# 2015 FINAL COMPLIANCE MONITORING REPORT (COVERING 2014 ACTIVITIES) Executive Summary

#### I. INTRODUCTION

- 1. WCPFC12 undertook its fifth annual review of compliance by CCMs against a priority list of Commission obligations agreed to at WCPFC11 (see Att U to WCPFC11 Summary Report). TCC11 developed a provisional compliance monitoring report covering all CCMs against obligations in seven categories as per paragraph 3 of CMM 2014-07.
- 2. A number of CCMs provided additional information between TCC11 and WCPFC12. A special TCC was convened prior to WCPFC12 to review and evaluate the additional information. The special TCC only considered additional information that was provided by the deadline of 30 days prior to WCPFC12. The special TCC considered all additional information, including for CCMs not present at the working group meetings.
- 3. After considering the additional information, the special TCC was unable to assess three obligations: CMM 2007-01, Para 14 (vii); CMM 2013-01 Para 14 and CMM 2013-01 Para 16. This report has been updated to reflect the outcomes of the special TCC.
- 4. The Commission concluded that the following CCMs are considered to be "Compliant" under the provisions of CMM 2014-07: Canada, Cook Islands, Mexico, New Caledonia, Nauru and Vietnam.
- 5. The Commission concluded that the following CCMs are considered to be "Non-Compliant" under the provisions of CMM 2014-07: Australia, China, Ecuador, European Union, Fiji, Federated States of Micronesia, France, Indonesia, Japan, Kiribati, Republic of Korea, Marshall Islands, Niue, New Zealand, Panama, French Polynesia, Papua New Guinea, Philippines, Palau, Samoa, Solomon Islands, El Salvador, Thailand, Tokelau, Tonga, Tuvalu, Chinese Taipei, United States of America, Vanuatu, Wallis and Futuna.

#### II. DEVELOPMENT OF THE PROVISIONAL COMPLIANCE MONITORING REPORT BY TCC11

- 6. TCC11 reviewed the draft Compliance Monitoring Report (draft CMR) for 36 CCMs in a closed working group session. The draft CMR is classified as non-public domain data as a number of CCMs were not able to agree to release their non-public domain data, therefore the decision was made to close the session.
- 7. TCC11 agreed to conduct the compliance review process in accordance with the agreed principles articulated in WCPFC-TCC11-2015-23 rev 1.
- 8. The tier scoring system adopted by WCPFC11 assisted in evaluating the compliance of CCMs for the provision of scientific data and TCC11 concluded that it was very useful and informative tool.
- 9. TCC11 notes that the Provisional CMR provides its provisional compliance assessment and it is submitting this report to the Commission for its consideration and final assessment.

#### III. ISSUES ARISING DURING THE COMPLIANCE REVIEW PROCESS

#### CMM 2007-01, Para 14(vii); CMM 2013-01, Para 14; and CMM 2013-01, Para 16

- 10. TCC11 encountered difficulty in assessing the obligations in CMM 2007-01, Para 14(vii); CMM 2013-01, para 14; and CMM 2013-01, Para 16. A number of significant issues were raised by both flag states and coastal states.
- 11. TCC11 reached a compromise for progressing the issue during this year's assessment; however, many CCMs remained uncomfortable with the agreed way forward. TCC11 noted that this reflects a change to the way these obligations have previously been assessed.
- 12. TCC11 agreed that CCMs would be assessed as Compliant against these obligations if they were able to provide details indicating that a good faith effort had been made to investigate the alleged violations. However, many CCMs noted that most of the reports of investigations fell far short of what would be considered a full investigation, and would be considering any relevant CCMs actions between TCC11 and WCPFC12 when assessing the final compliance status.
- 13. TCC11 agreed that the provisional CMR would clearly note the concerns raised with the agreed approach. The following issues were noted:
  - a. CCMs take allegations of violations involving observer harassment or interference very seriously;
  - b. CCMs consider the annual FAD closure to be a central part of the Tropical Tunas measure;
  - c. The revised CMS CMM must include a mechanism that requires CCMs to report back annually on the progress of these investigations until they are resolved;
  - d. The Commission must prioritize finding a resolution to facilitate the process for flag states to obtain observer reports relating to alleged violations in a timely manner;
  - e. Any solution must include the commitment of both flag states and coastal states that provide observers to collaborate to improve this process;
  - f. If the allegations of a violation come from an observer report, any investigation into those violations must include, at a minimum, review of that report;
  - g. CCMs noted that a full investigation is the first step and what is most critical is that CCMs address any violations that are found; and
  - h. Coastal states shall receive notification of alleged violations occurring in their waters.
- 14. The special TCC, when considering the additional information provided by a number of CCMs between TCC11 and WCPFC12, were unable to reach consensus on the final compliance assessments for CMM 2007-01 Para 14 (vii), CMM 2013-01 Para 14 and CMM 2013-01 Para 16 and agreed to not assess these three obligations for 2014.
- 15. TCC11 agreed that the process as described in paragraph 12 was only accepted on the basis that this does not set a precedent for how these obligations will be assessed in the future. The special TCC reaffirmed this view.
- 16. TCC11 identified three recommendations and the special TCC identified a further two recommendations to assist in improving the ability of the Commission to assess compliance on these issues in the future.

## IV. WCPFC12 ADOPTED THE FOLLOWING RECOMMENDATIONS CONTAINED IN THE TCC11 pCMR AND OUTCOMES OF THE SPECIAL TCC:

- 17. TCC11 and the special TCC recommend that WCPFC12 prioritize finding a resolution to facilitate the process for flag states to obtain observer reports relating to alleged infringements in a timely manner, noting that any solution must include the commitment of both flag States and coastal States as well as observer providers to collaborate to improve this process. It is the responsibility of all CCMs to work together on this issue as a priority.
- 18. As an initial response to the recommendation in paragraph 17, TCC11 and the special TCC recommends that WCPFC12 adopt IWG-ROP4's recommendations in paragraphs 28 31 of the IWG-ROP4 Summary Report to establish a "pre-notification process from observer providers to flag CCMs of possible alleged infringements by their vessels."
- 19. TCC11 recommends that WCPFC12 encourage the Secretariat to continue its work developing the Secretariat's compliance case file system on the IMS, which would allow the Secretariat to track the alleged infringements reported by observers as Article 25(2) matters.
- 20. Special TCC recommends that WCPFC12 prioritize development of criteria to provide guidance to TCC in assessing what would constitute a "compliant" assessment in respect to matters of investigations being undertaken by a flag State.
- 21. The special TCC was pleased to note the reporting of remedial actions being undertaken by a number of CCMs as they improve implementation of obligations. However, the special TCC notes with concern that there are a number of outstanding investigations from 2014 on which little progress was reported and recommends that WCPFC12, taking into account paragraph 17, reiterate flag State obligations to investigate as fully as possible and report progress on outstanding investigations to TCC12.
- 22. TCC11 was pleased to note that there has been significant progress in the provision operational level catch and effort data by two CCMs. However, there continues to be a significant difference of interpretation as to whether the obligation contained in paragraph 03 (Operational level catch and effort data) of the Scientific Data to be Provided to the Commission is met by providing aggregated catch and effort data and size composition data, as described in paragraphs 04 and 05 of the Scientific Data to be Provided to the Commission.
- 23. As a result, TCC11 was again unable to assess this obligation. However, TCC11 notes that additional progress in this area is expected in 2016.
- 24. In conducting its assessment of CMM 2013-01, Para 40, TCC11 noted that a majority of CCMs identified one CCM as Priority Non-Compliant against this obligation, while a minority of CCMs believed that the CCM should be assessed as Compliant. The majority view was that despite the fact the CCM had adjusted its annual Bigeye Tuna quota for 2015, to reflect the overage in 2014, the CCM remained Priority Non-Compliant due to the overage. The minority view was that paragraph 40 allows for the adjustment of the following year's quota and thus if the CCM has made that

