



**TECHNICAL AND COMPLIANCE COMMITTEE**  
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**SUMMARY OF CAPACITY ASSISTANCE NEEDS IDENTIFIED IN DRAFT CMRS**

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**WCPFC-TCC12-2016-10C**  
**15 September 2016**

**Paper prepared by the Secretariat**

**Purpose**

1. This paper, as in previous years, provide a summary of Capacity Assistance needs identified by CCMs, based on this year's Annual Report Part 2 reporting and/or draft Compliance Monitoring Report (dCMR) replies. The summary is up to date as at 7<sup>th</sup> September, 2016 and also indicates where CCMs have provided submissions related to Capacity Development Plans (CMM 2015-07 paragraphs 5 -7) as part of replies to this year's dCMR.

**Background**

2. As was the case in previous years, and in accordance with CMM 2015-07, CCMs may provide an indication in their Annual Report Part 2 and in replies to dCMRs indications of areas where assistance is needed.
3. CMM 2015-07 paragraph 24 says:  
*24. Upon receipt of its dCMR, each CCM may, where appropriate, reply to the Executive Director no later than 28 days prior to TCC each year to:*
  - (i) provide additional information, clarifications, amendments or corrections to information contained in its dCMR;
  - (ii) identify any particular difficulties with respect to implementation of any obligations; or
  - (iii) identify technical assistance or capacity building needed to assist the CCM with implementation of any obligations.
4. CMM 2015-07 paragraph 5 also says:  
*"5. Notwithstanding paragraph 3, where a SIDS or Participating Territory, or Indonesia or the Philippines cannot meet a particular obligation that is being assessed, due to a lack of capacity<sup>2</sup>, that CCM shall provide a Capacity Development Plan to the Secretariat with their draft Compliance Monitoring Report (dCMR), that:*
  - (i) clearly identifies and explains what is preventing that CCM from meeting that obligation;
  - (ii) identifies the capacity building assistance needed to allow that CCM to meet that obligation;

- (iii) estimates the costs and/or technical resources associated with such assistance, including, if possible, funding and technical assistance sources where necessary;
- (iv) sets out an anticipated timeframe in which, if the identified assistance needs are provided, that CCM will be able to meet that obligation.

The CCM may work together with the Secretariat to draft the Capacity Development Plan. This plan shall be attached to that CCM's comments to the dCMR.

Footnote 2: Any CCM may identify a capacity assistance need through the CMS process; however, the application of paragraphs 5 - 7 is limited to those CCMs identified in the paragraph."

5. In respect of Capacity Development Plans, Circular No.:2016/42 in announcing availability online for each CCM of their dCMR at the end of July also included a Quick Guide on how to submit Capacity Development Plan online, with CCM's dCMR responses. The Secretariat throughout August also reached out to CCMs drawing attention to this aspect of the dCMR and offering assistance, if needed.

**Obligations where assistance needs were identified**

6. A summary of the CMM paragraphs and topics where CCMs have indicated a capacity assistance need is provided in Table 1. The list provided in Table 1 includes obligations (CMM paragraphs) where at least one CCM has included some sort of response within the part of the dCMR template that was titled "Capacity Development Plan", or had indicated a capacity assistance need within their Annual Report Part 2 reporting.
7. Authorised CCMs users may view individual CCMs indications of assistance needs by:
  - a. reviewing the full draft Compliance Monitoring Report on the Intranet and filtering by the appropriate column to show the CCMs and CMM paragraphs where CCMs had indicated they had an assistance need; or
  - b. reviewing the full dCMR MS Excel export, which can be filtered, to show the CCMs and CMM paragraphs where CCMs had indicated they had an assistance need.
8. Copies of Capacity Development Plans submissions are viewable by authorized CCMs users through the secure side of the wcpfc website as WCPFC-TCC12-2016-dCMR01-CDP (7 September 2016).

**Recommendation**

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| <ol style="list-style-type: none"> <li>9. TCC12 is invited to consider the capacity assistance needs listed in Table 1 and any other areas identified by CCMs during TCC12's review of dCMRs when developing the Provisional Compliance Report and noting the task set out in paragraph 30 (ii) of CMM 2015-07.</li> </ol> |
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**Table 1: List of CMM paragraphs from 2016 full draft CMR where CCMs identified a capacity assistance need and/or submitted information in the dCMR reply that was titled “Capacity Development Plan”**

