. EVALUATION OF THE POTENTIAL EFFECTIVENESS OF THE MEASURE

We use the purse seine associated effort and longline catch scalars estimated in Step 1 within projection analyses to evaluate the outcomes in relation to the stated objectives of the CMM regarding each tropical tuna stock. The main indicators used are:

- the spawning biomass at the end of the 30 year projection in relation to the average unfishable level ($SB_{2045}/SB_{F=0}$ ⁵) compared to both the agreed limit reference point of 0.2 $SB_{F=0}$, $SB_{2012-2015}/SB_{F=0}$, and skipjack interim TRP; and

³ The tables used to estimate these values are presented in Appendix 1 and are based upon data in WCPFC15-2018-IP06.

⁴ If the assumption was made that all CCMs with longline limits took those limits, but that all other fleets caught at the 2013-2015 average catch level, the resulting longline scalar was 1.11 (see Appendix 1). This additional level was not analysed here, but potential outcomes can be inferred from the analysed scenarios.

⁵ $SB_{F=0}$ was calculated consistent with the approach defined in CMM 2015-06, and as used within recent stock assessments, whereby the 10 year averaging period was shifted relative to the year in which the SB was evaluated;

- the median fishing mortality at the end of the projection period (2041-2044) in relation to the fishing mortality at maximum sustainable yield (F/F_{MSY}) and to the estimated level $F_{2011-2014}/F_{MSY}$.

Additional indicators requested by SC are also calculated.

Analysis of the impact of potential future purse seine associated effort and longline catch is conducted using the full uncertainty framework approach as endorsed by SC:

- Projections are conducted from each assessment model within the uncertainty grid selected by SC for management advice for each stock.
- For each model, 100 stochastic projections, which incorporate future recruitments randomly sampled from historical deviates, are performed for the estimated purse seine associated effort and longline catch provisions of CMM 2018-01 (scalars estimated in Step 1, applied to 2013-2015 average fishing conditions). The outputs of the projections ($SB_{2045}/SB_{F=0}$ and F/F_{MSY}) are combined across the relevant uncertainty grid.
- For bigeye tuna, two scenarios for future recruitment in the projection period were examined:
 - Future recruitment was determined by randomly sampling from ONLY the 2005-2014 recruitment deviations from the stock-recruitment relationship estimated in each assessment model, consistent with previous WCPFC SC decisions for bigeye tuna. This effectively assumes that the above-average recruitment conditions of the past 10 years, in particular those in the most recent years, will continue into the future.
 - As requested by SC12, a sensitivity analysis assuming relatively more pessimistic long-term recruitment patterns (sampled from 1962-2014) continue into the future.
- For yellowfin and skipjack tuna, future recruitment in the projection period was based upon long-term recruitment patterns (sampled from 1962-2014 and 1982-2015, respectively).
- For skipjack, outputs across models were weighted according to the levels agreed by SC15 when calculating the results.

RESULTS

Results are described by stock.

Bigeye tuna

Table 5 summarises the median values of $SB/SB_{F=0}$ and F/F_{MSY} achieved in the long-term, along with the potential risk of breaching the limit reference point (LRP) and exceeding F_{MSY} , under each of the future fishing and recruitment combinations. Figure 1 presents the corresponding distributions of long-term $SB/SB_{F=0}$ and Figure 2 those for F/F_{MSY} . At the request of SC, Table 6 provides equivalent information at different time periods within the projection for bigeye, while Figure 3 presents the overall spawning biomass trajectories of the projections.

Potential outcomes under 2013-15 average and CMM scenario conditions were strongly influenced by the assumed future recruitment levels.

Under the assumption that recent positive recruitments will continue into the future, spawning biomass relative to unfished levels is predicted to increase from recent levels under all examined future scenarios by 0-18% ($SB_{2045}/SB_{F=0}$ ranges from 0.36 to 0.42; Table 5, Figure 1). There is a 0 to 5% risk of future spawning biomass falling below the LRP. Fishing mortality falls slightly under both the 2013-15

i.e. spawning biomass in future year y was related to the spawning biomass in the absence of fishing averaged over the period $y-10$ to $y-1$ (e.g. $SB_{2045}/SB_{F=0, 2035-2044}$).

average and optimistic scenarios, assuming recent recruitment. However, fishing mortality increases under the pessimistic scenario, but remains below F_{MSY} (30% risk of $F > F_{MSY}$ ⁶; Table 5, Figure 2).

Under the assumption that less positive long-term recruitments are experienced in the future, spawning biomass relative to unfished levels will decline under all scenarios ($SB_{2045}/SB_{F=0}$ ranges from 0.25 to 0.30). The risk of spawning biomass falling below the LRP increases to between 17% and 32% (Table 5). In all fishing scenarios, fishing mortality increases relative to recent levels (by 109-138%) and is well above F_{MSY} . Risk of fishing mortality exceeding F_{MSY} ranges from 93% to 98%.

Skipjack tuna

Results for skipjack are consistent across the different CMM 2018-01 scenarios, as overall purse seine effort is assumed to remain constant at 2013-15 average levels within the analysis, and the impact of longline fisheries is negligible (Table 7, Figure 4, Figure 5). Under ‘long term’ recruitment, the skipjack stock is projected to stabilise at 41% $SB/SB_{F=0}$, below the interim TRP, while F increases to around 52% F_{MSY} . There was no risk of breaching the adopted limit reference point, but around a 13% chance that fishing mortality may increase above F_{MSY} . The latter is influenced by the recent pattern of fishing within ‘region 5’ of the model (Indonesia/Philippines). Small differences between CMM scenarios result from the relative impact of free school and associated sets on skipjack tuna; there is a small negative impact on skipjack status where there is an increased proportion of associated sets, as those sets tend to catch smaller skipjack tuna (see Hampton and Pilling, 2015).

Yellowfin tuna

For yellowfin tuna, results under the 2013-15 average and ‘optimistic’ scenarios are comparable, with the stock stabilising at 33% $SB/SB_{F=0}$ (a 1% decrease from recent assessed levels), F/F_{MSY} falling to 0.68 (a 7-8% reduction), and a 7% risk of falling below the LRP (Table 7, Figure 6, Figure 7). Again, as overall purse seine effort is assumed to remain constant, differences between these two CMM scenarios largely result from the small relative impact of increased associated set proportions on yellowfin tuna (see Hampton and Pilling, 2014), which are comparable to those seen for skipjack, offset by the small reduction in longline catch. The pessimistic scenario, which implies a 35% increase in longline yellowfin catch, has a more notable impact, with yellowfin biomass falling to 30% $SB/SB_{F=0}$ (an 8% reduction from recent levels), F/F_{MSY} remaining stable at 0.73 F/F_{MSY} and a 16% risk of breaching the adopted limit reference point. It should be noted that ‘other fisheries’, which have a notable impact on yellowfin stock status, are assumed to remain constant at 2013-15 average levels within this analysis.