adjustment, it should be assessed as Compliant.

- 25. Consistent with the 2012, 2013 and 2014 Final Compliance Monitoring Report, CCMs evaluated as "non-compliant" are strongly encouraged to address their implementation issues even without a response procedure.
- 26. TCC11 agreed not to assess the following paragraphs: CMM 2007-01 Para 8, CMM 2007-01, Att K, Annex C, Para 4; CMM 2013-01, Para 28; Section 03 of the Scientific Data Requirements (as noted above); and Section 07 of the Scientific Data Requirements.
- 27. The special TCC also agreed not to assess the following paragraphs: CMM2007-01 Para 14 (vii); CMM2013-01 Para 14 and CMM2013-01 Para 16.

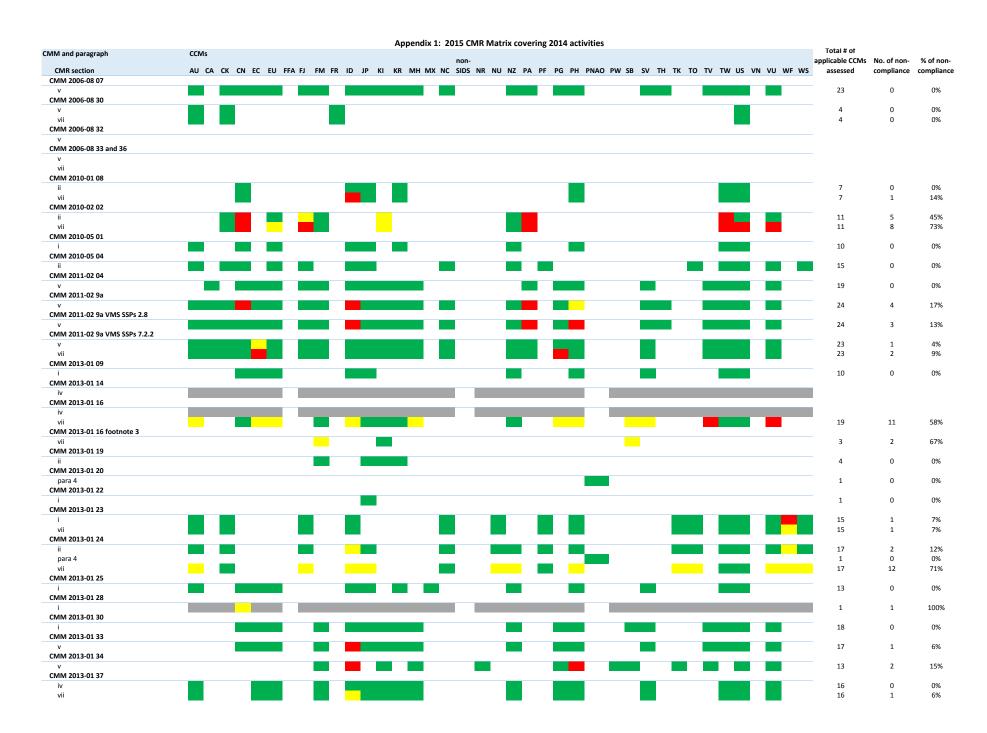
#### V. REQUESTS FOR ASSISTANCE AND CAPACITY BUILDING

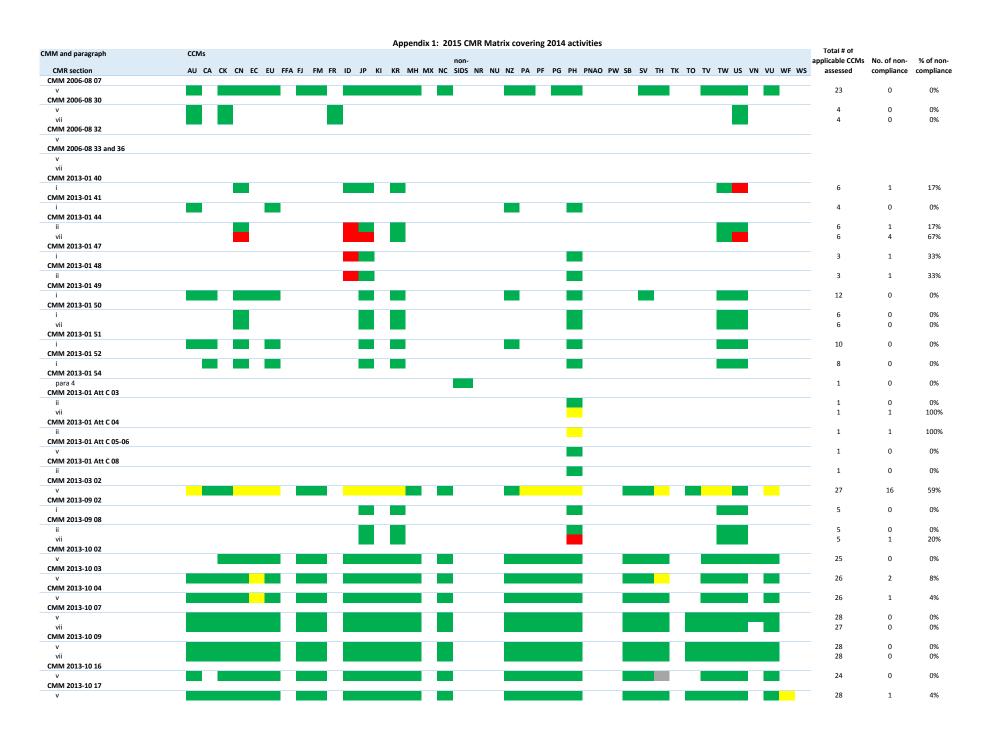
28. Several areas were identified where targeted assistance is required to assist SIDS and other CCMs in implementing specific obligations.

