



**TECHNICAL AND COMPLIANCE COMMITTEE**

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**ANNUAL REPORT ON WCPFC HIGH SEAS BOARDING AND INSPECTION (HSBI)  
SCHEME**

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**WCPFC-TCC11-2015-RP04**

**1 September 2015**

**Paper prepared by the Secretariat**

**Purpose**

1. This paper provides a summary of HSBI activities conducted under CMM 2006-08 for the period of 1 January 2014 – 31 July 2015.
2. TCC11 is invited to task the Secretariat to develop a technical solution to make available to authorized CCM MCS personnel through secure login a list of vessels that have been previously inspected under the HSBI scheme specifically, the VID, Vessel Name, IRCS, date of boarding and Name of inspecting member.

**Report against TCC10 recommendation and progress to-date**

3. WCPFC11 noted TCC10's recommendation, supporting the Secretariat continuing efforts to explore options to capture CCMs' contact details of authorities of inspection and fishing vessels to ensure that CCMs' contact information is up-to-date and accessible in accordance with CMM 2006-08 (TCC 10 Summary Report para 200; WCPFC 11 Summary Report para 495). The authorities of Inspection Vessel and Authorities of Fishing Vessel is now provided as a database which is accessible and filterable by CCM through the HSBI page on the public side of the website at <http://www.wcpfc.int/high-seas-boarding-inspection>. CCMs were informed of this new initiative in August 2015 through Circular No: 2015/54.
4. Some CCMs have not informed the WCPFC Secretariat of their contact details for authorities of fishing vessels. In the absence of a separate point of contact for the fishing authority, consistent with previous legal advice (TCC 4 Summary Report para 160), the Secretariat provides the official contact details of flag States to the HSBI party, upon request. The full official contact details are now accessible by authorized CCM personnel through the secure page of the website at <https://www.wcpfc.int/official-circulars>. CCMs now have the capability to update any of these contact details online. CCMs are encouraged to check and ensure that these details are up-to-date so as to assist facilitate the prior notification of HSBI.

5. In addition to the above initiatives, CCMs were also advised in Circular No.: 2015/54 that the Register of Inspection Vessels is now displayed in a similar manner to the RFV (see <https://www.wcpfc.int/register-inspection-vessels>). Similar to the RFV, the Register of Inspection Vessels is now a publically searchable database using a number of different fields within the register. Some screen shots of this website initiative is provided in Annex 1.
6. At TCC10, there was support for the development of a technical solution to allow members to access boarding histories (TCC 10 Summary Report para 196). The Secretariat is looking into this and welcomes a tasking from TCC11 to undertake this initiative.

### **CCMs reporting on implementation of CMM 2006-08 through Annual Report Part 2**

7. The 2015 Annual Report Part 2 template covering 2014 activities included questions on implementation of paragraph 7, 30, 32, 33 and 36, 40 and 41 of CMM 2006-08. CCMs were asked to report on implementation of the aforementioned paragraphs. CCMs had the choice of answering “Yes” meaning the obligation has been implemented; “No” meaning the obligation has not been implemented; or “N/A” meaning CCM determined that the obligation is not applicable. CCMs also had the opportunity to provide further information on each obligation and/or attach any supporting documentations.
8. CCMs responses in Annual Report Part 2 feed into the draft Compliance Monitoring Report (CMR) together with HSBI reports received by the Secretariat. The Secretariat, based on answers provided in Annual Report Part 2, as applicable, CMM 2006-08 paragraph 13 advice, other HSBI reports it received also made a determination on the applicability of the obligation to the CCM. The Secretariat largely concurred with CCM, and only made a different choice on applicability based on HSBI report records that suggested otherwise. Annex 2 provides a summary of CCMs responses to CMM 2006-08 in Annual Report Part 2 covering activities for 2014.

### **HSBI activities for the period 1 January 2014 – 31 July 2015**

9. Currently there are 13 members that have notified the Commission, through the Executive Director, of their intention to participate in conducting boarding and inspection activities under the WCPFC HSBI Scheme. Kiribati was the latest addition to the inspection register, in March 2014 (Circular No.: 2014/18). Some have also provided notifications in accordance with paragraph 6 of CMM 2006-08, regarding the application of the WCPFC HSBI to Fishing Entities. A list of these Members and the number of vessels included on the Register of Authorized Inspection Vessels is shown in Table 1.