3. DISCUSSION

We have evaluated CMM 2018-01 using stochastic projections (incorporating variation in future recruitment), across the SC-agreed assessment grids as used for advice. This evaluation provides an indication of whether the CMM as it currently stands will achieve the objective of paragraphs 12 to 14 in the long-term, to allow “the Commission at its 2019 annual session [to] review and revise the aims set out in paragraphs 12 to 14 in light of advice from the Scientific Committee” (CMM paragraph 15).

The potential long-term performance of CMM 2018-01 for bigeye tuna is strongly influenced by assumed future recruitment levels. If recent positive recruitments continue into the future, all scenarios examined achieve the aims of the CMM, in that spawning biomass is projected to increase relative to recent levels, and fishing mortality is projected to decline (the exception to the latter being the pessimistic CMM scenario). If less optimistic longer-term recruitments continue into the future, spawning biomass depletion worsens relative to recent levels under all scenarios, and the future risk of spawning biomass falling below the LRP increases to 17-32%, dependent on the scenario. In turn, all

⁶ Future MSY levels are influenced by changes in the gear-specific future effort and catch defined under the optimistic and pessimistic scenarios.

three future fishing scenarios imply notable increases in fishing mortality under those recruitment conditions, to median levels well above F_{MSY} .

Results for skipjack were consistent across the different CMM 2018-01 scenarios, as overall purse seine effort was assumed to remain constant at 2013-15 average levels, and the impact of any change in proportional longline catch is negligible. Under 2013-15 average levels and 'long term' recruitment, is projected to stabilise at 41% $SB/SB_{F=0}$, below the interim TRP, while F increases to around 52% F_{MSY} . There was no risk of breaching the adopted limit reference point, but around a 13% chance that fishing mortality may increase above F_{MSY} .

For yellowfin tuna, results under the 2013-15 average and 'optimistic' scenarios are comparable, with the stock stabilising at 33% $SB/SB_{F=0}$ (a 1% decrease from recent assessed levels) and F/F_{MSY} reducing to 0.68 (a 7-8% reduction). The pessimistic scenario, which implies a 35% increase in longline yellowfin catch, had a greater impact, with yellowfin biomass falling to 30% $SB/SB_{F=0}$ (an 8% reduction from recent levels), F/F_{MSY} remaining stable at 0.73 F/F_{MSY} , and the risk of breaching the adopted limit reference point increasing to 16%.

As in previous CMM evaluations (e.g. SPC, 2018), it is not possible to define precisely what levels of future fishing will result from CMM provisions. Estimating future levels for the purse seine fishery requires the assumption that the number of future FAD sets performed in a year is proportional to the additional month of FAD fishing allowed, and that the choice of paired high seas FAD closure months will not affect the assumption of a proportional decrease in high seas FAD sets. We also assume that the potential increase in purse seine fishing effort permissible under recently nominated EEZ effort levels will not occur, under the logic that we do not expect EEZs where purse seine effort has been less than 1500 days annually over recent years to attract additional effort. However, those increases are theoretically permitted under the CMM. For the longline fishery, future fishing levels will depend on the degree to which those fleets recently under-fishing their defined catch limits continue to do so, and the future levels of fishing undertaken by currently unlimited fleets.

4. REFERENCES

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5. TABLES

Table 5. Median values of reference point levels (adopted limit reference point (LRP) of 20% $SB_{F=0}$; F_{MSY}) and risks¹ of breaching reference points from the 2018 bigeye stock assessment incorporating ‘updated new growth’ models only, and in 2045 under the three future harvest scenarios (2013-2015 average fishing levels, optimistic, and pessimistic) and alternative recruitment hypotheses.

| Scenario | | Scalars relative to 2013-2015 | | Median $SB_{2045}/SB_{F=0}$ | Median $SB_{2045}/SB_{F=0}$ v $SB_{2012-15}/SB_{F=0}$ | Median $F_{2041-2044}/F_{MSY}$ | Median $F_{2041-2044}/F_{MSY}$ v $F_{2011-14}/F_{MSY}$ | Risk | |
|--|---------------|-------------------------------|----------|-----------------------------|---|--------------------------------|---|-------------------|---------------|
| Recruitment | Fishing level | Purse seine | Longline | | | | | $SB_{2045} < LRP$ | $F > F_{MSY}$ |
| <i>Bigeye assessment ('recent' levels)</i> | | | | 0.36 | - | 0.77 | - | 0% | 6% |
| Recent | 2013-2015 avg | 1 | 1 | 0.42 | 1.18 | 0.73 | 0.95 | 0% | 11% |
| | Optimistic | 1.11 | 0.98 | 0.41 | 1.15 | 0.75 | 0.98 | 0% | 13% |
| | Pessimistic | 1.12 | 1.35 | 0.36 | 1.00 | 0.89 | 1.15 | 5% | 30% |
| Long-term | 2013-15 avg | 1 | 1 | 0.30 | 0.84 | 1.60 | 2.09 | 17% | 93% |
| | Optimistic | 1.11 | 0.98 | 0.29 | 0.82 | 1.64 | 2.13 | 18% | 94% |
| | Pessimistic | 1.12 | 1.35 | 0.25 | 0.70 | 1.84 | 2.38 | 32% | 98% |

¹ note risk within the stock assessment is calculated as the number of models falling below the LRP ($X / 36$ models). Risk under a projection scenario is the number of projections across the grid that fall below the LRP ($X / 3600$ (36 models x 100 projections)).

Table 6. Median SB/SB_{F=0} values and associated risk of breaching the adopted limit reference point (LRP) of 20% SB_{F=0} for the bigeye stock in 2020, 2025 and 2045 under the three future harvest scenarios (2013-2015 average fishing levels, optimistic, and pessimistic) and alternative recruitment hypotheses. Note: Only ‘Updated new growth’ models used.

| Scenario | | Scalars relative to 2013-2015 | | Median SB ₂₀₂₀ /SB _{F=0} | Median SB ₂₀₂₅ /SB _{F=0} | Median SB ₂₀₄₅ /SB _{F=0} | Risk SB ₂₀₂₀ < LRP | Risk SB ₂₀₂₅ < LRP | Risk SB ₂₀₄₅ < LRP |
|-------------|---------------|-------------------------------|----------|--|--|--|-------------------------------|-------------------------------|-------------------------------|
| Recruitment | Fishing level | Purse seine | Longline | | | | | | |
| Recent | 2013-2015 avg | 1 | 1 | 0.42 | 0.41 | 0.42 | 0% | 1% | 0% |
| | Optimistic | 1.11 | 0.98 | 0.41 | 0.40 | 0.41 | 0% | 1% | 0% |
| | Pessimistic | 1.12 | 1.35 | 0.38 | 0.35 | 0.36 | 0% | 4% | 5% |
| Long-term | 2013-2015 avg | 1 | 1 | 0.35 | 0.30 | 0.30 | 2% | 12% | 17% |
| | Optimistic | 1.11 | 0.98 | 0.35 | 0.30 | 0.29 | 2% | 13% | 18% |
| | Pessimistic | 1.12 | 1.35 | 0.32 | 0.26 | 0.25 | 7% | 26% | 32% |

Table 7. Median and relative values of reference points and risks of breaching reference points levels (adopted limit reference point (LRP) of 20% SB_{F=0}; F_{M_{SY}}) in 2045 from the 2016 skipjack and 2017 yellowfin stock assessments, under the three future harvest scenarios (2013-2015 average fishing levels, optimistic, and pessimistic).