CMM	CMM paragraph	CCM
2007-01		Indonesia
2007-01	Att. K Annex C para 06	Kiribati, FSM, Vanuatu
2009-06		Kiribati, Vanuatu
2011-02 (2014-02)	9a	Indonesia
	9a VMS SSPs 7.2.2	Tuvalu
2013-01 (2014-01)	24, 44, 47, 48, 49	Indonesia

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### **Appendix 2: 2015 Final Compliance Monitoring Report (for 2014 activities)**

	Compliance of	r Implementation	Status		
CMM/Data Provision	Compliant	Non-Compliant	Priority Non- Compliant	2 <sup>nd</sup> , 3 <sup>rd</sup> , 4 <sup>th</sup> or 5 <sup>th</sup> Year with a Potential Compliance Issue	Next Steps <sup>1</sup>
	CMM 2006-08: High Seas Boo	arding and Inspection			
Para (7)	Australia, China, Cook Islands, Ecuador, El Salvador, European Union, Federated States of Micronesia, Fiji, Indonesia, Japan, Kiribati, Korea, Marshall Islands, New Caledonia, New Zealand, Panama, Papua New Guinea, Philippines, Chinese Taipei, Thailand, Tuvalu, United States, Vanuatu				
Para (30)	Australia, Cook Islands, France, United States				
Para (30) (reporting	Australia, Cook Islands, France, United States				

 $<sup>^{\</sup>mathrm{1}}$  a. Further clarification of obligation

b. Capacity building or technical assistance required

c. Additional information required

d. Rectify by XX date

e. Other remedial action as determined by the commission. For alleged infringement CCM is to report back on investigation

	Compliance of				
CMM/Data Provision	Compliant	Non-Compliant	Priority Non- Compliant	2 <sup>nd</sup> , 3 <sup>rd</sup> , 4 <sup>th</sup> or 5 <sup>th</sup> Year with a Potential Compliance Issue	Next Steps <sup>1</sup>
deadline)					
Para (32) Para (33) & (36)					
Para (33) & (36) (reporting deadline)					
Para (40)	Australia, Canada, Cook Islands, Federated States of Micronesia, Japan, Kiribati, Korea, New Zealand, Chinese Taipei, Tuvalu, United States, France	Papua New Guinea			
Para (40) (reporting deadline)	Australia, Canada, Cook Islands, Federated States of Micronesia, Japan, Kiribati, Korea, New Zealand, Chinese Taipei, Tuvalu, United States	Papua New Guinea	France	France [2]	
Para (41)	China, Fiji, Chinese Taipei, Philippines				
Para (41) (reporting	China, Fiji, Chinese Taipei	Philippines			

	Compliance of	or Implementation	Status		
CMM/Data Provision	Compliant	Non-Compliant	Priority Non- Compliant	2 <sup>nd</sup> , 3 <sup>rd</sup> , 4 <sup>th</sup> or 5 <sup>th</sup> Year with a Potential Compliance Issue	Next Steps <sup>1</sup>
deadline)					
	CMM 2007-01: Regional Obs	erver Programme			
Para (7)	Australia, China, Cook Islands, Ecuador, El Salvador, European Union, Federated States of Micronesia, Fiji, Japan, Kiribati, Korea, Marshall Islands, New Caledonia, New Zealand, Papua New Guinea, Philippines, Chinese Taipei, Tuvalu, United States, Vanuatu, Panama				
Para (8)	Not Assessed	Not Assessed	Not Assessed	Not Assessed	
Para (9)	Australia, Cook Islands, Ecuador, El Salvador, European Union, Federated States of Micronesia, Fiji, Japan, Kiribati, Korea, Marshall Islands, New Caledonia, New Zealand, Papua New Guinea, Philippines, Chinese Taipei, Tuvalu, United States, Vanuatu		Indonesia	Indonesia (3)	Indonesia [b, e]

	Compliance of	r Implementation	Status		
CMM/Data Provision	Compliant	Non-Compliant	Priority Non- Compliant	2 <sup>nd</sup> , 3 <sup>rd</sup> , 4 <sup>th</sup> or 5 <sup>th</sup> Year with a Potential Compliance Issue	Next Steps <sup>1</sup>
	*China [unable to reach a decision on this assessment – not assessed]				
Para (10)	Australia, China, Cook Islands, Ecuador, El Salvador, European Union, Federated States of Micronesia, Fiji, French Polynesia, Indonesia, Japan, Kiribati, Korea, Marshall Islands, New Caledonia, New Zealand, Papua New Guinea, Philippines, Chinese Taipei, Tuvalu, United States, Vanuatu				
Para (13)	Australia, China, Cook Islands, Federated States of Micronesia, Fiji, Japan, Kiribati, Korea, Marshall Islands, Nauru, New Caledonia, New Zealand, Papua New Guinea, Philippines, Solomon Islands, Chinese Taipei, Tokelau, Tonga, Tuvalu, United States, Vanuatu	Palau			Palau [c];

	Compliance of				
CMM/Data Provision	Compliant	Non-Compliant	Priority Non- Compliant	2 <sup>nd</sup> , 3 <sup>rd</sup> , 4 <sup>th</sup> or 5 <sup>th</sup> Year with a Potential Compliance Issue	Next Steps <sup>1</sup>
Para (14) (vii)	Not Assessed	Not Assessed	Not Assessed	Not Assessed	
Att K, Annex C, Para (4)	Not Assessed	Not Assessed	Not Assessed	Not Assessed	
Att K, Annex C, Para (4) (para 4 – Collective obligation)	Not Assessed	Not Assessed	Not Assessed	Not Assessed	
Att K, Annex C, Para (6)	Cook Islands, China, Fiji, Korea, New Caledonia, Philippines, United States	Kiribati, Vanuatu	European Union, Federated States of Micronesia, Indonesia, Japan, Chinese Taipei, Tuvalu	European Union [3], Federated States of Micronesia [3], Indonesia [3], Japan [2], Chinese Taipei [3], Tuvalu [3]	Indonesia [b]; Kiribati [b]; Federated States of Micronesia [b]; Vanuatu [b]
Att K, Annex C, Para (6) (reporting deadline)	China, Cook Islands, European Union, Federated States of Micronesia, Fiji, Japan, Kiribati, Korea, New Caledonia, Chinese Taipei, Tuvalu, United States, Vanuatu		Indonesia, Philippines	Indonesia [3]; Philippines [3]	Indonesia [b]

	Compliance o	r Implementation	Status		
CMM/Data Provision	Compliant	Non-Compliant	Priority Non- Compliant	2 <sup>nd</sup> , 3 <sup>rd</sup> , 4 <sup>th</sup> or 5 <sup>th</sup> Year with a Potential Compliance Issue	Next Steps <sup>1</sup>
Att K, Annex C, Para (8)	Australia, China, Cook Islands, Ecuador, European Union, El Salvador, Fiji, Federated States of Micronesia, Indonesia, Japan, Kiribati, Korea, Marshall Islands, New Caledonia, New Zealand, Papua New Guinea, Philippines, Tuvalu, Chinese Taipei, United States, Vanuatu				
	CMM 2009-03: Swordfish				
Para (1)	Australia, China, European Union, Indonesia, Japan, Korea, New Zealand, Philippines, Chinese Taipei, United States				
Para (2)	Australia, European Union, Indonesia, Japan, Korea, New Zealand, Philippines, Chinese Taipei, United States		China		
Para (3)	Australia, China, European				

