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NINETEENTH REGULAR SESSION**

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Adopted Audit Points for the WCPFC Compliance Monitoring Scheme (CMS)

4 December 2022

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#	CMM/para	Category	AUDIT POINT
Part A: Overarching Reporting Requirements			
1	MCS Data Rules 44 (2009 Document)	R	<p>Where a CCM has requested data, the following information has been reported in respect of the information:</p> <p>Secretariat confirms that the CCM has reported information in its ARPt2 on:</p> <ol style="list-style-type: none"> a. CCM’s domestic measures taken to ensure the confidentiality of any data and information received pursuant to the MCS Data Rules and Procedures b. a statement affirming that they have complied with the data retention and destruction requirements of Section V of these Rules and Procedures c. a summary report of the status of any investigation, judicial or administrative proceedings <p>Where applicable, the Secretariat confirms that the CCM has checked and liaised with the Secretariat on any CCM issues in the Secretariat-published Administration of Data Rules and Procedures website summary at https://www.wcpfc.int/administration-wcpfc-data-access-rules-and-procedures.</p>
2	Convention Art 23(2c)	R	The Secretariat confirms that the CCM has provided any relevant updates in its Annual Report Part 2 for prior year obligations or where there are no updates, has indicated such in its responses to Implementation (I) obligations.
3	Special Requirements 2013-07 19	R	The Secretariat confirms that CCM submitted information in AR Pt 2 on any assistance it provided to SIDS/T in accordance with CMM 2013-07, or CCM submitted information on any assistance required.
4	Record of Fishing Vessels 2018-06 16	R	The Secretariat confirms that CCM submitted a report on the results of their review of their internal actions and measures taken pursuant to paragraph 1 of CMM 2018-06, including sanctions and punitive actions, and in a manner consistent with domestic law as regards disclosure.
5	Compliance Monitoring Scheme 2021-03 17	R	The Secretariat confirms that CCM submitted a report of its progress under an approved Capacity Development Plan

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6	Compliance Monitoring Scheme 2021-03 45	R	The Secretariat confirms that CCM submitted a report of any actions it has taken to address its non-compliance in the previous years' CMR.
Part B: Quantitative Limits in CMMs for Tuna and Billfish			
7	SWP Str Marlin 2006-04 01	L	The CCM reported in AR Pt2 the number of its flagged vessels fishing for MLS south of 15S and the Secretariat can verify the CCM's reported number of vessels and confirm that the CCM's allowable limit has not been exceeded.
8	SWP Str Marlin 2006-04 04	R	The Secretariat confirms that the CCM submitted in its ARPt1: <ul style="list-style-type: none"> a. the number of its flagged vessels that fished for MLS south of 15S between 2001-2004 and has nominated the maximum number of its flagged vessels that are permitted to continue to fish for MLS south of 15S b. the catch levels of CCM flagged vessels that have taken MLS as a bycatch the number and catch levels of its vessels fishing for MLS south of 15S.
9	Swordfish 2009-03 01	L	The CCM reported in AR Pt2 its total number of flagged vessels fishing for SWO south of 20S and the Secretariat can verify the CCM's reported catch level and confirm that the CCM's allowable limit has not been exceeded.
10	Swordfish 2009-03 02	L	The CCM reported in AR Pt2 its total catch of SWO by its flagged vessels in the area south of 20S and the Secretariat can verify the CCM's reported catch level and confirm that the CCM's allowable limit has not been exceeded.
11	Swordfish 2009-03 03	I	1. CCM submitted a <i>statement</i> in AR Pt2 that: <ul style="list-style-type: none"> a. confirms CCM's implementation through adoption of a national binding measure that ensures that CCM flagged vessels do not shift effort (for swordfish) to the area north of 20S