**Table 1: Summary of the Members that have notified the Commission of their intention to participate in conducting boarding and inspection activities under the WCPFC HSBI Scheme**

	<b>Year (in which notification was received)</b>	<b>WCPFC received notifications in accordance with paragraph 6 of CMM 2006-08?</b>	<b>Number of Vessels on the Register of Authorized Inspection Vessels</b>
Australia	2010	YES	46
Canada	2009		5
Cook Islands	2008	YES <sup>1</sup>	1
FSM	2010		3
France <sup>2</sup>	2007	YES	8
Japan	2009	YES	2
Kiribati	2014		1
Republic of Korea	2008		2
New Zealand	2008	YES	12
Papua New Guinea	2008		4
Chinese Taipei	2008	N/A	11
Tuvalu	2010		1
USA	2008	YES	99
			<b>195</b>

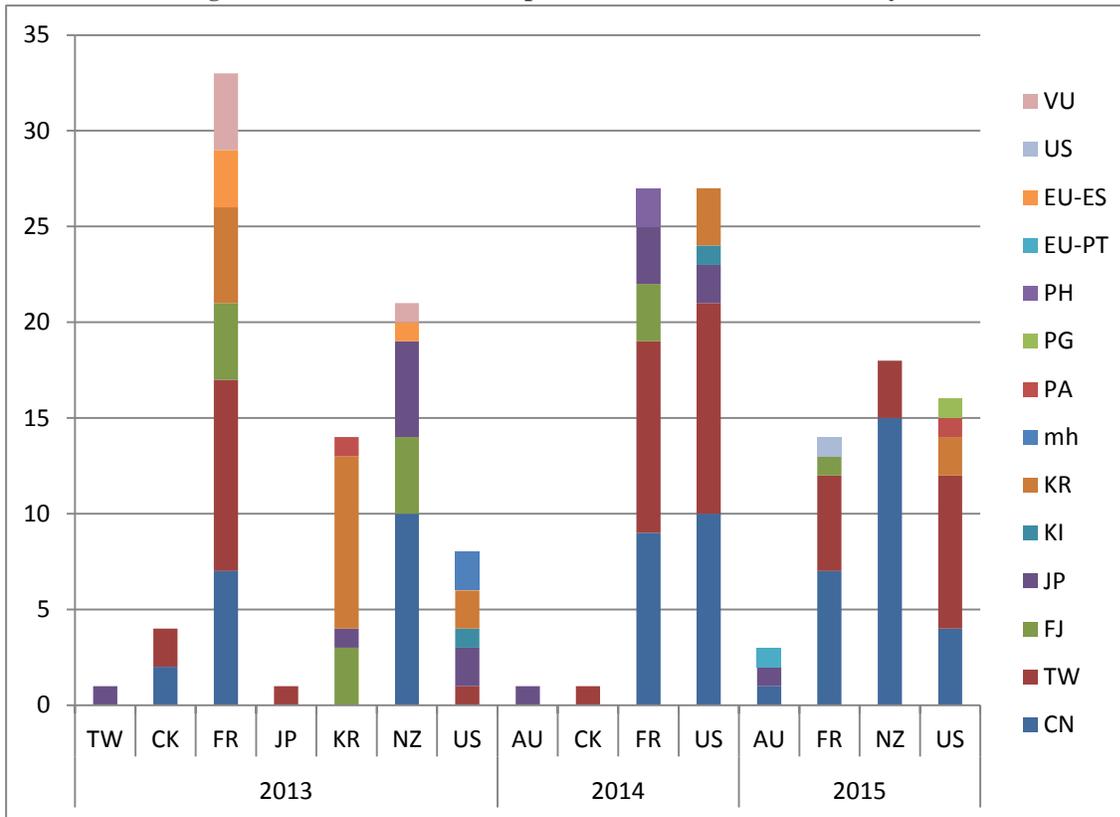
10. During 2014 and first half of 2015, the Secretariat received updates to the HSBI register from Korea, France (Circular No.: 2014/30), Australia (Circular No.: 2014/80 and Circular No.: 2015/11), United States of America (Circular No.: 2015/13) and Japan (Circular No.: 2015/18). These updates relates to removing and/or adding inspection vessels to the HSBI register.
  