	Compliance of				
CMM/Data Provision	Compliant	Non-Compliant	Priority Non- Compliant	2 <sup>nd</sup> , 3 <sup>rd</sup> , 4 <sup>th</sup> or 5 <sup>th</sup> Year with a Potential Compliance Issue	Next Steps <sup>1</sup>
	Union, Indonesia, Japan, Korea, New Zealand, Philippines, Chinese Taipei, United States				
Para (8)	Australia, China, Cook Islands, European Union, Fiji, French Polynesia, Indonesia, Japan, Korea, New Caledonia, New Zealand, Chinese Taipei, Tonga, United States, Vanuatu				
Para (8) (reporting deadline)	Australia, China, Cook Islands, European Union, Fiji, French Polynesia, Japan, Korea, New Caledonia, New Zealand, Chinese Taipei, Tonga, United States, Vanuatu		Indonesia	Indonesia [2]	
	CMM 2009-06: Transshipme				
Para (11)	European Union, El Salvador, Federated States of Micronesia, Fiji, Marshall Islands, New Zealand,	Vanuatu	China, Ecuador, Indonesia, Kiribati, Panama, Papua New Guinea	China [2]; Ecuador [3]; Indonesia [3]; Kiribati [2]; Panama [3]; Papua	China [c, e]; Ecuador [c, e]; Indonesia [c, e]; Kiribati [b, c, e];

	Compliance of				
CMM/Data Provision	Compliant	Non-Compliant	Priority Non- Compliant	2 <sup>nd</sup> , 3 <sup>rd</sup> , 4 <sup>th</sup> or 5 <sup>th</sup> Year with a Potential Compliance Issue	Next Steps <sup>1</sup>
	Tuvalu, United States, Japan, Korea, Philippines, Solomon Islands, Chinese Taipei			New Guinea [2]	Panama [c, e]; Papua New Guinea [c, e]; Vanuatu [b, c, e]
Para (11) (reporting deadline)	China, European Union, Federated States of Micronesia, Fiji, Japan, Kiribati, Korea, Marshall Islands, New Zealand, Solomon Islands, Tuvalu, Chinese Taipei, United States, Vanuatu	Ecuador, Indonesia, Papua New Guinea, El Salvador	Panama, Philippines, ,	Panama [2]; Philippines [2]	
Para (13)	China, El Salvador, European Union, Indonesia, Japan, Kiribati, Korea, Philippines, Solomon Islands, Chinese Taipei, United States, Vanuatu				
Para (29)	Australia, China, Ecuador, European Union, Federated States of Micronesia, Indonesia, Japan, Kiribati, Korea, Marshall Islands, New Zealand, Papua New Guinea, Philippines, Solomon Islands, Chinese				

	Compliance of	or Implementation	Status		
CMM/Data Provision	Compliant	Non-Compliant	Priority Non- Compliant	2 <sup>nd</sup> , 3 <sup>rd</sup> , 4 <sup>th</sup> or 5 <sup>th</sup> Year with a Potential Compliance Issue	Next Steps <sup>1</sup>
	Taipei, United States, Vanuatu				
Para (34)	Australia, Canada, Cook Islands, European Union, Federated States of Micronesia, Fiji, French Polynesia, Japan, New Caledonia, New Zealand, Panama, Philippines, Tonga, Tuvalu, United States		China, Indonesia, Kiribati, Korea, , Chinese Taipei Vanuatu	Indonesia [3]; Kiribati [3]	Kiribati [b]; Vanuatu [b]
Para (35) (a) (ii)	China, Indonesia, Japan, Korea, Philippines, Chinese Taipei, Vanuatu, Kiribati				Kiribati [b]
Para (35) (a) (iii)	Solomon Islands, Philippines, Chinese Taipei, China, Japan, Korea, Vanuatu		Indonesia, Kiribati	Indonesia [3]; Kiribati (3)	Kiribati [b]; Vanuatu [b]
Para (35) (a) (iii) (reporting deadline)	Solomon Islands,	Philippines	China, Indonesia, Japan, Kiribati, Korea, Chinese Taipei, Vanuatu	China [2]; Indonesia [2]; Japan [2]; Kiribati [2]; Korea [2]; Chinese Taipei [2]; Vanuatu [2]	Kiribati [b]; Vanuatu [b]
Para (35) (a) (iv)	Solomon Islands, Chinese Taipei, China, Japan, Korea, Vanuatu	Philippines	Indonesia, Kiribati	Indonesia [3], Kiribati [3]	Kiribati [b]; Vanuatu [b]

	Compliance of	or Implementation	Status		
CMM/Data Provision	Compliant	Non-Compliant	Priority Non- Compliant	2 <sup>nd</sup> , 3 <sup>rd</sup> , 4 <sup>th</sup> or 5 <sup>th</sup> Year with a Potential Compliance Issue	Next Steps <sup>1</sup>
Paragraph (35) (a) (iv) (reporting deadline)	Solomon Islands,		China, Indonesia, Japan, Kiribati, Korea, Philippines, Chinese Taipei, Vanuatu	China [2], Indonesia [2], Japan [2], Kiribati [2], Korea [2], Philippines [2], Chinese Taipei [2], Vanuatu [2]	Kiribati [b]; Vanuatu [b]
	CMM 2010-01: North Pacific	Striped Marlin			
Para (5)	China, Japan, Indonesia, Korea, Philippines, Chinese Taipei, United States				
Para (8)	China, Japan, Indonesia, Korea, Philippines, Chinese Taipei, United States				
Para (8) (reporting deadline)	China, Japan, Korea, Philippines, Chinese Taipei, United States		Indonesia	Indonesia [2]	
	CMM 2010-02: Eastern High				
Para (2) (ii)	Cook Islands, Federated States of Micronesia, New Zealand, Vanuatu, United States, European Union	Fiji , Kiribati	China, Panama, Chinese Taipei,	China [3], Panama [3], Chinese Taipei [3]	
Para (2) (reporting deadline)	Cook Islands, Federated States of Micronesia, New Zealand	European Union, Kiribati	China, Fiji, Panama, Chinese Taipei, United States, Vanuatu	China [2]; Fiji [2]; Panama [2]; Chinese Taipei [2]; United States [2];	