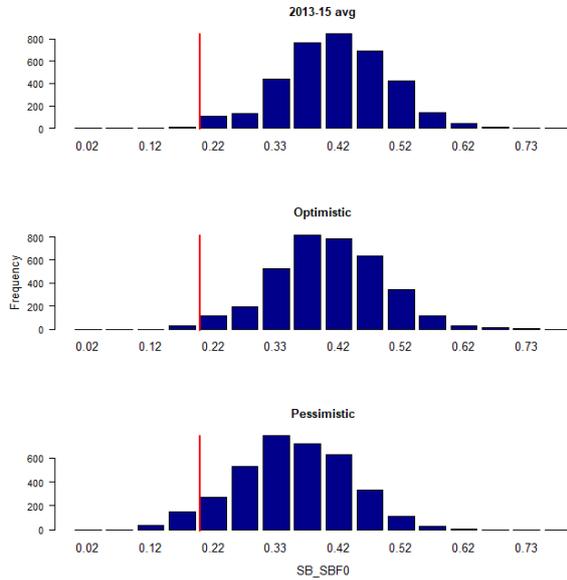
| Stock | Fishing level | Scalars relative to 2013-2015 | | Median SB ₂₀₄₅ /SB _{F=0} | Median SB ₂₀₄₅ /SB _{F=0} v SB ₂₀₁₂₋₁₅ /SB _{F=0} | Median F ₂₀₄₁₋₂₀₄₄ /F _{M_{SY}} | Median F ₂₀₄₁₋₂₀₄₄ /F _{M_{SY}} v F ₂₀₁₁₋₁₄ /F _{M_{SY}} | Risk | |
|----------------|---------------|-------------------------------|----------|--|---|--|--|--------------------------|---------------------------------|
| | | Purse seine | Longline | | | | | SB ₂₀₄₅ < LRP | F > F _{M_{SY}} |
| Skipjack tuna | 2013-2015 avg | 1 | 1 | 0.41 | NA ¹ | 0.52 | 1.18 ² | 0% | 13% |
| | Optimistic | 1.11 | 0.98 | 0.41 | NA ¹ | 0.53 | 1.19 ² | 0% | 14% |
| | Pessimistic | 1.12 | 1.35 | 0.41 | NA ¹ | 0.53 | 1.19 ² | 0% | 14% |
| Yellowfin tuna | 2013-2015 avg | 1 | 1 | 0.33 | 0.99 | 0.68 | 0.92 | 7% | 2% |
| | Optimistic | 1.11 | 0.98 | 0.33 | 0.99 | 0.68 | 0.93 | 7% | 2% |
| | Pessimistic | 1.12 | 1.35 | 0.30 | 0.92 | 0.73 | 0.99 | 16% | 9% |

¹ Stated aim of CMM 2018-01 for skipjack was to maintain the stock on average around the TRP of 50%SB_{F=0} (CMM para 13).

² For skipjack, comparison is Median F₂₀₄₁₋₂₀₄₄/F_{M_{SY}} v F₂₀₁₄₋₂₀₁₇/F_{M_{SY}}.

6. FIGURES

Recent recruitments



Long-term recruitment

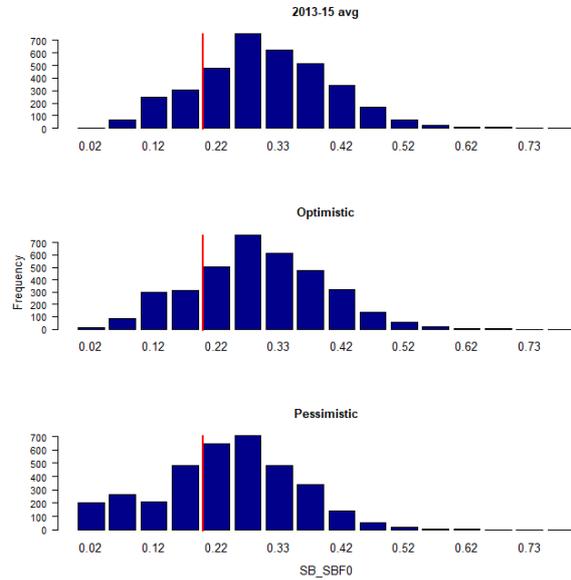
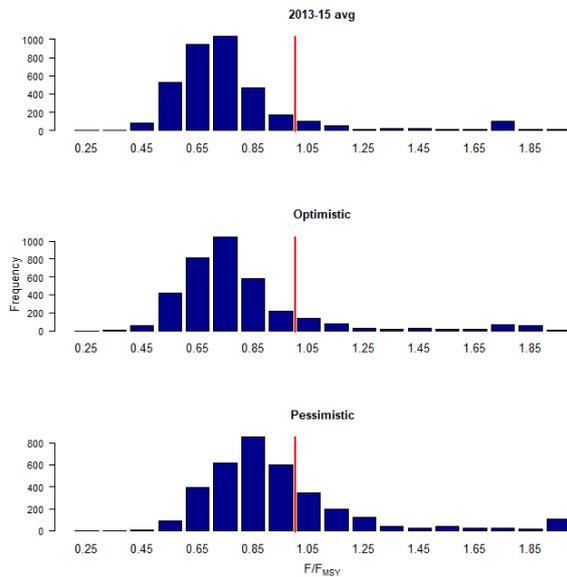


Figure 1. Distribution of $SB_{2045}/SB_{F=0}$ for bigeye tuna assuming recent and long-term recruitment conditions (left and right columns, respectively), under the three future fishing scenarios: 2013-15 avg (2013-15 average conditions, top row); optimistic conditions (middle row); and pessimistic conditions (bottom row). Projection results from ‘updated new growth’ models (3,600 projections) only. Red line indicates the LRP ($20\%SB_{F=0}$).