#	CMM/para	Category	AUDIT POINT
			<p>b. describes how it is monitoring its flagged vessels to ensure they do not shift effort for SWO to the area north of 20S and how the CCM responds to potential infringements or instances of non-compliance with this requirement.</p> <p>2. The Secretariat can verify that the CCM's flagged vessels have not shifted effort to the area north of 20S.</p>
12	Swordfish 2009-03 08	R	The Secretariat confirms that the CCM submitted the required information contained in the template in Annex 2 of CMM in its AR Pt 1.
13	NP Striped Marlin 2010-01 05	L	The CCM reported its catch level in AR Pt2 and the Secretariat can verify the CCM's reported catch limit and confirm that its allowable limit was not exceeded.
14	NP Striped Marlin 2010-01 08	R	Secretariat confirms that CCM submitted a report of national binding measures CCM applied to flagged/chartered vessels to reduce CCM vessels' catch and total catch.
15	SP Albacore 2015-02 01	L	CCM reported its number of flagged vessels actively fishing for South Pacific Albacore south of 20S and the Secretariat can verify the CCM's reported information and confirm that the allowable limit has not been exceeded.
16	SP Albacore 2015-02 04	R	The Secretariat confirms that the CCM submitted information on annual catch levels by its flagged vessels taking SP Albacore, as well as the number of CCM flagged vessels actively fishing for SP Albacore south of 20S, with catch levels reported by species groups.
17	NP Albacore 2019-03 02	L	CCM reported its level of fishing effort by its flagged vessels fishing for NP albacore and the Secretariat can verify that the allowable limit was not exceeded.
18	NP Albacore 2019-03 03	R	The Secretariat confirms that CCM submitted a report of information on catch and effort by CCM flagged vessels engaged in directed fishing for NP albacore north of the equator, by gear type and days fished (effort) and by weight (catch), using the template at Annex 1 of CMM 2019-03.
19	Tropical Tuna 2021-01 24	L	<p>1. Coastal CCM or PNA Office on behalf of PNA Parties+Tokelau notified their EEZ PS effort or catch limit or collective PNA+Tokelau EEZ effort or catch limit to the Secretariat.</p>

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			2. Coastal CCM confirms in AR Pt2 that its notified EEZ limit or the PNAO confirms on behalf of PNA+Tokelau that the notified collective EEZ limit has not been exceeded and the Secretariat can verify the CCM's reported information and confirm that the notified EEZ or collective EEZ limit has not been exceeded.
20	Tropical Tuna 2021-01 25	L	CCM submitted its high seas PS effort level in the area between 20N and 20S in AR Pt 2 and the Secretariat can verify the CCM's reported information and confirm that the allowable limit has not been exceeded.
21	Tropical Tuna 2021-01 Att 2 03	R	<ol style="list-style-type: none"> 1. CCM submitted reports to the Secretariat at least 24 hours prior to entry and no more than 6 hours prior to exiting HSP1-SMA in the required format: VID/Entry or Exit: Date/Time; Lat/Long 2. Secretariat review of VMS alerts for CCM vessels operating in HSP1-SMA against received entry/exit reports does not show any discrepancies and Secretariat confirms CCM has no vessels with VTAF data gaps or other VMS reporting anomalies.
22	Tropical Tuna 2021-01 37	L	The CCM reported its total bigeye longline catch in its AR Pt2 and the Secretariat can verify the CCM's reported catch level and confirm that the allowable limit has not been exceeded.
23	Tropical Tuna 2021-01 38	R	The Secretariat confirms that it received 12 bigeye longline catch reports for each month of the reporting year.
24	Tropical Tuna 2021-01 40	L	CCM reported its total bigeye longline catch in its AR Pt 2 and the Secretariat can verify the CCM's reported catch level and confirm that it does not exceed 2,000mt.
25	Tropical Tuna 2021-01 42	L	The CCM reported its number of CCM flagged PS vessels >24m with freezing capacity and operating between 20N and 20S and the Secretariat can verify the CCM's reported information and confirm that the allowable limit has not been exceeded.
26	Tropical Tuna 2021-01 43	L	CCM reported whether it replaced any of its flagged large scale purse seine vessels in the previous year and if so, that the replacement vessel did not result in an increase in carrying capacity or an increase in