	Compliance o	r Implementation	Status		
CMM/Data Provision	Compliant	Non-Compliant	Priority Non- Compliant	2 <sup>nd</sup> , 3 <sup>rd</sup> , 4 <sup>th</sup> or 5 <sup>th</sup> Year with a Potential Compliance Issue	Next Steps <sup>1</sup>
				Vanuatu [2]	
	CMM 2010-05: South Pacific	Albacore			
Para (1)	Australia, China, European Union, Indonesia, Japan, Korea, New Zealand, Philippines, Chinese Taipei, United States				
Para (4)	Australia, China, Cook Islands, European Union, Fiji, French Polynesia, Indonesia, Japan, New Caledonia, New Zealand, Samoa, Chinese Taipei, Tonga, United States, Vanuatu				
	CMM 2011-02: Vessel Monito	oring System		,	
Para (4)	Canada, China, Ecuador, El Salvador, European Union, Federated States of Micronesia, Fiji, Indonesia, Japan, Kiribati, Korea, Marshall Islands, Panama, Papua New Guinea, Philippines, Chinese Taipei, Tuvalu, United States, Vanuatu				

	Compliance of				
CMM/Data Provision	Compliant	Non-Compliant	Priority Non- Compliant	2 <sup>nd</sup> , 3 <sup>rd</sup> , 4 <sup>th</sup> or 5 <sup>th</sup> Year with a Potential Compliance Issue	Next Steps <sup>1</sup>
Para (9)(a)	Australia, Canada, Cook Islands, Ecuador, El Salvador, European Union, Federated States of Micronesia, Fiji, Japan, Kiribati, Korea, Marshall Islands, New Caledonia, New Zealand, Papua New Guinea, , Thailand, Tuvalu, United States, Vanuatu,, Chinese Taipei	Philippines	China, Indonesia, Panama,	China (2), Indonesia (3), Panama (2)	Indonesia [b]
Para (9)(a) – VMS SSPs para 2.8	Australia, Canada, Cook Islands, China, Ecuador, El Salvador, European Union, Federated States of Micronesia, Fiji, Japan, Kiribati, Korea, Marshall Islands, New Caledonia, New Zealand, Papua New Guinea, Thailand, Tuvalu, United States, Vanuatu, Chinese Taipei		Indonesia, Panama, Philippines	Indonesia [3], Panama [3], Philippines [3]	
Para (9) (a) – VMS SSPs para 7.2.2	Australia, Canada, China, Cook Islands, El Salvador, European Union, Federated States of Micronesia, Fiji, Indonesia, Japan, Kiribati,	Ecuador			

	Compliance of	r Implementation	<b>Status</b>		
CMM/Data Provision	Compliant	Non-Compliant	Priority Non- Compliant	2 <sup>nd</sup> , 3 <sup>rd</sup> , 4 <sup>th</sup> or 5 <sup>th</sup> Year with a Potential Compliance Issue	Next Steps <sup>1</sup>
	Korea, Marshall Islands, New Caledonia, New Zealand, Panama, Philippines, Chinese Taipei, Tuvalu, United States, Vanuatu, , Papua New Guinea				
Para (9) (a) - VMS SSPs para 7.2.2 (reporting deadline)	Australia, Canada, China, Cook Islands, El Salvador, European Union, Federated States of Micronesia, Fiji, Indonesia, Japan, Kiribati, Korea, Marshall Islands, New Caledonia, New Zealand, Panama, Philippines, Chinese Taipei, Tuvalu, United States, Vanuatu		Ecuador, Papua New Guinea	Ecuador (2), Papua New Guinea (2)	
	CMM 2013-01: Tropical Tune	as			
Para (9)	China, Ecuador, El Salvador, European Union, Indonesia, Japan, New Zealand, Philippines, Chinese Taipei, United States				
Para (14)	Not Assessed	Not Assessed	Not Assessed	Not Assessed	

	Compliance of	or Implementation	Status		
CMM/Data Provision	Compliant	Non-Compliant	Priority Non- Compliant	2 <sup>nd</sup> , 3 <sup>rd</sup> , 4 <sup>th</sup> or 5 <sup>th</sup> Year with a Potential Compliance Issue	Next Steps <sup>1</sup>
Para (16)	Not Assessed	Not Assessed	Not Assessed	Not Assessed	
Para (16) (reporting deadline)	China, Federated States of Micronesia, Japan, Kiribati, Korea, New Zealand, Chinese Taipei, United States	Australia, Ecuador, European Union, El Salvador, Indonesia, Marshall Islands, Papua New Guinea, Philippines, Solomon Islands	Tuvalu, Vanuatu	Tuvalu [2] Vanuatu [2]	
Para (16 footnote 3) (reporting deadline)	Kiribati	Federated States of Micronesia, Solomon Islands			
Para (19)	Federated States of Micronesia, Japan, Kiribati, Korea				
Para (20) (collective obligation)	PNA				
Para (22)	Japan				
Para (23)	Australia, Cook Islands, Fiji, French Polynesia, Indonesia, New Caledonia, Philippines, Samoa, Chinese Taipei,		Wallis & Futuna		

	Compliance of	r Implementation	Status		
CMM/Data Provision	Compliant	Non-Compliant	Priority Non- Compliant	2 <sup>nd</sup> , 3 <sup>rd</sup> , 4 <sup>th</sup> or 5 <sup>th</sup> Year with a Potential Compliance Issue	Next Steps <sup>1</sup>
	Tokelau, Tonga, United States, Vanuatu, Niue				
Para (23) (reporting deadline)	Australia, Cook Islands, Fiji, French Polynesia, New Caledonia, Samoa, Chinese Taipei, Tokelau, Tonga, United States, Indonesia, Niue, Philippines, Vanuatu	Wallis & Futuna			
Para (24)	Australia, Cook Islands, Fiji, French Polynesia, Japan, New Caledonia, Niue, Philippines, Samoa, Chinese Taipei, Tokelau, Tonga, United States, Vanuatu, New Zealand	Indonesia, Wallis & Futuna			
Para (24) (reporting deadline)	Cook Islands, New Caledonia, French Polynesia, Chinese Taipei, United States	Australia, Fiji, Indonesia, Japan, Niue, New Zealand, Philippines, Samoa, Tokelau, Tonga, Vanuatu, Wallis & Futuna			
Para (24) (para 4 – collective	PNA				

	Compliance o				
CMM/Data Provision	Compliant	Non-Compliant	Priority Non- Compliant	2 <sup>nd</sup> , 3 <sup>rd</sup> , 4 <sup>th</sup> or 5 <sup>th</sup> Year with a Potential Compliance Issue	Next Steps <sup>1</sup>
obligation)					
Para (25)	Australia, China, Ecuador, El Salvador, European Union, Indonesia, Japan, Korea, Mexico, New Zealand, Philippines, Chinese Taipei, United States				
Para (28)	Not Assessed	Not Assessed	Not Assessed	Not Assessed	Not Assessed
Para (30)	China, Ecuador, El Salvador, European Union, Federated States of Micronesia, Indonesia, Japan, Kiribati, Korea, Marshall Islands, New Zealand, Papua New Guinea, Philippines, Solomon Islands, Chinese Taipei, Tuvalu, United States, Vanuatu				
Para (33)	China, Ecuador, El Salvador, European Union, Federated States of Micronesia, Japan, Kiribati, Korea, Marshall Islands, New Zealand, Papua New Guinea, Philippines, Chinese Taipei, Tuvalu,		Indonesia	Indonesia [3]	