<b>CMM paragraph</b>	<b>Topic</b>	<b>CMR section<sup>1</sup></b>	<b>CDP response in dCMR reply?</b>
CMM 2005-03 03	Catch report of NP ALB due every six months	ii	
CMM 2007-01 Att K Ann C 06	CCMs shall achieve 5% coverage of the effort in each fishery under the jurisdiction of the Commission	v	**
CMM 2008-03 02	Report on Implementation of FAO Guidelines and this CMM	iii	**
CMM 2009-06 34	Ban on high seas transshipment, unless a CCM has determined impracticability in accordance with para 37 guidelines, and has advised the Commission of such.	i	**
CMM 2009-06 11	Annual report on all transshipment activities covered by this Measure (including transshipment activities that occur in ports or EEZs) in accordance with the specified guidelines (Annex II)	ii & vii	**
CMM 2009-06 35 a (iii)	WCPFC Transshipment Advance Notification (including fields in Annex III)	vii	**
CMM 2010-02 02	E-HSP Entry and Exit report	ii	**
CMM 2010-07 09	Take measures to prohibit their vessels from retaining, transshipping, landing or trading in any fins harvested in contravention of this CMM	i	**
CMM 2011-04 03	Annual report on estimated number of releases and status upon release of oceanic whitetip shark (Part 1 report)	iii	**
CMM 2012-07 04	Report on which mitigation measures are used N 23 N or S 30 S, as well as technical specifications. Subsequent years include advice on any changes.	iii	
CMM 2013-07 19	Annual report on implementation of CMM on SPECIAL REQUIREMENTS OF SMALL ISLAND DEVELOPING STATES AND TERRITORIES	vii	
CMM 2013-08 01	Prohibit vessels from retaining on board, transshipping, storing or landing any silky sharks, in whole or in part (applied after 1 July 2014)	i	**
CMM 2013-08 03	Annual report on estimated number of releases of silky shark caught in the Convention Area, including status upon release (Pt 1)	iii	**
CMM 2014-01 16	Advice on additional FAD set reduction choice during 2015	iv & vii	**

<sup>1</sup> CMM 2015-07 paragraph 3: (i) catch and effort limits for target species; (ii) catch and effort reporting for target species; (iii) reporting including with respect to implementation of measures for non-target species; (iv) spatial and temporal closures, and restrictions on the use of fish aggregating devices; (v) authorizations to fish and the Record of Fishing Vessels, observer, VMS coverage, transshipment and the High Seas Boarding and Inspection Scheme; (vi) provision of scientific data through the Part 1 Annual Report (and its addendum) and the Scientific Data to be provided to the Commission; and (vii) submission of the Part II Annual Report, including compliance with the obligations in paragraph 36, and compliance with other Commission reporting deadlines.

<b>CMM paragraph</b>	<b>Topic</b>	<b>CMR section<sup>1</sup></b>	<b>CDP response in dCMR reply?</b>
CMM 2014-01 23	certain other coastal States to establish and notify limit for purse seine fishing in their waters	vii	**
CMM 2014-01 24	Reporting against EEZ limits	vii	**
CMM 2014-01 33	Requirement for purse seine vessels to carry a ROP observer	v	**
CMM 2014-01 34	100% purse seine coverage: specific rules for vessels fishing exclusively in areas under its national jurisdiction	v	**
CMM 2014-01 40	Bigeye longline catch limits by flag, as specified in Attachment F. Any overage to be deducted from the catch limit for the following year.	i	**
CMM 2014-01 44	Monthly reporting of bigeye longline catches	ii & vii	**
CMM 2014-01 47	Limit on total effort and capacity of certain other commercial tuna fisheries (that take >2000Mt of BET, YFT and SKJ)	i	**
CMM 2014-01 48	Estimates of fishing effort for other fisheries for bigeye, yellowfin or skipjack, or proposals for provision of effort data for 2013 and beyond	ii	**
CMM 2014-02 9a	Fishing vessels comply with the Commission standards for WCPFC VMS including being fitted with ALC/MTU that meet Commission requirements	v	**
CMM 2014-02 9a VMS SSPs 2.8	Provision of ALC/MTU 'VTAF' data	v	**
CMM 2014-02 9a VMS SSPs 7.2.2	CCMs to conduct periodic audits of ALC/MTUs of its vessels and report results to the Commission (AR Pt 2)	v & vii	**
CMM 2014-03 02	Requirements and specifications to implement CMM 2013-10 paragraph 6 (submit completed vessel record data for vessels authorised fish beyond its flag CCMs areas under national jurisdiction)	v	**
SciData 01	Estimates of Annual Catches	vi & vii	**
SciData 03	Operational Level Catch and Effort Data	vi & vii	**
SciData 05	Size composition data	vi & vii	