#	CMM/para	Category	AUDIT POINT
			catch or effort from the level that was replaced, and the Secretariat can verify that the allowable limit was not exceeded.
27	Tropical Tuna 2021-01 44	L	The CCM reported in AR Pt2 its number of flagged LL vessels with freezing capacity targeting bigeye and the Secretariat can verify the CCM's reported information and confirm that the allowable limit has not been exceeded.
28	Tropical Tuna 2021-01 45	L	The CCM reported in AR Pt2 its number of flagged ice-chilled LL vessels targeting bigeye and landing exclusively fresh fish and the Secretariat can verify the CCM's reported information and confirm that the allowable limit has not been exceeded.
29	Pacific Bluefin 2021-02 08 (2020-02 05)	R	The Secretariat confirms CCM submitted a complete report to the Secretariat on total fishing effort and catch levels of PBT by fishery for the previous three years and catch information includes discards.
30	Pacific Bluefin 2021-02 02 (2020-02 02(1))	L	CCM reported its total level of fishing effort by CCM's flagged vessels fishing for PBF north of 20N in its report to the Secretariat as required by paragraph 8 of the CMM, and the Secretariat can verify the CCM's reported total fishing effort and confirm that the CCM's allowable limit was not exceeded.
31	Pacific Bluefin 2021-02 03 (2020-02 02(2))	L	CCM reported its total catches of PBF less than 30kg and 30kg or larger and the Secretariat can verify the CCM's reported total catches and confirm that the total catch level does not exceed the CCM's allowable annual limit.
32	Pacific Bluefin 2021-02 04	L	CCM reported its total catches of PBF 30kg or larger and the Secretariat can verify the CCM's reported total catches and confirm that the CCM's catch of PBF 30kg or larger has not increased by more than 15% above its allowable limit, or that the CCM's catch of PBF 30kg or larger has not exceeded 10mt beyond the CCM's applicable baseline catch limit.
33	Pacific Bluefin 2021-02 14	R	The Secretariat confirms receipt of a complete report by the CCM on national binding measures adopted to implement paragraphs 2, 3, 4, 7, 8, 10, 11, 13, and 16 of the CMM, and that the report includes results of the CCM's monitoring of international trade of products derived from PBF.

#	CMM/para	Category	AUDIT POINT
Part C: Additional Measures for Tropical Tunas			
34	High Seas Catch Retention 2009-02 08-12	R	If a discard report was submitted, the Secretariat confirms that the report contained all the information at CMM 2009-02 para 12(a-k).
35	Tropical Tuna 2021-01 14	I	<p>CCM submitted a <i>statement</i> in AR Pt2 that:</p> <ul style="list-style-type: none"> a. confirms CCM's implementation through adoption of a national binding measure that prohibits CCM flagged PS vessels from fishing on FADs between 1 July and 30 September in EEZs and high seas between 20N and 20S. b. describes how CCM is monitoring its flagged PS vessels to ensure they do not fish on FADs in EEZs and on high seas between 20N and 20S and how potential infringements or instances of non-compliance with this requirement are handled. <p>*FOR PNA MEMBERS THAT NOTIFY EXEMPTIONS AS PER FOOTNOTE 1: In addition to the statements required in a and b for its flagged vessels operating in other EEZs and on the high seas between 20N and 20S, the PNA member submitted a notification to the WCPFC ED within 15 days of its approval of an arrangement to which domestic vessels that the 3-month FAD closure will not apply in PNA member EEZ.</p>
36	Tropical Tuna 2021-01 15	I	<p>Based on the CCM's notification by the required deadline of its choice of implementation of which two consecutive additional months of FAD closure on the high seas, the CCM has submitted a statement that:</p> <ul style="list-style-type: none"> a. confirms CCM's implementation through adoption of a national binding measure that prohibits CCM flagged PS vessels from fishing on FADs on the high seas between 20N and 20S during the chosen additional two months closure period b. describes how CCM is monitoring its flagged PS vessels to ensure they do not fish on FADs on the high seas between 20N and 20S during the chosen additional two months closure period, and how potential infringements or instances of non-compliance with this requirement are handled.