	Compliance of	or Implementation	Status		
CMM/Data Provision	Compliant	Non-Compliant	Priority Non- Compliant	2 <sup>nd</sup> , 3 <sup>rd</sup> , 4 <sup>th</sup> or 5 <sup>th</sup> Year with a Potential Compliance Issue	Next Steps <sup>1</sup>
	United States, Vanuatu				
Para (34)	Federated States of		Indonesia,	Indonesia [3],	
	Micronesia, Kiribati,		Philippines	Philippines [2]	
	Marshall Islands, Nauru,				
	Papua New Guinea, Palau,				
	Solomon Islands, Tokelau,				
	Tuvalu, United States,				
	Vanuatu				
Para (37)	Australia, Ecuador, El				
	Salvador, European Union,				
	Federated States of				
	Micronesia, Indonesia,				
	Japan, Kiribati, Korea,				
	Marshall Islands, New				
	Zealand, Papua New Guinea,				
	Philippines, Chinese Taipei,				
Dana (27)	United States, Vanuatu	Indonesia			
Para (37)	Australia, Ecuador, El Salvador, European Union,	indonesia			
(reporting deadline)	Federated States of				
иешиннеј	Micronesia, Japan, Kiribati,				
	Korea, Marshall Islands,				
	New Zealand, Papua New				
	Guinea, Philippines, Chinese				
	Taipei, United States,				
	Vanuatu				

	Compliance or	r Implementation	Status		
CMM/Data Provision	Compliant	Non-Compliant	Priority Non- Compliant	2 <sup>nd</sup> , 3 <sup>rd</sup> , 4 <sup>th</sup> or 5 <sup>th</sup> Year with a Potential Compliance Issue	Next Steps <sup>1</sup>
Para (40)	China, Indonesia, Japan, Korea, Chinese Taipei		United States		
Para (41)	Australia, European Union, New Zealand, Philippines				
Para (44)	China, Japan, Korea, Chinese Taipei, United States		Indonesia	Indonesia [2]	Indonesia [b]
Para (44) (reporting deadline)	Chinese Taipei, Korea		China, Indonesia, Japan, United States	China [2], Indonesia [2], Japan [2], United States [2]	
Para (47)	Japan, Philippines		Indonesia		Indonesia [c]
Para (48)	Japan, Philippines		Indonesia	Indonesia [2]	
Para (49)	Australia, Canada, China, Ecuador, European Union, El Salvador, Japan, Korea, New Zealand, Philippines, Chinese Taipei, United States				Indonesia [b]
Para (50)	China, Japan, Korea, Philippines, Chinese Taipei, United States				
Para (50) (reporting deadline)	China, Japan, Korea, Philippines, Chinese Taipei, United States				

	Compliance	or Implementation	Status		
CMM/Data Provision	Compliant	Non-Compliant	Priority Non- Compliant	2 <sup>nd</sup> , 3 <sup>rd</sup> , 4 <sup>th</sup> or 5 <sup>th</sup> Year with a Potential Compliance Issue	Next Steps <sup>1</sup>
Para (51)	Australia, Canada, China, European Union, Korea, New Zealand, Philippines, Chinese Taipei, United States, Japan				
Para (52)	Canada, China, European Union, Korea, Philippines, Chinese Taipei, United States, Japan				
Para (54)	non-SIDS CCMs				
(para 4 - collective obligation)					
Att C (3)	Philippines				
Att C (3) (reporting deadline)		Philippines			
Att C (4)		Philippines			
Att C (5-6)	Philippines				
Att C (8)	Philippines				
	CMM 2013-03: RFV SSPs				
Para (2)	Cook Islands, El Salvador, Marshall Islands, New Caledonia, New Zealand, Tonga, United States,	Australia, China, Ecuador, European Union, French Polynesia,			

	Compliance of	or Implementation	Status		
CMM/Data Provision	Compliant	Non-Compliant	Priority Non- Compliant	2 <sup>nd</sup> , 3 <sup>rd</sup> , 4 <sup>th</sup> or 5 <sup>th</sup> Year with a Potential Compliance Issue	Next Steps <sup>1</sup>
	Canada, Fiji, Federated States of Micronesia, Solomon Islands	Indonesia, Japan, Kiribati, Korea, Panama, Papua New Guinea, Philippines, Thailand, Tuvalu, Chinese Taipei, Vanuatu			
	CMM 2013-09: Pacific Bluefi	n Tuna			
Para (2)	Japan, Korea, Philippines, Chinese Taipei, United States				
Para (8)	Japan, Korea, Philippines, Chinese Taipei, United States				
Para (8) (reporting deadline)	Japan, Korea, Chinese Taipei, United States	Philippines			
	CMM 2013-10: Record of Fish	hing Vessels			
Para (2)	China, Cook Islands, Ecuador, El Salvador, European Union, Federated States of Micronesia, Fiji, French Polynesia, Indonesia, Japan, Kiribati, Korea, Marshall Islands, New				

	Compliance of				
CMM/Data Provision	Compliant	Non-Compliant	Priority Non- Compliant	2 <sup>nd</sup> , 3 <sup>rd</sup> , 4 <sup>th</sup> or 5 <sup>th</sup> Year with a Potential Compliance Issue	Next Steps <sup>1</sup>
	Caledonia, New Zealand, Panama, Papua New Guinea, Philippines, Samoa, Solomon Islands, Chinese Taipei, Thailand, Tuvalu, United States, Vanuatu, Vietnam				
Para (3)	Australia, Canada, China, Cook Islands, El Salvador, European Union, Federated States of Micronesia, Fiji, French Polynesia, Indonesia, Japan, Kiribati, Korea, Marshall Islands, New Caledonia, New Zealand, Panama, Papua New Guinea, Philippines, Solomon Islands, Chinese Taipei, Tuvalu, United States, Vanuatu	Ecuador, Thailand			
Para (4)	Australia, Canada, China, Cook Islands, El Salvador, European Union, Federated States of Micronesia, Fiji, French Polynesia, Indonesia, Japan, Kiribati, Korea, Marshall Islands, New	Ecuador			