Recent recruitments



Long-term recruitment

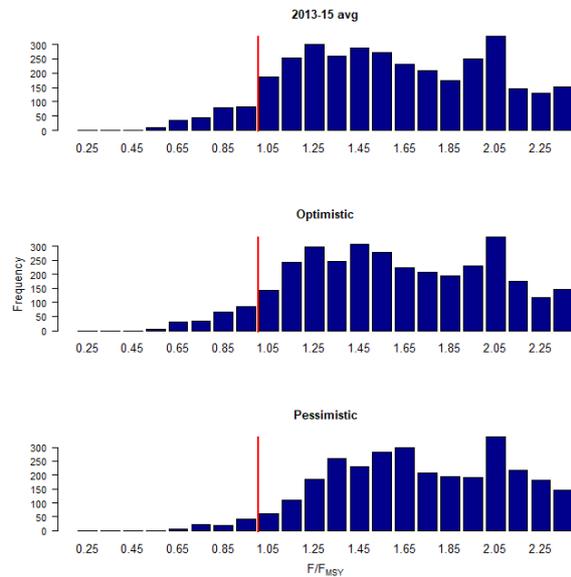


Figure 2. Distribution of F/F_{MSY} for bigeye tuna assuming recent and long-term recruitment conditions (left and right columns, respectively), under the three future fishing scenarios: 2013-15 avg (2013-15 average conditions, top row); optimistic conditions (middle row); and pessimistic conditions (bottom row). Projection results from ‘updated new growth’ models (3,600 projections) only. Red line indicates $F = F_{MSY}$.

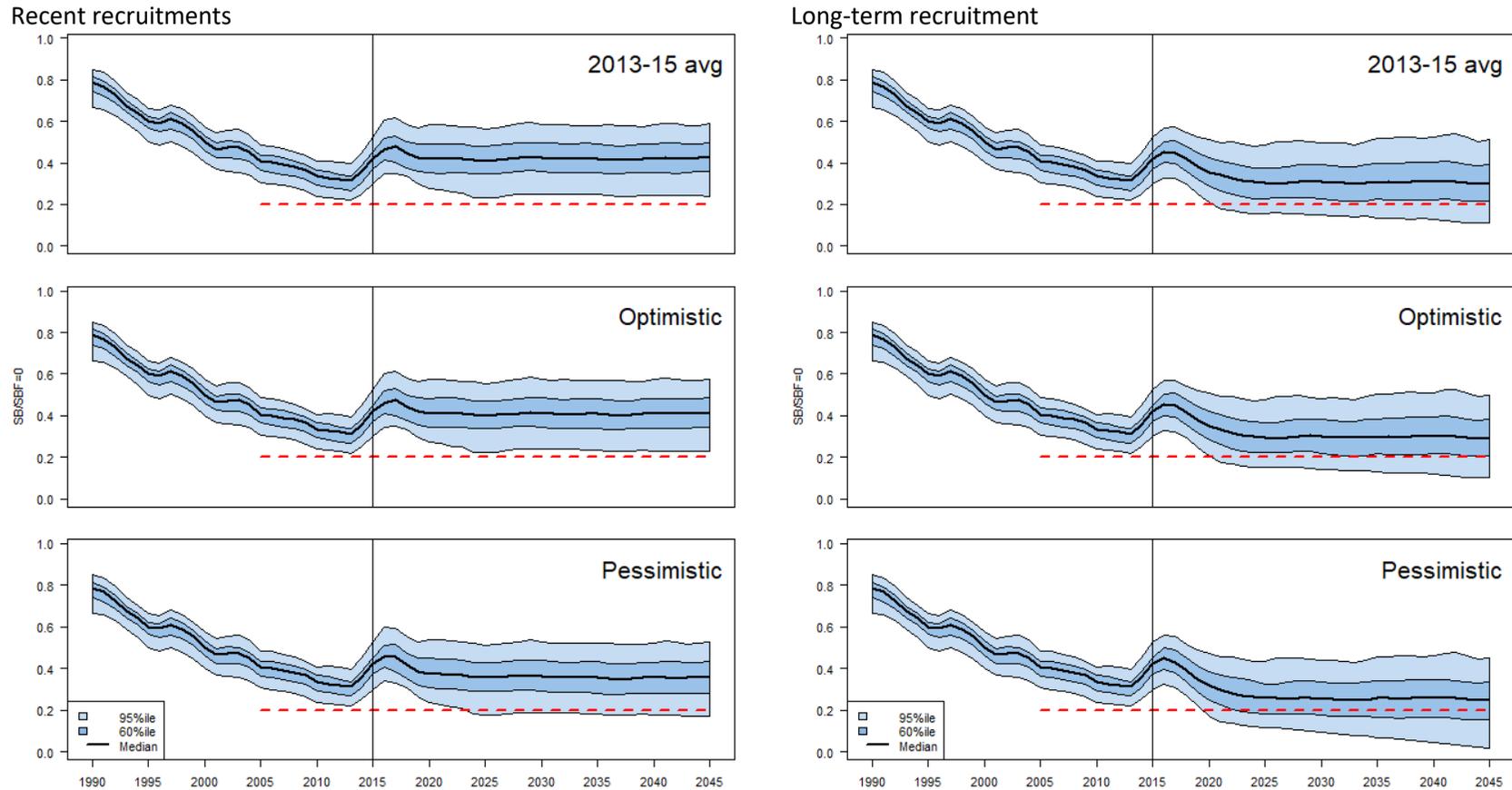


Figure 3. Time series of WCPO bigeye tuna spawning biomass ($SB/SB_{F=0}$) from the uncertainty grid of assessment model runs for the period 1990 to 2015 (the vertical line at 2015 represents the last year of the assessment), and stochastic projection results for the period 2016 to 2045 under the three future fishing scenarios (“2013-15 avg”, “Optimistic” and “Pessimistic”; rows). During the projection period (2016-2045) levels of recruitment variability are assumed to match those over the “recent” time period (2005-2014; left panel) or the time period used to estimate the stock-recruitment relationship (1962-2014; right panel). The red dashed line represents the agreed limit reference point.