#	CMM/para	Category	AUDIT POINT
Part E: Mitigating Impacts of Fishing, Including on Species of Special Interest			
37	High Seas Driftnets 2008-04 05	R	Secretariat confirms that for any CCM that conducted MCS activities relating to high seas driftnet fishing on the high seas in the Convention Area, a report was submitted by the CCM.
38	Cetaceans 2011-03 01	I	CCM submitted a <i>statement</i> in AR Pt2 that: <ul style="list-style-type: none"> a. confirms CCM’s implementation through adoption of a national binding measure that prohibits CCM flagged PS vessels from setting a purse seine net on a school of tuna associated with a cetacean (if sighted prior to commencement of the set) b. describes how CCM is monitoring its flagged PS vessels to ensure they do not set a purse seine net on a school of tuna associated with a cetacean where a sighting occurs prior to commencement of the set, and how potential infringements or instances of non-compliance with this requirement are handled.
39	Cetaceans 2011-03 02	I	CCM submitted a <i>statement</i> in AR Pt2 that: <ul style="list-style-type: none"> a. confirms CCM’s implementation through adoption of a national binding measure that requires the vessel master of CCM flagged PS vessels to follow safe release guidelines in the event a cetacean is unintentionally encircled in the PS net b. describes how CCM is monitoring its flagged PS vessels to ensure safe release guidelines are followed and how potential infringements or instances of non-compliance with this requirement are handled.
40	Cetaceans 2011-03 05	R	Secretariat confirms that CCM submitted a report on instances in which cetaceans have been encircled by the purse seine nets of flagged vessels and as reported in ARPt1 under para 2(b) of CMM.
41	Seabirds 2018-03 02 (under the “Resolves” section of CMM)	R	The Secretariat confirms that CCM submitted a report on its implementation of the IPOA-Seabirds, including, as appropriate, the status of its National Plan of Action for Reducing Incidental Catches of Seabirds in Longline Fisheries.

#	CMM/para	Category	AUDIT POINT
42	Seabirds 2018-03 08	R	The Secretariat confirms that applicable CCMs with LL vessels fishing in the Convention Area south of 25°S or north of 23°N submitted information describing which of the mitigation measures the CCM requires its vessels to use, as well as the technical specifications for each of those mitigation measures, and any relevant changes to prior year reporting.
43	Seabirds 2018-03 13	R	The Secretariat confirms that CCM submitted a report using the reporting template in Annex 2 of CMM 2018-03 on seabird interactions reported or collected by observers.
44	Sea Turtles 2018-04 02 and 03	R	The Secretariat confirms that CCM submitted a report on its implementation of the CMM and any sea turtle interactions by CCM flagged vessels, and that data collected through the ROP was reported through other required Commission data collection provisions.
45	Sea Turtles 2018-04 05 a-d	R	The Secretariat confirms that reporting by CCM fishing vessels on interactions with sea turtles is also reported by CCM in its Scientific Data Provision requirements. Where a CCM is undertaking research on FAD designs that reduce sea turtle entanglement, the Secretariat confirms receipt of CCM's research results.
46	Sea Turtles 2018-04 06	I	CCM submitted a <i>statement</i> in AR Pt2 that: <ul style="list-style-type: none"> a. confirms CCM's implementation through adoption of a national binding measure that requires operators of CCM flagged LL vessels to carry and use line cutters and de-hookers to handle and promptly release sea turtles caught or entangled and where appropriate, carry and use dip-nets in accordance with WCPFC guidelines b. describes how CCM is monitoring its flagged LL vessels to ensure this requirement is followed and how potential infringements or instances of non-compliance with this requirement are handled.
47	Sea Turtles 2018-04 07a	I	CCM submitted a <i>statement</i> in AR Pt2 that: <ul style="list-style-type: none"> a. confirms CCM's implementation through adoption of a national binding measure that requires operators of CCM flagged LL vessels to employ at least one of the three mitigation methods listed in paragraph 7a of the CMM b. describes how CCM is monitoring its flagged LL vessels to ensure that at least one of the mitigation measures in paragraph 7a of the CMM is being employed, and how potential infringements or instances of non-compliance with this requirement are handled.