	Compliance of	r Implementation	Status		
CMM/Data Provision	Compliant	Non-Compliant	Priority Non- Compliant	2 <sup>nd</sup> , 3 <sup>rd</sup> , 4 <sup>th</sup> or 5 <sup>th</sup> Year with a Potential Compliance Issue	Next Steps <sup>1</sup>
	Caledonia, New Zealand, Panama, Papua New Guinea, Philippines, Solomon Islands, Chinese Taipei, Thailand, Tuvalu, United States, Vanuatu				
Para (7)	Australia, Canada, China, Cook Islands, Ecuador, El Salvador, European Union, Federated States of Micronesia, Fiji, French Polynesia, Indonesia, Japan, Kiribati, Korea, Marshall Islands, New Caledonia, New Zealand, Panama, Papua New Guinea, Philippines, Solomon Islands, Chinese Taipei, Thailand, Tonga, Tuvalu, United States, Vanuatu, Vietnam				
Para (7) (reporting deadline)	Australia, Canada, China, Cook Islands, Ecuador, El Salvador, European Union, Federated States of Micronesia, Fiji, French Polynesia, Indonesia, Japan,				

	Compliance o	r Implementation	Status		
CMM/Data Provision	Compliant	Non-Compliant	Priority Non- Compliant	2 <sup>nd</sup> , 3 <sup>rd</sup> , 4 <sup>th</sup> or 5 <sup>th</sup> Year with a Potential Compliance Issue	Next Steps <sup>1</sup>
	Kiribati, Korea, Marshall Islands, New Caledonia, New Zealand, Panama, Papua New Guinea, Philippines, Solomon Islands, Chinese Taipei, Thailand, Tonga, Tuvalu, United States, Vanuatu				
Para (9)	Australia, Canada, China, Cook Islands, Ecuador, El Salvador, European Union, Federated States of Micronesia, Fiji, French Polynesia, Indonesia, Japan, Kiribati, Korea, Marshall Islands, New Caledonia, New Zealand, Panama, Papua New Guinea Philippines, Solomon Islands, Chinese Taipei, Thailand, Tonga, Tuvalu, United States, Vanuatu, Vietnam				
Para 9 (reporting deadline)	Australia, Canada, China, Cook Islands, Ecuador, El Salvador, European Union, Federated States of				

	Compliance or Implementation Status				
CMM/Data Provision	Compliant	Non-Compliant	Priority Non- Compliant	2 <sup>nd</sup> , 3 <sup>rd</sup> , 4 <sup>th</sup> or 5 <sup>th</sup> Year with a Potential Compliance Issue	Next Steps <sup>1</sup>
	Micronesia, Fiji, French Polynesia, Indonesia, Japan, Kiribati, Korea, Marshall Islands, New Caledonia, New Zealand, Panama, Papua New Guinea, Philippines, Solomon Islands, Chinese Taipei, Thailand, Tonga, Tuvalu, United States, Vanuatu, Vietnam				
Para (16)	Australia, China, Cook Islands, Ecuador, El Salvador, European Union, Federated States of Micronesia, Fiji, French Polynesia, Indonesia, Japan, Kiribati, Korea, Marshall Islands, New Caledonia, New Zealand, Panama, Papua New Guinea, Philippines, Solomon Islands, Chinese Taipei, Tuvalu, United States, Vanuatu	Thailand			
Para (17)	Australia, Canada, China, Cook Islands, Ecuador, El	Wallis & Futuna			

	Compliance of				
CMM/Data Provision	Compliant	Non-Compliant	Priority Non- Compliant	2 <sup>nd</sup> , 3 <sup>rd</sup> , 4 <sup>th</sup> or 5 <sup>th</sup> Year with a Potential Compliance Issue	Next Steps <sup>1</sup>
	Salvador, European Union, Federated States of Micronesia, Fiji, French Polynesia, Indonesia, Japan, Kiribati, Korea, Marshall Islands, New Caledonia, New Zealand, Panama, Papua New Guinea, Philippines, Solomon Islands, Chinese Taipei, Thailand, Tonga, Tuvalu, United States, Vanuatu				
	CMM 2014-07: CMS				
Para (22) (reporting deadline)	Australia, China, Cook Islands, El Salvador, European Union, Federated States of Micronesia, Fiji, French Polynesia, Indonesia, Japan, Kiribati, Korea, Marshall Islands, New Zealand, Panama, Philippines, Samoa, Solomon Islands, Chinese Taipei, Thailand, Tuvalu, United States, Vanuatu, Vietnam	Ecuador, France, Palau, Wallis & Futuna	Papua New Guinea	Papua New Guinea [2]	

	Compliance or Implementation Status				
CMM/Data Provision	Compliant	Non-Compliant	Priority Non- Compliant	2 <sup>nd</sup> , 3 <sup>rd</sup> , 4 <sup>th</sup> or 5 <sup>th</sup> Year with a Potential Compliance Issue	Next Steps <sup>1</sup>
	Convention	<u>,                                      </u>		,	
Article 23 Para (5) (reporting deadline)					
Article 23 Para (2) (b) (reporting deadline)	Australia, Canada, China, Cook Islands, European Union, Federated States of Micronesia, Fiji, French Polynesia, Japan, Kiribati, Korea, Marshall Islands, Mexico, Nauru, New Caledonia, New Zealand, Niue, Panama, Philippines, Palau, Samoa, Solomon Islands, Chinese Taipei, Tokelau, Tonga, Tuvalu, United States, Vanuatu, Vietnam	Indonesia	Ecuador, Papua New Guinea, El Salvador, Thailand, Wallis and Futuna	Ecuador (2), Papua New Guinea, (2), El Salvador (2), Thailand (2), Wallis and Futuna [3]	
Article 23 Para (2) (c) (reporting deadline)	Australia, Canada, China, Cook Islands, Ecuador, El Salvador, European Union, Federated States of Micronesia, Fiji, French Polynesia, Indonesia, Japan, Kiribati, Korea, Marshall		France, Wallis & Futuna	France [3], Wallis & Futuna [3]	

	Compliance o	r Implementation	Status		
CMM/Data Provision	Compliant	Non-Compliant	Priority Non- Compliant	2 <sup>nd</sup> , 3 <sup>rd</sup> , 4 <sup>th</sup> or 5 <sup>th</sup> Year with a Potential Compliance Issue	Next Steps <sup>1</sup>
	Islands, Mexico, Nauru, New Caledonia, New Zealand, Niue, Panama, Papua New Guinea, Philippines, Palau, Samoa, Solomon Islands, Chinese Taipei, Thailand, Tokelau, Tonga, Tuvalu, United States, Vanuatu, Vietnam				
Article 25 Para (2) (reporting deadline)	Fiji, Japan, Chinese Taipei	China, Philippines, Vanuatu, Wallis & Futuna			
	Scientific Data <sup>2</sup>				

<sup>&</sup>lt;sup>2</sup> The references in columns "Non-Compliant" and "Priority Non-Compliant" are based on the tiered scoring system used in the following document: *Scientific Data to be Provided to the Commission* (WCPFC-TCC11-2015-IP04 dated 17 August 2015), however, the percentages shown are the latest as provided by SPC to TCC11 (as at 25 Sept 2015).