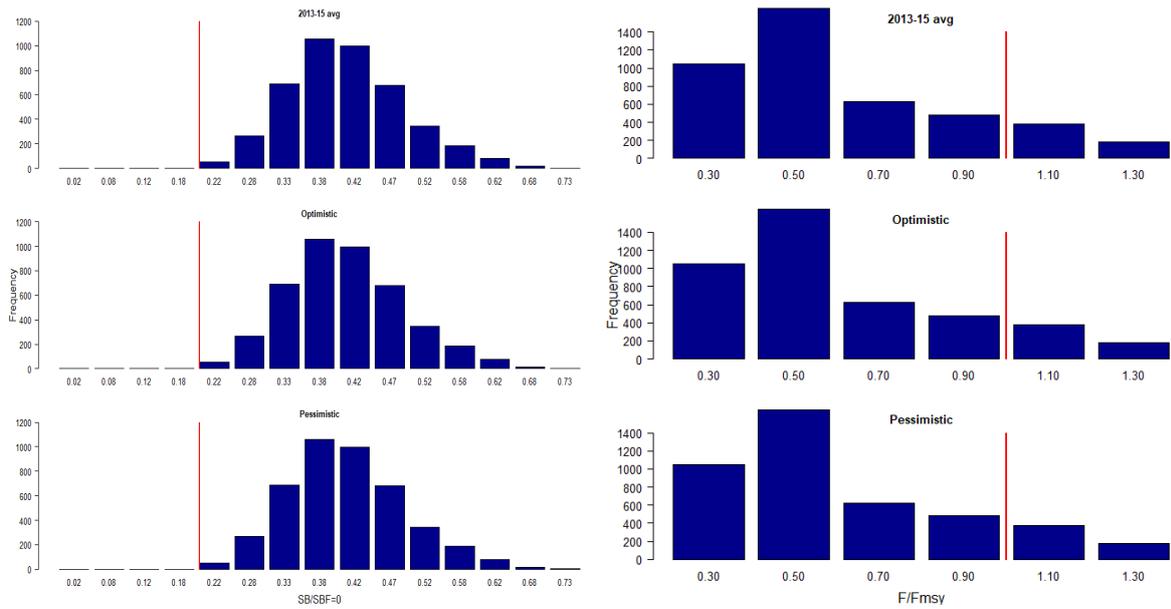


Figure 4. Distribution of $SB_{2045}/SB_{F=0}$ (left column), and F/F_{MSY} for skipjack tuna assuming long-term recruitment conditions, under the three future fishing scenarios: 2013-15 avg (2013-15 average conditions, top row); optimistic conditions (middle row); and pessimistic conditions (bottom row). Red line indicates the LRP ($20\%SB_{F=0}$) and $F=F_{MSY}$, respectively.

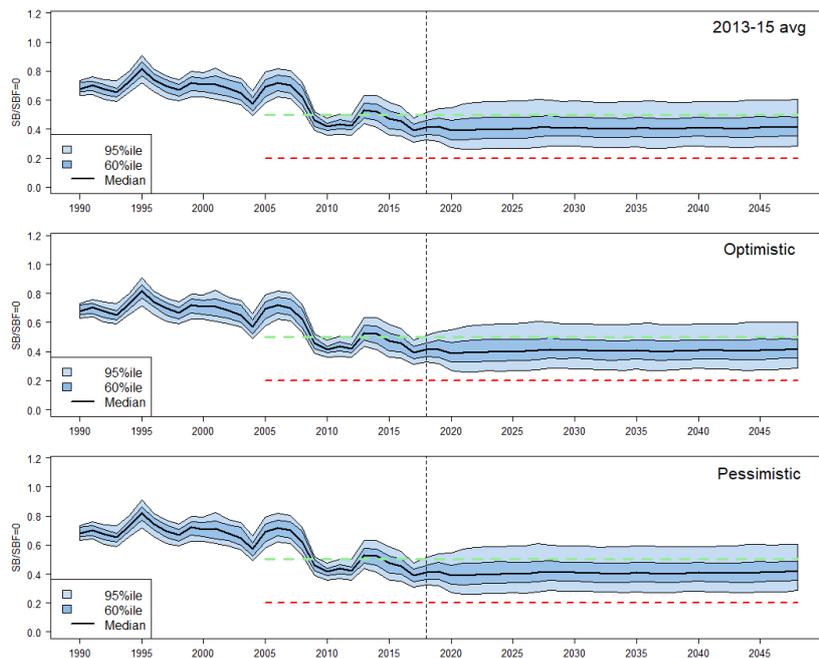


Figure 5. Time series of WCP0 skipjack tuna spawning biomass ($SB/SB_{F=0}$) from the uncertainty grid of assessment model runs for the period 1990 to 2018 (the vertical line at 2018 represents the last year of the assessment), and stochastic projection results for the period 2019 to 2045 under the three future fishing scenarios (“2013-15 avg”, “Optimistic” and “Pessimistic”; rows). During the projection period (2019-2045) levels of recruitment variability are assumed to match those over the time period used to estimate the stock-recruitment relationship (1982-2017). The red dashed line represents the agreed limit reference point, the green dashed line the interim target reference point.

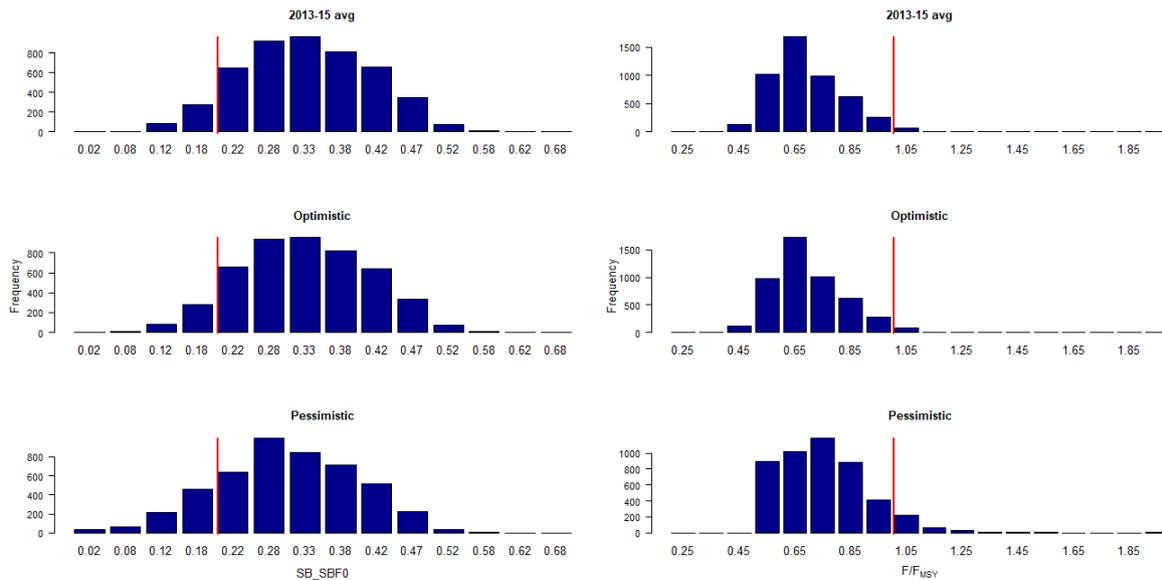


Figure 6. Distribution of $SB_{2045}/SB_{F=0}$ (left column), and F/F_{MSY} for yellowfin tuna assuming long-term recruitment conditions, under the three future fishing scenarios: 2013-15 avg (2013-15 average conditions, top row); optimistic conditions (middle row); and pessimistic conditions (bottom row). Red line indicates the LRP ($20\%SB_{F=0}$) and $F=F_{MSY}$, respectively.