#	CMM/para	Category	AUDIT POINT
			and the Secretariat confirms that CCM provided information in AR Pt 2 of any CCM vessel interactions with sea turtles in fisheries managed under the Convention and confirmation that CCM vessels are required to record all incidents involving sea turtles during fishing operations.
48	Sharks 2019-04 05	R	The Secretariat confirms that CCM reported in AR Pt 2 information on alternative measures not contained in the CMM that the CCM is applying in areas under CCM's national jurisdiction.
49	Sharks 2019-04 07-10	I	CCM submitted a <i>statement</i> in AR Pt2 that: <ul style="list-style-type: none"> a. confirms CCM's implementation through adoption of a national binding measure that requires CCM flagged vessels to fully utilize any sharks that are retained on board and to prohibit any finning from taking place, or required alternative measures to ensure individual shark carcasses and their corresponding fins can be easily identified on board the vessel at any time b. describes how CCM is monitoring its flagged vessels to ensure that sharks are being fully utilized and fins are naturally attached to the carcass or alternative measures are applied as per the CMM, and how potential infringements or instances of non-compliance with this requirement are handled.
50	Sharks 2019-04 11	R	The Secretariat confirms receipt of a report from CCM in AR Pt 2 with information on CCM implementation of measures taken to require CCM vessels to land sharks with fins naturally attached to the carcass, including a detailed explanation of implementation of paragraphs 8 and 9 of CMM 2019-04 and CCM's compliance monitoring activities.
51	Sharks 2019-04 12	I	CCM submitted a <i>statement</i> in AR Pt2 that: <ul style="list-style-type: none"> a. confirms CCM's implementation through adoption of a national binding measure that prohibits CCM flagged fishing vessels from retaining on board, transshipping, landing, or trading any fins harvested in contravention of CMM 2019-04 b. describes how CCM is monitoring its flagged fishing vessels to ensure that no fins are retained on board, transshipped, landed or traded, and how potential infringements or instances of non-compliance with this requirement are handled.
52	Sharks 2019-04 13	I	CCM submitted a <i>statement</i> in AR Pt2 that:

#	CMM/para	Category	AUDIT POINT
			<ul style="list-style-type: none"> a. confirms CCM's implementation through adoption of a national binding measure that requires CCM flagged fishing vessels to land or tranship all shark carcasses with their corresponding fins and in a manner that enables inspectors to verify b. describes how CCM is monitoring its flagged fishing vessels to ensure that all shark fins that are landed or transhipped with their corresponding carcasses can be verified, and how potential infringements or instances of non-compliance with this requirement are handled.
53	Sharks 2019-04 14-15	I/R	<p>Based on the CCM's notification to the Secretariat of which option will apply to the CCM or its individual vessels, the CCM has submitted a statement in AR Pt 2 that:</p> <ul style="list-style-type: none"> a. confirms CCM's implementation through adoption of a national binding measure that requires its flagged LL vessel or vessels to apply the CCM's selected option b. describes how CCM is monitoring its flagged LL vessels to ensure that the selection option is being applied and how potential infringements or instances of non-compliance with this requirement are handled.
54	Sharks 2019-04 16	R	The Secretariat confirms CCM submitted a report in its AR Pt 2 of its management plan for CCM's flagged LL vessels targeting sharks (note report guidance in CMM Annex 2 para 5).
55	Sharks 2019-04 18	I	<p>CCM submitted a <i>statement</i> in AR Pt2 that:</p> <ul style="list-style-type: none"> a. confirms CCM's implementation through adoption of a national binding measure that requires CCM flagged vessels with observers or electronic monitoring cameras on board to haul any sharks that are caught alongside the vessel before being cut free to facilitate species ID b. describes how CCM is monitoring its flagged vessels with observers or electronic monitoring cameras on board to ensure that any sharks caught are hauled alongside to facilitate species ID, and how potential infringements or instances of non-compliance with this requirement are handled.
56 57 58	Sharks (OWT, SS) 2019-04 20 (01-03)	I	<p>CCM submitted a <i>statement</i> in AR Pt2 that:</p> <ul style="list-style-type: none"> a. confirms CCM's implementation through adoption of a national binding measure that prohibits CCM flagged vessels or vessels under CCM charter to retain on board, tranship, store, or land any oceanic whitetip or silky shark, in whole or in part; requires release of any oceanic whitetip or silky shark that is caught, in accordance with applicable safe release guidelines; surrender in whole any