Tier II: Data have been provided, most of which can be used for the scientific work of the Commission, but (i) there are one or several (minimum-standard) data fields not provided and/or (ii) the coverage of the data is not according to the requirements. In these cases, some of the scientific work of the Commission cannot be undertaken. The % value assigned in this category

Tier I: No data are provided, or data have been provided but they have been evaluated as 'unusable' (instances w here none of the data provided can be used in assessments). This level of data gap is the most severe and has by far the greatest impacts on the scientific work of the Commission.

	Compliance of				
CMM/Data Provision	Compliant	Non-Compliant	Priority Non- Compliant	2 <sup>nd</sup> , 3 <sup>rd</sup> , 4 <sup>th</sup> or 5 <sup>th</sup> Year with a Potential Compliance Issue	Next Steps <sup>1</sup>
Section 01 – Estimate of Annual Catches <sup>2</sup>	Australia, Canada, Cook Islands, Ecuador, El Salvador, European Union, Federated States of Micronesia, Fiji, French Polynesia, Japan, Kiribati, Korea, Marshall Islands, New Caledonia, New Zealand, Papua New Guinea, Philippines, Samoa, Solomon Islands, Chinese Taipei, Tokelau, Tonga, Tuvalu, United States, Vanuatu		China [Tier II: 77%], Indonesia [Tier II: 69%]	China (2), Indonesia (3)	
Section 01 – (reporting deadline)	Australia, Canada, China, Cook Islands, Ecuador, El Salvador, European Union, Federated States of Micronesia, Fiji, French Polynesia, Japan, Kiribati, Korea, Marshall Islands, New Caledonia, New Zealand, Papua New Guinea,	Indonesia			

represents the estimated proportion of the key attribute data provided compared to the full set of key attribute data required as stipulated in the WCPFC data submission guidelines.

	Compliance of				
CMM/Data Provision	Compliant	Non-Compliant	Priority Non- Compliant	2 <sup>nd</sup> , 3 <sup>rd</sup> , 4 <sup>th</sup> or 5 <sup>th</sup> Year with a Potential Compliance Issue	Next Steps <sup>1</sup>
	Philippines, Samoa, Solomon Islands, Chinese Taipei, Tokelau, Tonga, Tuvalu, United States, Vanuatu				
Section 02 – Number of Active Vessels	Australia, Canada, Cook Islands, Ecuador, El Salvador, European Union, Federated States of Micronesia, Fiji, French Polynesia, Japan, Kiribati, Korea, Marshall Islands, New Caledonia, New Zealand, Papua New Guinea, Philippines, Samoa, Solomon Islands, Chinese Taipei, Tokelau, Tonga, Tuvalu, United States, Vanuatu	Indonesia	China	China (2)	
Section 02 (reporting deadline)	Australia, Canada, Cook Islands, Ecuador, El Salvador, European Union, Federated States of Micronesia, Fiji, French Polynesia, Japan, Kiribati, Korea, Marshall Islands, New Caledonia, New	Indonesia	China	China (2)	

	Compliance of				
CMM/Data Provision	Compliant	Non-Compliant	Priority Non- Compliant	2 <sup>nd</sup> , 3 <sup>rd</sup> , 4 <sup>th</sup> or 5 <sup>th</sup> Year with a Potential Compliance Issue	Next Steps <sup>1</sup>
	Zealand, Papua New Guinea, Philippines, Samoa, Solomon Islands, Chinese Taipei, Tokelau, Tonga, Tuvalu, United States, Vanuatu				
Section 03 (vi) – Operational Level Catch and Effort Data	Not Assessed	Not Assessed	Not Assessed	Not Assessed	Not Assessed
Section 03 (reporting deadline) – Operational Level Catch and Effort Data	Not Assessed	Not Assessed	Not Assessed	Not Assessed	Not Assessed
Section 04 – Catch and Effort Data Aggregated By Time Period and Geographic	Australia, Canada, Cook Islands, Ecuador, El Salvador, Federated States of Micronesia, Fiji, French Polynesia, Japan, Kiribati, Korea, Marshall Islands, New Caledonia, New	Philippines [Tier 1 for Handline (HL), Ringnet (RN), Other gears (OTH)]	China [Tier II: 88%], Indonesia [Tier I]	China (3); Indonesia (4)	

	Compliance of				
CMM/Data Provision	Compliant	Non-Compliant	Priority Non- Compliant	2 <sup>nd</sup> , 3 <sup>rd</sup> , 4 <sup>th</sup> or 5 <sup>th</sup> Year with a Potential Compliance Issue	Next Steps <sup>1</sup>
Area <sup>2</sup>	Zealand, Papua New Guinea, Samoa, Solomon Islands, Chinese Taipei, Tonga, Tuvalu, United States, Vanuatu				
Section 04 – (reporting deadline)	Australia, Canada, China, Cook Islands, Ecuador, El Salvador, European Union, Federated States of Micronesia, Fiji, French Polynesia, Japan, Kiribati, Korea, Marshall Islands, New Caledonia, New Zealand, Papua New Guinea, Philippines, Samoa, Solomon Islands, Chinese Taipei, Tonga, Tuvalu, United States, Vanuatu		Indonesia	Indonesia (2)	
Section 05 (vi)– Size Compositio n <sup>2</sup>	Australia, Cook Islands, El Salvador, Federated States of Micronesia, Fiji, French Polynesia, Indonesia, Japan, Korea, Marshall Islands, New Caledonia, New Zealand, Papua New Guinea, Samoa, Solomon Islands, Chinese Taipei, Tonga,	Kiribati [Tier 1 for Longline (LL)]	China [Tier I for purse seine (PS)], Ecuador [Tier I for purse seine (PS)], European Union [Tier I for longline (LL) and purse seine (PS)], Philippines [Tier I	China (2), Ecuador (3) European Union (3); Philippines (3)	

	Compliance or Implementation Status				
CMM/Data Provision	Compliant	Non-Compliant	Priority Non- Compliant	2 <sup>nd</sup> , 3 <sup>rd</sup> , 4 <sup>th</sup> or 5 <sup>th</sup> Year with a Potential Compliance Issue	Next Steps <sup>1</sup>
	Tuvalu, United States, Vanuatu		for Longline (LL)]		
Section 05 (reporting deadline)– Size Compositio n	Australia, Cook Islands, China, Federated States of Micronesia, Fiji, French Polynesia, Japan, Kiribati, Korea, Marshall Islands, New Caledonia, New Zealand, Papua New Guinea, Philippines, Samoa, Solomon Islands, Chinese Taipei, Tonga, Tuvalu, United States, Vanuatu, Wallis & Futuna		Ecuador, European Union, Indonesia, El Salvador	Ecuador (2), European Union (2), Indonesia (2), El Salvador (2)	
Section 07	Not Assessed	Not Assessed	Not Assessed	Not Assessed	Not Assessed
Section 07 (reporting deadline)–	Not Assessed	Not Assessed	Not Assessed	Not Assessed	Not Assessed