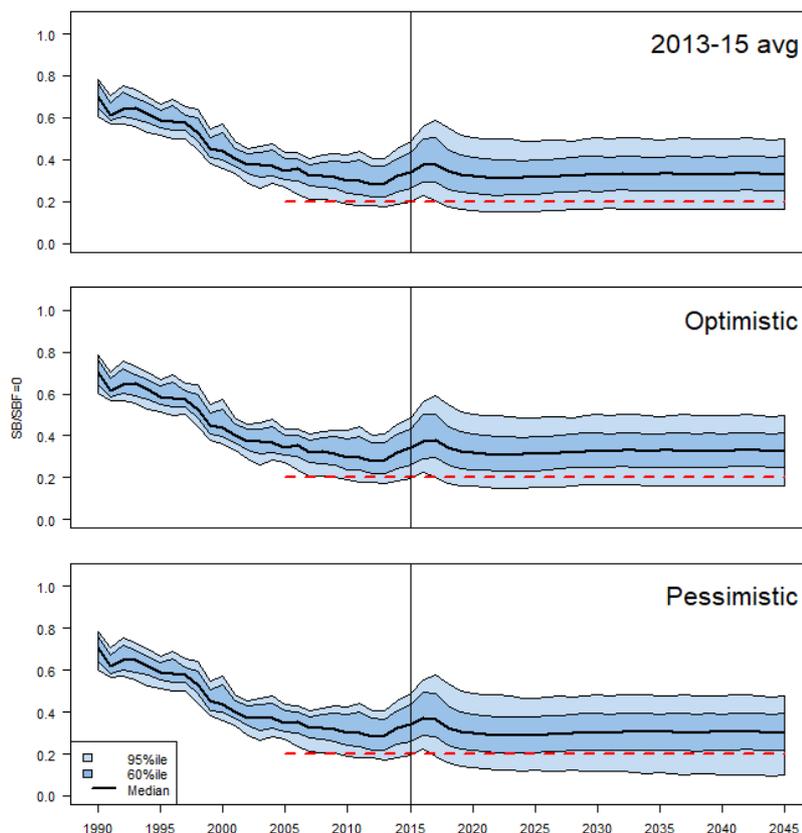


Figure 7. Time series of WCP0 yellowfin tuna spawning biomass ($SB/SB_{F=0}$) from the uncertainty grid of assessment model runs for the period 1990 to 2015 (the vertical line at 2015 represents the last year of the assessment), and stochastic projection results for the period 2016 to 2045 under the three future fishing scenarios (“2013-15 avg”, “Optimistic” and “Pessimistic”; rows). During the projection period (2016-2045) levels of recruitment variability are assumed to match those over the time period used to estimate the stock-recruitment relationship (1962-2014). The red dashed line represents the agreed limit reference point.

7. APPENDIX 1. ESTIMATION OF SCENARIOS

Purse seine FAD set numbers assumed for CCMs, and corresponding scalars relative to 2013-2015 average conditions under the two scenarios.

Optimistic PS scenario

| | Non-SIDS | | SIDS | | Non-SIDS | SIDS | Total |
|-----------------------------|-------------------|------------------------------------|------------------|------------------------------------|--------------|-------------|--------------|
| | 3 mth FAD closure | Additional 2mth high seas removes: | 3mth FAD closure | Additional 2mth high seas removes: | | | |
| CHINA | 1365 | 0 | | | 1365 | | 1365 |
| ECUADOR | 285 | 8 | | | 277 | | 277 |
| EL SALVADOR | 292 | 14 | | | 279 | | 279 |
| FSM | | | 661 | 3 | | 658 | 658 |
| JAPAN | 1019 | 0 | | | 1019 | | 1019 |
| KIRIBATI | | | 963 | 0 | | 963 | 963 |
| MARSHALL ISLANDS | | | 1285 | 7 | | 1278 | 1278 |
| NEW ZEALAND | 110 | 2 | | | 107 | | 107 |
| PAPUA NEW GUINEA | | | 1585 | 7 | | 1578 | 1578 |
| PHILIPPINES (distant-water) | 464 | 0 | | | 464 | | 464 |
| REPUBLIC OF KOREA | 1422 | 4 | | | 1418 | | 1418 |
| SOLOMON ISLANDS | | | 128 | 0 | | 128 | 128 |
| EU (SPAIN) | 477 | 29 | | | 449 | | 449 |
| CHINESE TAIPEI | 2591 | 3 | | | 2588 | | 2588 |
| TUVALU | | | 61 | 0 | | 61 | 61 |
| USA | 3330 | 59 | | | 3271 | | 3271 |
| VANUATU | | | 230 | 0 | | 230 | 230 |
| | | | | | 11236 | 4895 | 16131 |

Scalar V 2013-15 avg

1.11

Pessimistic PS scenario: additional high seas sets under specified effort limits

| | CMM HS day limit | Avg 13-15HS days | Avg HS sets/day | Additional HS sets |
|----|------------------|------------------|-----------------|--------------------|
| CN | 26 | 15.3 | 0.04 | 0.5 |
| ES | 403 | 327.7 | 0.62 | 46.7 |
| JP | 121 | 39.3 | 0.08 | 6.9 |
| NZ | 160 | 59.3 | 0.28 | 28.2 |
| KR | 207 | 146.0 | 0.20 | 12.4 |
| TW | 95 | 67.3 | 0.36 | 10.0 |
| US | 1270 | 1279.3 | 0.37 | 0.0 |

Additional HS sets

105

Longline bigeye catch assumed for CCMs, and corresponding scalars relative to 2013-15 average conditions under the two scenarios, plus intermediate analysis of consequences where CCMs limited to 2000mt take their recent average catch levels.