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			<p>unintentionally caught oceanic whitetip or silky shark that are frozen as part of CCM flagged PS vessels' operation to the responsible government authorities or discard them at the point of landing or transshipment, upon which any surrendered OWT or SS may be donated for human consumption</p> <p>b. describes how CCM is monitoring its flagged vessels or vessels it charters to ensure the requirements are met, and how potential infringements or instances of non-compliance with this requirement are handled.</p>
59 60	<p>Sharks (Whale)</p> <p>2019-04 21 (01-07) 2019-04 21(04)</p>	I/R	<p>CCM submitted a <i>statement</i> in AR Pt2 that:</p> <p>a. confirms CCM's implementation through adoption of a national binding measure that: prohibits its flagged and chartered vessels from setting a purse seine on a school of tuna associated with a whale shark if the animal is sighted prior to the commencement of a set, or retaining on board, transshipping, or landing any whale shark caught in the Convention Area, in whole or in part, in the fisheries covered by the Convention; ensures that all reasonable steps are taken to ensure safe release of incidentally encircled whale sharks and that the incident is reported to the CCM's relevant authority</p> <p>b. describes how the CCM is monitoring its flagged and chartered vessels to ensure the requirements are met and how potential infringements or instances of non-compliance with this requirement are handled.</p> <p>*CCMs with vessels authorized to fish in the EEZ of any member of the PNA shall include in their statement in AR Pt 2 the requirement for this prohibition to be in accordance with the PNA 3IA. (para 21.3)</p> <p>*CCMs with vessels authorized to fish in EEZs of CCMs north of 30N, the CCM shall implement the CMM or compatible measures consistent with obligations in the CMM and provide a description of those measures in AR Pt 2. (para 21.4)</p>
61	<p>Sharks</p> <p>2019-04 23</p>	R	The Secretariat confirms CCM submitted a report in AR Pt2 that addresses each of the elements contained in the template at Annex 2 of the CMM.
62	<p>Mobulids</p> <p>2019-05 04-06, 08, 10</p>	I	<p>CCM submitted a <i>statement</i> in AR Pt 2 that:</p> <p>a. confirms CCM's implementation through adoption of a national binding measure that: prohibits its flagged vessels from retaining on board, transshipping, or landing any part or whole carcass of a</p>

#	CMM/para	Category	AUDIT POINT
			<p>mobulid ray, and require prompt release alive and unharmed any mobulid ray that is caught, in accordance with safe handling practices in the CMM; requires CCM PS vessel operators to surrender any unintentionally caught and landed mobulid rays to the relevant government authorities at the point of landing or transshipment, or discard them where possible, and allows observers to collect biological samples of mobulid rays that are caught and dead at haul back</p> <p>b. describes how the CCM is monitoring its flagged vessels to ensure the requirements are met and how potential infringements or instances of non-compliance with this requirement are handled.</p>
Part F: Operational Requirements for Fishing Vessels			
63	VMS SSPs 2.8	R	<ol style="list-style-type: none"> 1. The Secretariat confirms that CCM's flagged vessels are on the FFA Good Standing List. <p>or</p> <ol style="list-style-type: none"> 2. The Secretariat confirms that the CCM has provided complete VTAF details.
64	RFV 2018-06 03	I	<p>CCM submitted a <i>statement</i> in AR Pt 2 that:</p> <ol style="list-style-type: none"> a. confirms CCM's implementation through adoption of a national binding measure that prohibits fishing by CCM flagged vessels beyond areas of CCM's national jurisdiction without the appropriate CCM authorization b. describes how the CCM is monitoring and ensuring that its flagged vessels are not operating beyond the CCM's areas of national jurisdiction without the appropriate CCM authorization, and how potential infringements or instances of non-compliance with this requirement are handled.
65	RFV 2018-06 09	R	The Secretariat confirms that a fished/did not fish report has been received from the CCM using the required template.
66	VMS VMS SSPs 2.13 and 7.2.2	R	For each inspection that has been conducted in the previous year, The Secretariat confirms that CCM submitted a complete report of each of its MTU AUDIT INSPECTIONS.
67	Vessel Markings and Specs 2004-03 03	R	The Secretariat confirms that each CCM flagged vessel entry on the WCPFC Record of Fishing Vessels contains a WIN, in line with the requirements in paragraph 2.1.1 (a) or (b) of CMM 2004-03.