11. In 2014, 4 members<sup>7</sup> (AU, CK, FR, US) submitted 56 HSBI reports in accordance with CMM 2006-08 paragraph 30. From 1 January 2015 – 31 July 2015, 4 members (AU, FR, NZ, US) submitted 52 HSBI reports in accordance with paragraph 30 of CMM 2006-08. Table 2 provides a summary of the number of high seas boarding and inspection reports received by the Secretariat for 2014 and the first 7 months of 2015 including a count of the number of vessels inspected under the HSBI scheme by flag. Figure 1 provides a summary of the number of vessels boarded and inspected by inspecting member and by flag of the fishing vessel.
  
12. From the 56 HSBI full reports received by the Secretariat in 2014, there was no paragraph 32 notification of “alleged serious violation”. CCMs are reminded that the list of serious violations for the purpose of the HSBI scheme is provided in paragraph 37 of CMM 2006-08. However, there were other alleged infringements by fishing vessels of the Convention or CMMs and these are summarized in Annex 3.

<sup>1</sup> Cook Islands notification was received on 21/11/2008. The Secretariat does not appear to have received a copy of the notification of acknowledgement from Chinese Taipei

<sup>2</sup> Separate notifications were provided by France for French Authorities that are based in French Polynesia and New Caledonia

13. Early 2015, the Secretariat worked with the United States to ensure that the full reports for historical high seas boarding events conducted by this member in the past were all received by the Secretariat. In July 2015, the Secretariat received copies of the historical full HSBI reports that were identified to be missing from the Secretariat's record. The Secretariat appreciates the assistance from the United States to ensure that the Secretariat's record is complete. As these historical reports were received during the busy month of July for CMR and TCC preparations, the Secretariat is still in the process of completing the update of WCPFC records.

**Figure 1: Number of HSBI reports received for 2013 – 31 July 2015**



14. Annex 4 shows the geographical distribution of boarding and inspection activities as contained in the HSBI Reports provided by Members to the WCPFC Secretariat, during 1 January 2013 – 31 July 2015, in accordance with paragraphs 30 and 31 of CMM 2006-08. A few locations falls within national jurisdiction and the Secretariat will work with relevant member who conducted the HSBI to confirm the location of the event.

**Multi-Language Questionnaire**

15. The Secretariat took up the assistance offered by the United States at TCC 10 to work with the Secretariat to update the multi-language questionnaire. The Secretariat is appreciative of this assistance. The English version of the updated multi-language

questionnaire is posted on the HSBI page on the WCPFC website (<https://www.wcpfc.int/high-seas-boarding-inspection>). Relevant members are asked to assist in translating the updated questionnaire to the other languages (Chinese, Spanish, French, Japanese, Korea and Chinese Taipei).

#### **Administration**

16. To assist with internal record keeping at the WCPFC Secretariat, copies of HSBI reports from Inspecting Members and any replies from the authorities of the fishing vessel, which are required in accordance with CMM 2006-08 to be submitted to the Commission, should be sent to the email: [contact.hsbi@wcpfc.int](mailto:contact.hsbi@wcpfc.int).

#### **Recommendation**

17. TCC11 is invited to task the Secretariat to develop a technical solution to make available to authorized CCM MCS personnel through secure login a list of vessels that have been previously inspected under the HSBI scheme specifically, the VID, Vessel Name, IRCS, date of boarding and Name of inspecting member.

**Annex 1: HSBI page from <http://www.wcpfc.int/high-seas-boarding-inspection>**

MCS Scheme

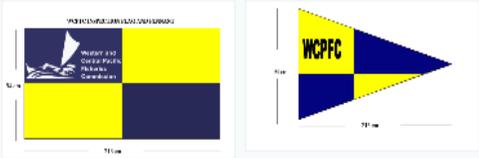
- Compliance Monitoring
- Vessel Monitoring System
- High Seas Boarding & Inspection**
- Register of Inspection Vessels
- Authorities of the Inspection Vessel
- Authority of Fishing Vessel
- Transshipment Regulation
- Port State Measures
- Conservation and Management Obligations (other than data)
- Prohibition of Large Scale Offtakes
- Regional Observer Programme
- Vessels

### High Seas Boarding & Inspection

WCPFC adopted the [Western and Central Pacific Fisheries Commission Boarding and Inspection Procedures](#) (240.1 KB).