| CCM | Pessimistic | | Optimistic |
|----------------------------|--|---|-------------------------------------|
| | 2017 CMM levels if limited, otherwise 2000mt (non sids) or 2013-2015 avg | 2017 CMM levels if limited, otherwise 2013-2015 avg | 2017 CMM levels or 2013-15 if lower |
| AMERICAN SAMOA | 2,000 | 421 | 421 |
| AUSTRALIA | 2,000 | 588 | 588 |
| BELIZE | 2,000 | 72 | 72 |
| CHINA | 8,224 | 8,224 | 8,224 |
| COOK ISLANDS | 181 | 181 | 181 |
| EU-PORTUGAL | 2,000 | 65 | 65 |
| EU-SPAIN | - | 47 | 47 |
| FSM | 1,377 | 1,377 | 1,377 |
| FIJI | 1,300 | 1,300 | 1,300 |
| FRENCH POLYNESIA | 776 | 776 | 776 |
| GUAM | 2,000 | 277 | 277 |
| INDONESIA | 5,889 | 5,889 | 3,411 |
| JAPAN | 18,265 | 18,265 | 14,290 |
| KIRIBATI | 469 | 469 | 469 |
| MARSHALL ISLANDS | 27 | 27 | 27 |
| NAURU | 0 | 0 | 0 |
| NEW CALEDONIA | 57 | 57 | 57 |
| NEW ZEALAND | 2,000 | 118 | 118 |
| NIUE | 0 | 0 | 0 |
| NORTHERN MARIANAS | 2,000 | 831 | 831 |
| PALAU | 0 | 0 | 0 |
| PAPUA NEW GUINEA | 33 | 33 | 33 |
| PHILIPPINES | 2,000 | 77 | 77 |
| REPUBLIC OF KOREA | 13,942 | 13,942 | 12,095 |
| SAMOA | 44 | 44 | 44 |
| SENEGAL | 2,000 | 0 | 0 |
| SOLOMON ISLANDS | 2,481 | 2,481 | 2,481 |
| TONGA | 18 | 18 | 18 |
| TUVALU | 128 | 128 | 128 |
| CHINESE TAIPEI | 10,481 | 10,481 | 10,017 |
| USA | 3,554 | 3,554 | 3,554 |
| VANUATU | 3,670 | 3,670 | 3,670 |
| WALLIS AND FUTUNA | 0 | 0 | 0 |
| Total | 88,916 | 73,411 | 64,649 |
| Scalar from 2013-15 | 1.35 | 1.11 | 0.98 |

8. APPENDIX 2. ADDITIONAL ANALYSES REQUESTED BY CCMs AT THE 15TH SCIENTIFIC COMMITTEE

Three CCMs raised requests at SC15 for further evaluation within this paper, as detailed within the SC15 summary report:

1. The United States in seeking to fully understand the expected effects of CMM 2018-01, requested the science provider to explicitly consider and evaluate the expected effects of footnote 1 of CMM 2018-01, which relates to exemptions from the three-month FAD closure. The evaluation could be expressed in comparative fashion, such as comparing the effects of zero vessels taking the exemption versus 49 vessels taking the exemption, as occurred in 2018. The United States also requested the science provider to explicitly evaluate the expected effects of the exemptions for vessels of Kiribati and the Philippines under paragraph 17 of CMM 2018-01, which relates to exemptions from the additional two-month FAD closure for the high seas. It may be helpful to scale these evaluations relative to the effects of the FAD closures more generally; for example, what are the respective magnitudes of the effects of footnote 1 and paragraph 17 relative to the expected effects of the FAD closure? Ideally, these analyses would be incorporated into future routine evaluations of tropical tunas CMMs.
2. Palau asked for an analysis of the effect of overshooting of the high seas effort limits shown in Table 2 of SC15-MI-IP-06.
3. The EU inquired whether the purse seine effort repeatedly observed in the HS in recent years by CCMs not bound by HS effort limits was captured by the scenarios, and requested that it is addressed in future simulations.

Further requests were made at TCC15 for the evaluation of ‘all special provisions’ in the Measure (draft TCC15 summary report paragraph 346), but these could not be evaluated in time for WCPFC16.

To address the SC15 requests, we break the evaluation down into specific elements:

1. Footnote 1
2. Paragraph 17
3. Purse seine high seas effort relative to 2018-01 limits
4. Patterns of high seas effort

For each element, the consequences of the potential change in the number of FAD sets that could result, if patterns found in 2018 were to continue into the future, were evaluated for the purse seine fishery scalars under the ‘optimistic’ and ‘pessimistic’ scenarios. We also relate the change in the number of FAD sets to ‘FAD closure month’ equivalents.

The CMM evaluation assumes overall effort is constant at 2013-15 average levels, and a key issue is the pattern of FAD setting within that overall effort (e.g. through the impact of FAD closure periods). Where SC15 elements refer to effort, to which the corresponding specific number of FAD sets is impossible to identify (elements 3 and 4), we apply recent patterns of FAD setting per day for each flag to estimate the potential FAD sets that may result. Where necessary, we assume that all other CCMs maintain levels consistent with the ‘optimistic’ and ‘pessimistic’ scenarios.

Finally, since the CMM evaluation is focussed on total fishing levels, we evaluate the overall pattern of purse seine effort and FAD set numbers to identify whether 2018 patterns are consistent with expected levels under the CMM evaluation.

Where species catch are presented, these are adjusted based upon the species composition from observer sampling, or for Philippines fishing in HSP #1 directly sourced from observer data.

FOOTNOTE 1

Footnote 1 states “Members of the PNA may implement the FAD set management measures consistent with the Third Arrangement Implementing the Nauru Agreement of May 2008. Members of the PNA shall provide notification to the Commission of the domestic vessels to which the FAD closure will not apply.”

The pattern of fishing of the 49 domestic vessels to which this footnote applied in 2018 was summarised based upon logsheet data. Total FAD sets during the three month closure period and the catch by species were summed across vessels. The resulting total sets and species catch is summarised in Table 8.

Table 8. Summary of FAD effort and adjusted species catch taken within the 2018 FAD closure by ‘footnote 1’ vessels.

| Total FAD sets | Total catch (mt) | | | |
|----------------|------------------|-----------|--------|--------|
| | Skipjack | Yellowfin | Bigeye | Total |
| 765 | 31,851 | 4,926 | 1,991 | 38,768 |

PARAGRAPH 17

Paragraph 17 details the additional 2 month high seas-specific FAD closure period, with the exemption for those vessels flying the Kiribati flag when fishing in the high seas adjacent to the Kiribati exclusive economic zone, and Philippines’ vessels operating in HSP1 in accordance with Attachment 2. To evaluate the potential impact of fishing by vessels of these flags, we identified the level of fishing within each of the 2 month high seas closure periods in 2018, and calculate the average across them (Table 9). For Kiribati vessels, fishing activity in those months reflects that in neighbouring high seas areas.

Table 9. Summary of FAD effort and adjusted species catch taken within both additional two month high seas FAD closure periods, and the average fishing that might result, by Philippines vessels in HSP#1 (top) and Kiribati vessels in adjacent high seas areas (bottom).