#	CMM/para	Category	AUDIT POINT
Part G: Activity Related Requirements			
68	SciData 01 (annual catch estimates)		Assessment is in accordance with Tier-Scoring Evaluation Level:
69	SciData 02 (number of active vessels)		<ul style="list-style-type: none"> • A Tier Score of III = COMPLIANT • A Tier Score of I or II = NON-COMPLIANT/PRIORITY
70	SciData 03 (operational level C/E data)		I No data are provided, or data have been provided but they have been evaluated as 'unusable' (instances where none of the data provided can be used in assessments). This level of data gap is the most severe and has by far the greatest impacts on the scientific work of the Commission.
71	SciData 05 (size composition data)		II Data have been provided, most of which can be used for the scientific work of the Commission, but (i) there are one or several (minimum-standard) data fields not provided an/or (ii) the coverage of the data is not according to the requirements. In these cases, some of the scientific work of the Commission cannot be undertaken. The % value assigned in this category represents the estimated proportion of the key attribute data provided compared to the full set of key attribute data required as stipulated in the WCPFC data submission guidelines.
			III Data have been provided, there are no gaps in the data provided and the coverage of data is according to the requirements.
72	High Seas Boarding and Inspection 2006-08 41	R	The Secretariat confirms that CCM provided information on actions CCM has taken in response to HSBI of CCM fishing vessels that resulted in observation of alleged violations, and that information on CCM proceedings and sanctions is included, as applicable.
73	Vessels without Nationality 2009-09 05	R	Secretariat confirms that CCM submitted a report of whether any sightings took place by CCM of fishing vessels without nationality operating in the high seas of the Convention Area.

#	CMM/para	Category	AUDIT POINT
74	Transhipment 2009-06 11	R	The Secretariat confirms receipt by the CCM in AR Pt 1 of the required information in the prescribed format contained at Annex II of CMM 2009-06, and confirms that the report includes the required information for all CCM transhipment events in the Convention Area of all HMFS covered by the Convention, as well as HMFS taken in the Convention Area and transhipped outside the Convention Area, in accordance with paras 10, 11, and 12 of CMM 2009-06.
75	Transhipment 2009-06 29	L	The Secretariat verifies the information reported by the CCM in AR Pt 2 and confirms that the CCM's allowable limit of PS vessels transhipping outside of port has not been exceeded. *Note additional reporting obligations for COVID19-related requirements: The Secretariat has received information in AR Pt 2 of the CCM's approach to implementation of the suspension from 20 April 2020 to 15 March 2022.
76	Transhipment 2009-06 34	L	The Secretariat confirms that none of the vessels for which the CCM is responsible has engaged in high seas transhipment, unless the CCM indicated in the WCPFC Record of Fishing Vessels or by other means of communication that the vessel or vessels are authorized pursuant to paragraph 37 of CMM 2009-06 to engage in high seas transhipment activities.
77	Transhipment 2009-06 35(a)(ii)	R	The Secretariat confirms that CCM indicated its flagged vessels authorized to tranship on the high seas, including by completing the relevant data field in the RFV data.
78	Transhipment 2009-06 35(a)(iii)	R	Where a CCM (or chartering CCM) has indicated that it has authorized its flagged vessels to engage in high seas transhipment and indicated such authorization in its RFV, the Secretariat confirms that it has received the corresponding WCPFC high seas transhipment notification in respect of each CCM-authorized vessel in accordance with Annex III of CMM 2009-06 or WCPFC ER Standards for high seas transhipment.
79	Transhipment 2009-06 35(a)(iv)	R	Where a CCM (or chartering CCM) has indicated that it has authorized its flagged vessels to engage in high seas transhipment and indicated such authorization in its RFV, the Secretariat confirms that it has received the corresponding WCPFC high seas transhipment declaration in respect of each CCM-authorized vessel in accordance with Annex I of CMM 2009-06 or WCPFC ER Standards for high seas transhipment.