Notifications that are required in accordance with CMM 2006-05 to be submitted by Members to the Commission, can be sent to the Secretariat to email: [contact.hsb@wcpfc.int](mailto:contact.hsb@wcpfc.int)

WCPFC endorsed several specifications related to high seas boarding and inspection procedures, including:

- 1. WCPFC Inspection Flag and Pennant**  

- 2. WCPFC High Seas Boarding and Inspection Register**  
(includes photographs of individual inspection vessels)  
[Link to access: Register of Inspection Vessels](#)
- 3. Authorities of the Inspection Vessel**  
[Link to access: Authorities of the Inspection Vessel](#)
- 4. Notifications by Members regarding arrangements under paragraph 6 of CMM 2004-08 (Fishing Entity)**

Symbol	Title	File	Date of Issue
	New Zealand Notification		1 Aug 2005
	Chinese Taipei Notification - New Zealand		23 Oct 2005
	Cook Islands Notification		21 Nov 2005
	Chinese Taipei reply to acknowledge Cook Is notification		10 Dec 2005
	United States of America Notification		12 Aug 2009
	Chinese Taipei - USA		24 Aug 2009
	Japan Notification		27 Oct 2009
	Chinese Taipei - Japan		23 Nov 2009
	France Notification Regarding Chinese Taipei		5 Dec 2009
	Australian Notification		29 Sep 2010
	Chinese Taipei - Australia		29 Sep 2010
- 5. Authorities of the Fishing Vessel**  
[Link to access: Authorities of the Fishing Vessel](#)
- 6. Standardized Multi-Language Questionnaire (in languages used in CCMs' respective fisheries)**

Symbol	Title	File	Date of Issue
	English Questionnaire		
CN	Chinese Questionnaire (provided by the PRC)		
EU-Span	Spanish Questionnaire		
FR	French Questionnaire		
JP	Japanese Questionnaire		
KR	Korean Questionnaire		
TW	Chinese Questionnaire (provided by Chinese Taipei)		
- 7. Annual Reporting Requirements (para 40 and 41 of CMM 2004-08)**  
CCMs are to report in Annual Report Part 2:
  - a summary of the HSBI activities by their authorized inspection vessels of other CCMs tagged vessels, including violations detected; and
  - the outcome of any investigations into the activities of their vessels or proceedings against their vessels as a result of HSBI activities conducted by other CCMs
- 8. Sample Inspection Personnel ID Cards**  
Members have been encouraged to transmit to the WCPFC Secretariat for compiling and posting on the WCPFC website examples of their respective inspection personnel identity cards.

Symbol	Title	File	Date of Issue
	France ID Sample		

**Official details by contact type:**  
<https://www.wcpfc.int/official-circulars-and-contacts> (require authorized CCM/user log in)

### Official Circulars and Contacts

View published

**Official Circulars**  
 for Commission Members, Participating Territories and Cooperating Non-Members

2015

2014

2013

2012

2011

**Official Contacts**

Below is the current list of a variety of Official Contact groups. The list may be filtered, and a download link for the displayed records is provided at the bottom of the page. An authorised CCM user designated "Party Admin" (see Authorised CCM Users tab) is able to modify their list through their individual CCM portal.

CCM  Name

Contact Type

- Official Contacts
- Circular Recipient Only
- Authorities Of Fishing Vessels
- Authorities Of Inspection Vessels
- Authorised MCS Entities And Personnel
- Authorized Management Entities and Personnel
- Science Contacts

**Contact Type: Authorities Of Inspection Vessels**

CCM	Name	Email	Phone	Position	Updated date
Australia	Australian Fisheries Management Authority	nsr@afma.gov.au	+61 4 1920 5329		8 Aug 2015 - 11:14

