



**TO ALL COMMISSION MEMBERS, COOPERATING NON-MEMBERS,
PARTICIPATING TERRITORIES AND OBSERVERS**

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Compliance Monitoring Scheme Intersessional Working Group – 2022-2024 Workplan

Dear Colleagues,

As you will recall, the Chair of the Compliance Monitoring Scheme Intersessional Working Group (CMS IWG) presented a draft workplan for the CMS IWG in 2022 and beyond, for consideration by WCPFC18. The draft workplan was prepared in response to the following TCC17 recommendation (TCC17 Summary Report, paragraph 112):

112. TCC17 noted the need for a clear pathway to progress the work of the CMS-IWG and tasked the CMS-IWG Chair (the TCC Vice Chair) to work with the leads of the work streams to provide advice to WCPFC18 on a workplan for the IWG for 2022.

During WCPFC18, based on amendments to the Commission's Compliance Monitoring Scheme (CMM 2021-03), the Commission noted the following (WCPFC18 Summary Report, paragraph 332):

332. The Commission noted that the CMS-IWG workplan will be modified intersessionally to take into account the approach to the Commission's Compliance Monitoring Scheme in 2022 and 2023.

The updated workplan is attached. This workplan takes into consideration the following amendments included in CMM 2021-03:

- i. The Compliance Monitoring Report (CMR) assessment review process (provisional CMR and final CMR process), including the review of the aggregate tables, shall be deferred in 2022 so that the Technical and Compliance Committee can dedicate appropriate time to the completion of CMS Future Work components (Section IX);*
- ii. TCC18 shall focus on completing the development of audit points, a risk-based assessment framework and the development of guidelines for observer participation in the CMS and provide recommendations to WCPFC19 to support WCPFC19's adoption of these work areas. TCC18 shall also continue to consider: a.the process for reviewing the aggregated information referred to in paragraph 26(ii); b.the other components of the CMS Future Work; c.further improvements to the compliance case file system; and d.appropriate compliance assessment decision making processes.*

- iii. *WCPFC19 shall adopt audit points and a risk-based assessment framework for use in the 2023 CMR review;*

The workplan was developed in consultation with the Secretariat and the respective leads on the development of a risk based assessment framework and the development of audit points. The objective of the workplan is to develop a clear timeline of the anticipated work of the CMS IWG in order to complete the tasks included in the CMS future work plan, as outlined in Section IX of CMM 2021-03.

Please submit any comments **by close of business on Friday 29 April 2022 (Pohnpei time)** on the updated CMS IWG workplan to the CMS-IWG Chair at emily.crigler@noaa.gov (cc: the lead on the development of a risk based assessment framework heather.ward@mpi.govt.nz, the lead on the development of audit points rhea.moss@gmail.com, and Compliance Manager Lara.Manarangi-Trott@wcpfc.int).

As a reminder, the Secretariat has developed a dedicated webpage to support the organization and work of the CMS-IWG on the WCPFC website, available at <https://www.wcpfc.int/cms-iwg-2020>. All papers related to the work of the CMS-IWG, including the updated workplan, will be made available on the CMS-IWG webpage.

Kindest Regards,

Emily Crigler
TCC Vice-Chair & Chair of the CMS IWG

CMS Future Work	2022	2023	2024
<p><i>Development of Risk-based Assessment Framework (RBAF)</i></p>	<p>Submit Discussion Paper on proposed RBAF to TCC18 (by 5 September 2022), with a view to making recommendations to WCPFC19. <i>(Intersessional)</i></p> <p>Reflecting discussions in 2021, continue to develop a RBAF as a tool to prioritize obligations for assessment in the CMS. <i>(Intersessional/TCC18)</i></p> <p>Adoption of RBAF and process to incorporate use of the RBAF into the development of annual list of priority obligations, taking into account the development of audit points. <i>(WCPFC19)</i></p> <p>Use RBAF as a tool to inform the development of the list of obligations (covering activities in 2022) for assessment in 2023. <i>(TCC18/WCPFC19)</i></p> <p>Modify CMS CMM as necessary to incorporate RBAF. <i>(WCPFC19)</i></p> <p>[Work Completed]</p>		

CMS Future Work	2022	2023	2024
<i>Development of Audit Points</i>	<p>Develop and circulate information paper on the progress to date in the development of audit points. <i>(Intersessional)</i></p> <p>Develop draft audit points for obligations as agreed by the CMS IWG, for intersessional consideration ahead of TCC18. <i>(Intersessional)</i></p> <p>Meeting of CMS IWG to refine audit points as necessary and agree to a full list of audit points to be forwarded to WCPFC19 for review. <i>(TCC18)</i></p> <p>Agree that audit points will be trialed at TCC19 in the adopted list of obligations for assessment in 2023. <i>(WCPFC19)</i></p>	<p>Secretariat to reference draft audit points for 2023 trial in preparation of the Annual Report Part 2 online system for CCMs, and when preparing the dCMR. <i>(Intersessional)</i></p> <p>Incorporate audit points into review of the dCMR (trial). <i>(TCC19)</i></p> <p>Make any necessary changes to audit points based on trial. <i>(Intersessional)</i></p> <p>Adoption of audit points and process to develop audit points for future CMMs. Modify CMS CMM as necessary to incorporate audit points. <i>(WCPFC20)</i></p> <p>[Work Completed]</p>	
<i>Facilitate improvements to the CCFS</i>	<p>Secretariat to complete delivery of WCPFC17 tasks to enhance CCFS. <i>(Intersessional)</i></p> <p>CCMs to trial CCFS messaging tool to track observer requests. <i>(Intersessional)</i></p>	<p>Further develop process for the consideration of aggregated summaries of information drawn from the CCFS ahead of TCC19. <i>(Intersessional)</i></p> <p>Secretariat to implement</p>	

CMS Future Work	2022	2023	2024
		<p>refinements to aggregate summary tables to support the revised process for the consideration of aggregated summaries of information drawn from the CCFS. (<i>Intersessional</i>)</p> <p>[Work Completed]</p>	
<p><i>Participation of Observers in CMR</i></p>	<p>Prepare a working paper to explore data included in the CMR as it relates to the WCPFC Rules for Protection, Access and Dissemination of Data Held by the Commission (TCC17 Recommendation). (<i>Intersessional</i>)</p> <p>Meeting of the CMS IWG to discuss data included in the CMR as it related to WCPFC data rules. (<i>TCC18</i>)</p> <p>Develop tentative approach to allow participation of Observers in the CMR. (<i>Intersessional</i>)</p> <p>Agree to trial process for participation of Observers in the CMR. (<i>WCPFC19</i>)</p>	<p>Admission of Observers during review of the dCMR. (trial). (<i>TCC19</i>)</p> <p>Make any necessary improvements to allow participation of Observers in the CMR. (<i>Intersessional</i>)</p> <p>Adoption of process to allow participation of Observers in the CMR. Modify CMS CMM as necessary. (<i>WCPFC20</i>)</p> <p>[Work Completed]</p>	

CMS Future Work	2022	2023	2024
<p><i>Development of Corrective Actions</i></p>	<p>Nominate lead for the development of corrective actions. (WCPFC19)</p>	<p>Begin work on the development of corrective actions. (Intersessional)</p>	<p>Incorporate trial corrective actions into review of the dCMR. (TCC20)</p> <p>Make any necessary improvements and continue development of corrective actions based on trial. (Intersessional)</p> <p>Adoption of corrective actions and process to agree to corrective actions for future obligations. Modify CMS CMM as necessary to incorporate corrective actions. (WCPFC21)</p> <p>[Work Completed]</p>