#	CMM/para	Category	AUDIT POINT
80	Transshipment 2009-06 35 (a)(v)	R	The Secretariat confirms that the CCM submitted a plan that details the steps CCM is taking to encourage its vessels to transship in ports in the future.
81	Eastern High Seas Pocket 2016-02 06	L	The Secretariat confirms that none of the vessels for which the CCM is responsible has engaged in transshipment activities in the EHSP.
82	Charters 2019-08 02	R	The Secretariat confirms that notification from a CCM of which vessels are to be identified as operating pursuant to a chartering arrangement with the CCM was received within the required timeframe.
83	Charter Notifications 2019-08 03	R	The Secretariat confirms one of the following for the applicable CCM: 1. Chartering CCM provided complete information of any additional vessel(s) to be identified as operating pursuant to charter arrangement AND 2. Chartering CCM provided complete information in respect of any changes made for a chartered vessel previously notified to the WCPFC ED AND 3. Chartering CCM provided notice of termination of any chartered vessel previously notified to the WCPFC
84	Charters 2019-08 07	R	The Secretariat confirms that it received a report from the chartering CCM on the catch and effort of vessels notified as operating under charter to the CCM.
85	Tropical Tuna 2021-01 48	R	The Secretariat confirms that CCM submitted operational level catch and effort data for all its flagged vessels' fishing activities in EEZs and high seas S20N, for the CMR year, in accordance with the Standards for the Provision of Operational Level Catch and Effort Data and this measure.
86	Tropical Tuna 2021-01 50	R	The Secretariat confirms that CCM provided 1x1 aggregated catch and effort data for its flagged vessels that operate in EEZs and on the high seas N20N AND IF THE CCM WAS REQUESTED,

#	CMM/para	Category	AUDIT POINT
			The Secretariat confirms that CCM cooperated with Scientific Service Provider in concluding a data handling agreement that enabled the CCM to provide its operational level data to the Commission.
Part H: Inspection Activity Related Requirements			
87	Convention Articles 23(5) and 25(2)	R	The Secretariat confirms that CCM provided updates to their case information contained in the Online Compliance Case File System.
88	High Seas Boarding and Inspection 2006-08 30 and 32	R	The Secretariat confirms receipt from the CP, and the CCM of the relevant fishing vessel, that a full report of a high seas boarding and inspection event was submitted in the applicable timeframe. Where a serious violation was observed by the CP, the Secretariat confirms receipt of notification from the CP.
89	High Seas Boarding and Inspection 2006-08 40	R	Secretariat confirms receipt of a report from a Contracting Party on its high seas boarding and inspection activities and where possible violations were observed, the information is clear and accurate and has been shared with the relevant parties.
90	High Seas Boarding and Inspection 2006-08 41	R	Secretariat confirms that CCM submitted updated HSBI-related information on any relevant cases in the Online Compliance Case File System and that the CCM also submitted information in its ARPt2 on any actions taken in response to alleged violations observed during HSBI events, including information on proceedings and sanctions, where applicable.
91	Port State Measures 2017-02 13 and 14	R	The Secretariat confirms through the relevant requesting CCM and the port CCM that a port inspection report was provided, where requested.
92	Port State Measures 2017-02 19 and 21	R	Secretariat confirms that port CCM has submitted details on its point of contact for purposes of CMM 2017-02 and that the port CCM has notified the Secretariat of its relevant measures adopted pursuant to CMM 2017-02 within 30 days of such measures entering into force.

#	CMM/para	Category	AUDIT POINT
Part I: Observer Activity Related Requirements			
93	Tropical Tuna 2021-01 32	R	The Secretariat confirms receipt of a report from the CCM that its flagged purse seine vessels carried an ROP observer where that flagged purse seine vessel was fishing exclusively on the high seas, on the high seas and in waters under national jurisdiction of one or more coastal States, or in waters under the jurisdiction of two or more coastal States.
94	Tropical Tuna 2021-01 Att2 05-06	I	CCM submitted a <i>statement</i> in AR Pt 2 that: <ul style="list-style-type: none"> a. confirms CCM’s implementation through adoption of a national binding measure that requires CCM flagged vessels to employ a WCPFC Regional Observer while operating in HSP-1 SMA describes how the CCM is monitoring and ensuring that its flagged vessels are not operating in HSP-1 SMA without a WCPFC Regional Observer, and how potential infringements or instances of non-compliance with this requirement are handled