**Annex 2: Summary of CCMs responses to CMM 2006-08 in Annual Report Part 2 covering 2014 activities**

<b>CMM paragraph and brief description</b>	<b>N/A Summary of further information provided</b>	<b>YES Summary of further information provided</b>	<b>NO or blank</b>
<b>CMM 2006-08 07</b> <i>Fishing vessels to accept HSBI boardings by duly authorised inspectors, and as applicable Members to ensure compliance of its authorised inspectors with the HSBI procedures</i>	11 No flag vessel on the RFV, no flag vessels fishing beyond areas of national jurisdiction or in the high seas, no flag vessel subject to HSBI in 2014	23 Implemented through national legislation, regulation; license terms and conditions	2 blanks (outstanding Annual Report Part 2)
<b>CMM 2006-08 30</b> <i>Provide Full Report on HSBI</i>	28 Have not yet authorized inspection vessels to carry out HSBI; did not conduct HSBI in 2014	5 Members (3 – AU, CK, US) who conducted HSBI in 2014 further elaborate that full reports have been sent to flag States of fishing vessels and the WCPFC Secretariat  The other 2 members that answered Yes (TV, PG) – have authorized inspection vessels to conduct HSBI but did not undertaken HSBI activities in 2014	1 NO <i>CCM that answer NO did not have any inspection vessel on the HSBI register and did not conduct any HSBI in 2014</i>  2 blanks (outstanding Annual Report Part 2)
<b>CMM 2006-08 32</b> <i>Notification of serious violation(s) detected by inspection vessels during HSBI</i>	30 No HSBI undertaken; No serious violation detected	3 3 CCMs answer YES (possible violation detected; member was not aware of any reports of serious violation detected; member detected 1 alleged serious violation – fishing without a license. Note: flag State/vessel was able to show the license following request from HSBI member)	2 blanks (2 outstanding AR Pt 2, 1 CCM did not provide an answer in AR Pt 2 – <i>but CCM did not conduct HSBI</i> )  1 NO <i>CCM that answer NO did not have any inspection vessel on the HSBI register and did not conduct any HSBI in 2014</i>
<b>CMM 2006-08 33 and 36</b> <i>Response by authorities of the fishing vessel to a serious violation notification</i>	26 No serious violation detected; no HSBI; no flag vessel; no flag vessel boarded in 2014	7 No serious violation notification received Respond immediately and internal investigation carried out if a notification of serious violation is received;	2 blanks (outstanding Annual Report Part 2)  1 NO <i>CCM that answer NO did not have any flag vessel boarded in the high seas in 2014</i>
<b>CMM 2006-08 40</b> <i>Annual reporting by members on the HSBI carried out by its authorized inspection vessels.</i>	27 Did not conduct any HSBI	6 3 CCMs answer YES but did not undertake HSBI in 2013; 3 CCMs answered YES that conducted HSBI in 2014 and provided details	2 blanks (outstanding Annual Report Part 2)  1 NO <i>CCM that answer NO did not have any inspection vessel on the HSBI register and did not conduct any HSBI in 2014</i>
<b>CMM 2006-08 41</b> <i>Annual reporting by members on their actions in response to HSBI of their fishing vessels that resulted in observation of alleged violations.</i>	25 No HSBI conducted; no flag vessel fishing on the high seas; no flag vessels boarded	8 7 CCMs answer YES and provided further information	2 blanks (outstanding Annual Report Part 2)  1 NO <i>CCM that answer NO did not have any flag vessel boarded in the high seas in 2014</i> 5 blanks (outstanding Annual Report Part 2)

**Annex 3: Number of reports received related to 2014 HSBI in accordance with CMM 2006-08**

Inspecting Member that conducted the HSBI activity	# of HSBI reports received by the Secretariat (CMM 2006-08 para 30)	# of Inspections with no observed infringement	# of HSBI reports indicating serious violation (CMM 2006-08 para 32)	# of responses received from flag CCMs in accordance with para 33 and 36	# of HSBI reports with observed violation other than serious violation/ resulted in a request for flag State investigation	# of responses received from flag CCMs to alleged violations (other than serious violations)	Note on the CMM to which the alleged infringement relates	CMM 2006-08 Para 40 report received (Y=yes)
Australia	1	1						Y
Cook Islands	1	3						Y
France	27	16			13	12	2009-06 2010-02 2010-07 2011-02 2013-05 2013-10	**
United States of America	27	24			3  * 1 of the alleged violation was fishing without a license (a serious violation under para 37 2006-08) but vessel master sent a copy of the current license following the B&I	3	2004-03 2008-03 2013-10	Y

\*\* Member have not submitted an Annual Report Part 2 covering 2014 activities

**Annex 4: Geographic distribution of HSBI conducted: 2013, 2014 and 1 Jan – 31 July 2015**