Philippines (HSP#1)

| Months | Total FAD sets | Total catch (mt) | | | |
|-------------------|----------------|------------------|--------------|------------|--------------|
| | | Skipjack | Yellowfin | Bigeye | Total |
| April-May | 710 | 2,367 | 1,397 | 603 | 4,367 |
| November-December | 696 | 2,816 | 2,193 | 471 | 5,480 |
| Average | 703 | 2,591 | 1,795 | 537 | 4,923 |

Kiribati (adjacent high seas)

| Months | Total FAD sets | Total catch (mt) | | | |
|-------------------|----------------|------------------|------------|------------|--------------|
| | | Skipjack | Yellowfin | Bigeye | Total |
| April-May | 109 | 2,845 | 206 | 753 | 3,804 |
| November-December | 103 | 4,835 | 420 | 309 | 5,565 |
| Average | 106 | 3,840 | 313 | 531 | 4,684 |

PURSE SEINE HIGH SEAS EFFORT RELATIVE TO CMM LIMITS

To address the third SC15 request element, Table 10 below compares the agreed high seas effort limits within CMM 2017-01 (Table 2 of that CMM, which referred to limits in 2018 and which were carried

over into CMM 2018-01) with the patterns of actual fishing in 2018 from WCPFC16-2019-IP05 (Table 2).

Table 10. Comparison of CMM high seas purse seine effort limits (see CMM 2018-01, Table 2) with days fished in tropical international waters¹ (20°N to 20°S) in 2018.

| Flag | CMM limits | Days fished in international waters 20°N-20°S in 2018 ² |
|-------------------|------------|--|
| China | 26 | 3 |
| Ecuador | ** | 0 |
| El Salvador | ** | 28 |
| European Union | 403 | 200 |
| Indonesia | (0) | 0 |
| Japan | 121 | 5 |
| New Zealand | 160 | 125 |
| Philippines | # | 2,871 |
| Republic of Korea | 207 | 232 |
| Chinese Taipei | 95 | 58 |
| USA | 1,270 | 1,572 |

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Measures that Philippines would take are in Attachment 2 of CMM 2017-01

¹ WCPFC region or WCPO, dependent upon flag notifications on application of IATTC rules in the overlap area

² Taken from WCPFC16-2019-IP05, Table 2.

Assuming all other flags fished at CMM limits (as in the CMM evaluation ‘pessimistic’ scenario), the approximate additional number of FAD sets resulting from the additional days fished on the high seas would be 117 sets.

PATTERNS OF HIGH SEAS EFFORT

To examine the fourth SC15 request element, we use the data available from Table 2 of WCPFC16-2019-IP05 to calculate the average pattern of effort (days fished) in the high seas over the 2013-15 baseline period, and relate to the levels seen in 2018 (Table 11).

Table 11. Comparison of average high seas purse seine effort by flag over 2013-15 with days fished in tropical international waters (20°N to 20°S) in 2018.

| Flag | Days fished in international waters 20°N-20°S | |
|----------------|---|---------|
| | Average 2013-15 | in 2018 |
| China | 16 | 3 |
| Ecuador | 0 | 0 |
| El Salvador | 42 | 28 |
| European Union | 329 | 200 |
| FSM | 161 | 667 |
| Indonesia | 0 | 0 |
| Japan | 41 | 5 |
| Kiribati | 585 | 813 |
| Marshall Is. | 283 | 308 |
| Nauru | 0 | 143 |
| New Zealand | 59 | 125 |
| PNG | 395 | 21 |
| Philippines | 3,069 | 2,871 |

| | | |
|-------------------|--------------|--------------|
| Republic of Korea | 150 | 232 |
| Solomon Is. | 0 | 104 |
| Tuvalu | 29 | 55 |
| Chinese Taipei | 69 | 58 |
| USA | 1,284 | 1,572 |
| Vanuatu | 4 | 121 |
| Total | 6,515 | 7,326 |

Applying a flag-specific high seas FAD setting rate from recent years, the additional overall effort in 2018 compared to the baseline could result in an additional 419 FAD sets under the ‘optimistic’ scenario and 314 FAD sets compared to the ‘pessimistic’ scenario.

IMPACT OF SC15 ELEMENTS ON PURSE SEINE SCALARS

The potential impact of each SC15 element has been expressed as the potential change in the overall number of FAD sets. We subtract or add those estimated FAD sets to the overall number under the CMM optimistic and pessimistic scenarios, and re-calculate the purse seine scalars (Table 12). Based upon the assumed impact of a month of FAD closure on the purse seine effort scalar (a month’s closure being equivalent to a scalar of 1/8th, i.e. 0.125, relative to the 2013-15 baseline), we also relate the number of FAD sets thus estimated to the equivalent primary FAD closure period.

Table 12. Future purse seine scalars (under the CMM two scenarios) that may result where the equivalent number of FAD sets are removed from or added to from the calculations.

| | Approx. FAD set change | Optimistic scenario | Pessimistic scenario | Approximate equivalent main FAD closure period |
|---------------------------|------------------------|---------------------|----------------------|--|
| CMM evaluation scalars | | 1.11 | 1.12 | 3 months |
| Footnote 1 | -765 | 1.06 | 1.07 | ~ 2.6 months |
| Paragraph 17 ¹ | -809 | 1.08 | 1.08 | ~ 2.75 months |
| High seas CMM limits | +117 | 1.12 | 1.13 | ~ 3.1 months |
| Patterns of effort | +314 to 419 | 1.14 | 1.15 | ~3.2 months |

¹ Note that removal of 703 sets from Philippines (distant water) effort would lead to a negative number of sets (cf Table 9 and Appendix 1). We have assumed that the impact would be that no sets were made by this flag, which would lead to the reduction in purse seine effort scalar indicated in the table for ‘Paragraph 17’.

OVERALL PURSE SEINE FISHING PATTERNS IN 2018

To evaluate the potential impact of the CMM on WCPO tuna stocks, we relate the impact of total (purse seine FAD) fishing to the 2013-15 baseline. While specific purse seine elements of the CMM may be above anticipated baseline levels, others might be below, and hence compensate for changes. We therefore evaluate the total effort in days and effort in FAD sets in 2018 relative to the 2013-15 baseline level from Table 1 of WCPFC16-2019-IP05 and Figure A4 of SC15-GN-WP-01 (which best reflects the assumptions of the CMM evaluation) respectively (Table 13).

Table 13. Pattern of total purse seine effort (days (top) and FAD sets (bottom)) within the tropical WCPFC-CA (20°N to 20°S).

| Estimated days fished | | Scalar |
|-----------------------|--------|--------|
| Average 2013-15 | 2018 | |
| 53,882 | 48,765 | 0.91 |

| Estimated FAD sets | | Scalar |
|--------------------|--------|--------|
| Average 2013-15 | 2018 | |
| 16,709 | 18,531 | 1.11 |

As noted, the CMM evaluation assumes that total purse seine effort remains constant at 2013-15 levels. In 2018, total effort (days fished) was actually 9% lower than in the 2013-15 baseline period. However, the total number of FAD sets increased by 11% compared to the baseline. This scalar is consistent with the scalar anticipated under the optimistic scenario for purse seine. The overall pattern does indicate an increase in the intensity of FAD fishing per day within the WCPO in 2018. Further monitoring of this pattern is required to identify whether the pattern in 2018 continues in the future.